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Ladenburg Thalmann Congratulates Independent Advisory and Brokerage Subsidiaries on Ranking Among the Top Firms in the Industry for Women Financial Advisors

Prestigious Industry Surveys Name Securities America, Triad Advisors and KMS Financial Services as Firms with Exceptionally High Representation of Female Advisors

Public Recognition Precedes Launch of Invitations to Ladenburg Institute of Women & Finance's 7th Annual Symposium in Dallas, TX

MIAMI--(BUSINESS WIRE)-- Ladenburg Thalmann Financial Services Inc. (NYSE American: LTS, LTSL, LTS PrA, LTSF) ("Ladenburg"), a publicly-traded, diversified financial services company, today congratulated its independent advisory and brokerage (IAB) subsidiaries for standing out in the industry for their commitment to recruiting female financial advisors and contributing to their professional growth. Ladenburg's five IAB subsidiary firms are Securities America, Triad Advisors, KMS Financial Services, Investacorp and Securities Service Network (SSN).

Richard Lampen, President and Chief Executive Officer of Ladenburg, said, "Ladenburg is proud of our longstanding and unwavering devotion to enhancing the entry of women into the financial advice industry, and furthering their professional growth and success. This is intrinsic to our broader commitment to good corporate citizenship, as well as our early awareness years ago that more and more women will become financial contributors and decision-makers to households across the country. The advantages women advisors have in connecting with female clients makes doing everything we can to hire and retain them both an ethical responsibility and a business imperative."

Ladenburg noted public recognition for Securities America, Triad Advisors and KMS Financial Services in supporting the professional success of women advisors as follows:

- In the June 11, 2018, Financial Planning magazine article titled "Which Independent Broker-Dealers Have the Highest Percentage of Women Advisors?" Securities America and Triad Advisors tied for the #2 position in the industry with 28% women advisors, with KMS Financial Services ranked #7 with 22% women advisors.
- Separately, InvestmentNews also recognized Securities America for placing exceptionally high among independent firms for its number of women advisors. The May 23, 2018, article titled "IBDs with the Most Female Reps" ranked Securities

America as #2 with 683 women advisors in 2017, and noted that the firm's proportion of women advisors as a percentage of total advisors increased by 1.3% from the previous year.

Janine Wertheim, Securities America Advisors President and Securities America Chief Marketing Officer, said, "Helping women advisors succeed has always been central to our firm's culture. We're proud of our hard work, year after year, to support one of the strongest groups of women advisors across the industry. Our efforts are part of a broader commitment for Securities America to cultivating an environment where women become inspiring leaders and great examples for others."

Erinn Ford, President of KMS Financial Services, said, "It's no secret that women advisors tend to be especially skilled at building relationships of trust with women clients, and that women clients are increasingly important as financial decisionmakers across the country. We're excited with our firm's recognition for supporting the businesses of women advisors, and we look forward to continuing to enhance our firm's value proposition for women advisors at each stage of the business life cycle."

Jeff Rosenthal, President of Triad Advisors, said, "We're grateful to be publicly recognized for our firm's success in promoting the business growth of women advisors across the country. Triad understands not only the value in partnering with quality, diversified advisor practices, but also the unique perspectives women advisors bring to the table. We are strongly committed to offering the programs, resources, and networking opportunities to support women advisors in growing their hybrid practices."

The recent industry-wide recognition comes as the Ladenburg Institute of Women & Finance (the "Institute") extends invitations for its seventh Annual Symposium, which will be held from October 9-11, 2018 in Dallas, TX, and provides a platform for women advisors and executives throughout the Ladenburg organization.

The Institute was launched six years ago with the mission of fostering education, networking and career growth opportunities for women advisors across Ladenburg's subsidiaries. Through its LIFT Mentoring Program, the Institute also supports the development of new women advisors. The Symposium, which has experienced high attendance since its inaugural gathering in 2012, is expected to host more than 175 people this year, bringing together advisors, home office managers and industry experts from a wide array of professional backgrounds. Sessions will cover a market outlook, practice management, business development and more.

Commenting on behalf of the Ladenburg Institute of Women & Finance, Jaime Desmond, Chief Operating Officer of Ladenburg Thalmann Asset Management, said, "We're excited to extend invitations for the Ladenburg Institute of Women & Finance's Annual Symposium, after another year of public recognition for our subsidiary firms and their efforts in supporting women advisors. Ladenburg and its independent advisory and brokerage firms have long been recognized as industry leaders in promoting the professional growth and success of women advisors throughout the country, and we congratulate both our firms and their advisors on the amazing work they do every day."

About Ladenburg

Ladenburg Thalmann Financial Services Inc. (NYSE American: LTS, LTSL, LTS PrA, LTSF) is a publicly-traded diversified financial services company based in Miami, Florida. Ladenburg's subsidiaries include industry-leading independent advisory and brokerage (IAB) firms Securities America, Triad Advisors, Securities Service Network, Investacorp and KMS Financial Services, as well as Premier Trust, Ladenburg Thalmann Asset Management, Highland Capital Brokerage, a leading independent life insurance brokerage company, Ladenburg Thalmann Annuity Insurance Services, a full-service annuity processing and marketing company, and Ladenburg Thalmann & Co. Inc., an investment bank which has been a member of the New York Stock Exchange for over 135 years. The company is committed to investing in the growth of its subsidiaries while respecting and maintaining their individual business identities, cultures, and leadership. For more information, please visit www.ladenburg.com.

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