



Phase 1 Dose Escalation Study of PRS-343, a HER2/4-1BB Bispecific Molecule, in Patients with HER2+ Malignancies

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Reports relationships
with the following:

- Arog Pharmaceuticals – research support
- AstraZeneca – research support, consulting
- Bristol-Myers Squibb – research support, consulting
- Daiichi Sankyo – research support
- Eli Lilly – consulting
- Merck – research support, consulting
- Pieris Pharmaceuticals – research support, consulting
- Zymeworks – research support

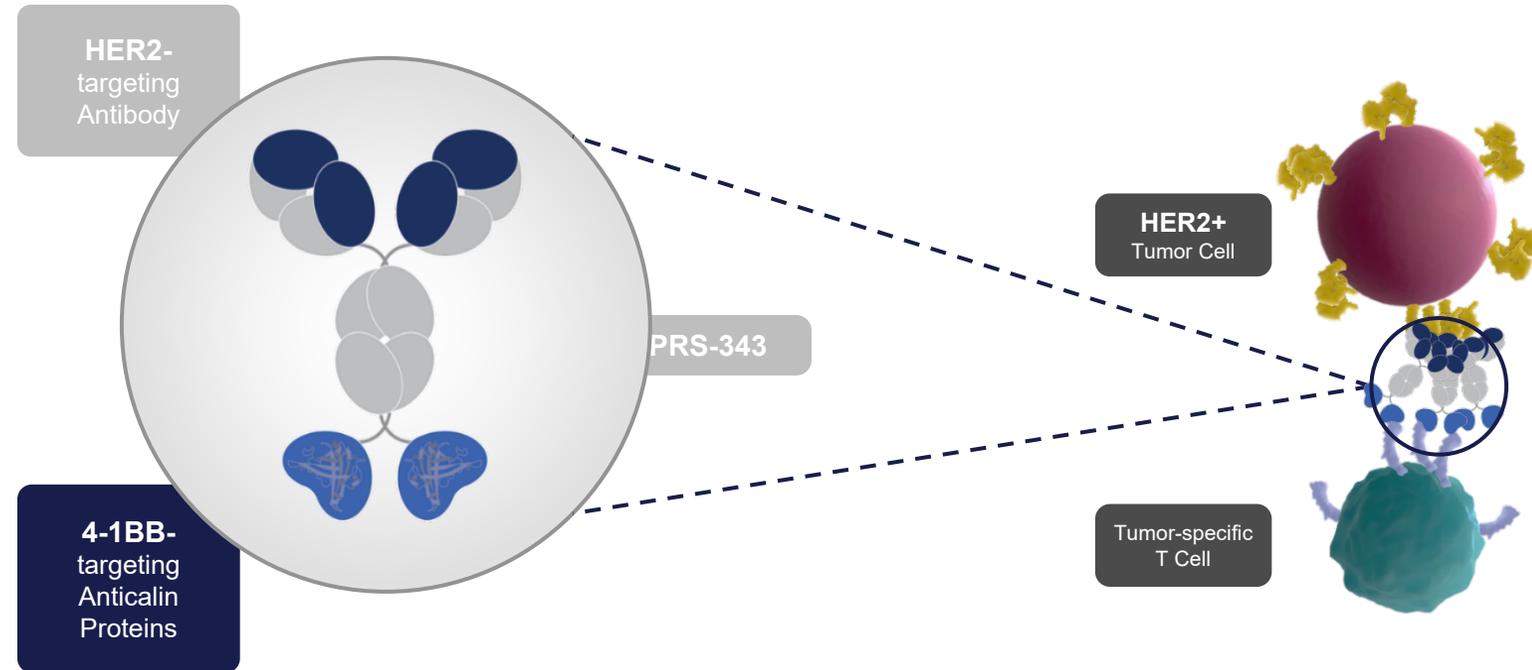
Study sponsored by Pieris Pharmaceuticals

PRS-343: A HER2 4-1BB Bispecific



HER2-targeting moiety of the drug localizes to the tumor microenvironment and facilitates 4-1BB cross-linking

4-1BB cross-linking ameliorates T-cell exhaustion and is critical for T-cell expansion



