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## **SEI Expands Proprietary Compliance System for Investment Managers**

### **System Delivers Increased Reporting and Greater Flexibility Amid a Changing Regulatory Landscape and Increasing Investor Needs**

OAKS, PA -- (MARKET WIRE) -- 01/18/12 -- SEI (NASDAQ: SEIC) today announced that it has expanded its proprietary Portfolio Monitor compliance system to help money managers enhance the depth and flexibility of portfolio compliance testing in the face of ongoing regulatory changes and increasing investors demands. SEI has been utilizing Portfolio Monitor to perform post-trade compliance testing for hedge fund managers since 2009, and recently transitioned its registered fund clients onto the expanded system from its predecessor program, AdvisorAlert. The move demonstrates the company's commitment to delivering world class tools and resources to help its clients thrive amid changing market conditions and investor expectations.

"This system puts managers in a better position to succeed in a constantly changing and increasingly complex regulatory environment," said Phil McCabe, Senior Vice President and Solution Head, SEI's [Investment Manager Services division](#). "We've always been recognized for our strong compliance resources, and Portfolio Monitor is the next logical step for SEI and our clients. It facilitates additional reviews, offering more robust reporting capabilities with greater flexibility to adapt to changing regulations. It also helps investment managers stay ahead of escalating client demands across a myriad of product types and asset classes."

Portfolio Monitor provides greater control of mandate guidelines, fund disclosure requirements, best practice rules, and global regulatory restrictions, including those related to the UCITS IV Directive. Portfolio Monitor is a valuable tool that allows fund managers ranging from large family offices, pensions and endowments, to single-product hedge and mutual funds to effectively manage the tight guidelines associated with their investment processes. The enhanced system, which is built on an open architecture platform, provides managers with greater flexibility around today's compliance needs. In addition, the system allows for fund- and aggregate-level monitoring for greater compliance transparency into the investment management firm.

To ensure data integrity, Portfolio Monitor interfaces directly with SEI's accounting platforms and has the functionality to use independent, third-party data feeds to provide greater depth

of compliance information and reporting capabilities. Ultimately, Portfolio Monitor provides managers with end-to-end compliance reporting designed to support a wide variety of testing and oversight across investment products. Beyond its ability to integrate information across systems, file formats, and product sectors, Portfolio Monitor also provides enhanced and more tailored reporting.

#### *About SEI's Investment Manager Services Division*

SEI's Investment Manager Services division provides comprehensive operational outsourcing solutions to support investment managers globally across a range of registered and unregistered fund structures, diverse investment strategies and jurisdictions. With expertise covering traditional and alternative investment vehicles, the division applies customized operating services, industry-leading technologies, and practical business and regulatory insights to each client's business objectives. SEI's resources enable clients to meet the demands of the marketplace and sharpen business strategies by focusing on their core competencies. The division has been recently recognized by the Money Management Institute as "Service Provider of the Year," by Buy-Side Technology as "Best Fund Administrator" and by HFMWeek as "Best Single Manager Hedge Fund Administrator (Over \$30B AUA-US)," and "Best Funds of Hedge Funds Administrator (Over \$30B AUA-Europe)." For more information, visit [www.seic.com/ims](http://www.seic.com/ims).

#### *About SEI*

SEI (NASDAQ: SEIC) is a leading global provider of investment processing, fund processing, and investment management business outsourcing solutions that help corporations, financial institutions, financial advisors, and ultra-high-net-worth families create and manage wealth. As of September 30, 2011, through its subsidiaries and partnerships in which the company has a significant interest, SEI manages or administers \$395 billion in mutual fund and pooled assets or separately managed assets, including \$162 billion in assets under management and \$233 billion in client assets under administration. For more information, visit [www.seic.com](http://www.seic.com).

Source: SEI