Study Design



Primary Objectives

- Characterize safety profile
- Identify MTD or RP2D

Secondary Objectives

- Characterize PK profile
- Investigate dosing schedule
- Assess potential immunogenicity and PD effects
- Investigate efficacy

Active schedules

Schedule 1:
Q3W dosing on Day 1

Schedule 2 :
Q2W dosing on Days 1, 15

Current Enrollment

| Dose Level | No. Patients | Dose (mg/kg) |
|--------------|--------------|--------------|
| 1 | 1 | 0.0005 (Q3W) |
| 2 | 1 | 0.0015 |
| 3 | 1 | 0.005 |
| 4 | 2 | 0.015 |
| 5 | 2 | 0.05 |
| 6 | 5 | 0.15 |
| 7 | 7 | 0.5 |
| 8 | 6 | 1 |
| 9 | 6 | 2.5 |
| 10 | 9 | 5 |
| 11 | 7 | 8 |
| 11b | 6 | 8 (Q2W) |
| Total | 53 | |

Data cut-off: 23-Oct-19 for subjects up to Cohort 11b; additional cohorts enrolling

Key Enrollment Criteria



Inclusion Criteria

- **Diagnosis of HER2+ advanced/metastatic solid tumor malignancy that has progressed on standard therapy or for which no standard therapy is available**
- **HER2+ solid tumors documented by ASCO, CAP or institutional guidelines**
- **Patients with breast, gastric and GEJ cancer must have received at least one prior HER2-targeted therapy for advanced / metastatic disease**
- Measurable disease per RECIST v1.1
- ECOG 0 or 1
- Adequate liver, renal, cardiac and bone marrow function

Exclusion Criteria

- **Ejection fraction below the lower limit of normal with trastuzumab and/ or pertuzumab**
- **Systemic steroid therapy or any other form of immunosuppressive therapy within seven days prior to registration**
- Known, symptomatic, unstable or progressing CNS primary malignancies
- Radiation therapy within 21 days prior to registration (limited field radiation to non-visceral structures is allowed, e.g., limb bone metastasis)

Baseline Characteristics

All Subjects (n = 53)

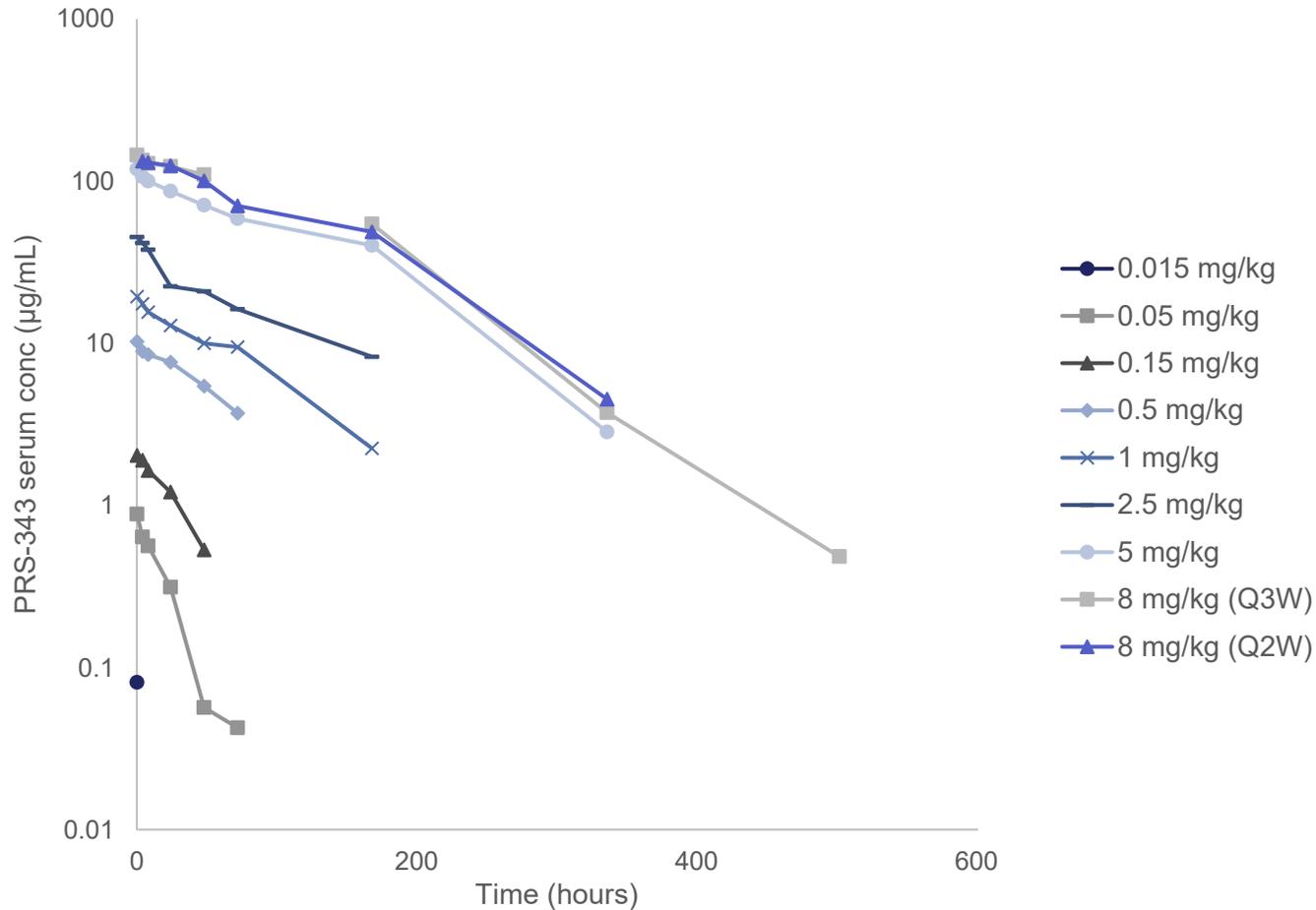


| Characteristic | n (%) |
|------------------------------------|------------|
| Age, Median (range) | 61 (29–92) |
| Gender | |
| F | 33 (62%) |
| M | 20 (38%) |
| ECOG PS | |
| 0 | 12 (23%) |
| 1 | 41 (77%) |
| Prior Therapy Lines | |
| 1 | 6 (11%) |
| 2 | 5 (9%) |
| 3 | 11 (21%) |
| 4 | 10 (19%) |
| 5+ | 21 (40%) |
| Median no. of anti-HER2 Treatments | |
| Breast | 4 |
| Gastric | 2 |

| Primary Cancer Type | n (%) |
|-----------------------|----------|
| Gastroesophageal | 19 (36%) |
| Breast | 14 (26%) |
| Gynecological | 6 (11%) |
| Colorectal | 5 (9%) |
| Gallbladder/ Biliary | 4 (8%) |
| Bladder | 2 (4%) |
| Pancreatic | 1 (2%) |
| Other – Salivary Duct | 1 (2%) |
| Other – Melanoma | 1 (2%) |

Data cut-off: 23-Oct-19

PRS-343 Clinical Pharmacology



Note: PRS-343 concentrations are below limit of quantification for dose levels < 0.015 mg/kg

Preliminary PRS-343 Pharmacology Profile

- Preliminary PK: Mean terminal half-life of PRS-343 is approximately five days
- 27.8% of patients are ADA+ with titers above 1:150 in cohorts covering active dose range (≥ 2.5 mg/kg)

Treatment-Related Adverse Events

All Subjects



| Occurred in ≥ 1 Patient | n = 111 n (%) | % Grade 3 |
|------------------------------|-----------------|-----------|
| Infusion Related Reaction | 10 (9%) | 2 (2%) |
| Fatigue | 10 (9%) | 1 (1%) |
| Chills | 7 (6%) | 0 |
| Flushing | 7 (6%) | 3 (3%) |
| Nausea | 7 (6%) | 0 |
| Diarrhea | 7 (6%) | 0 |
| Vomiting | 6 (5%) | 0 |
| Non-Cardiac Chest Pain | 5 (4%) | 1 (1%) |

No Grade 4 or 5 Treatment-Related AEs

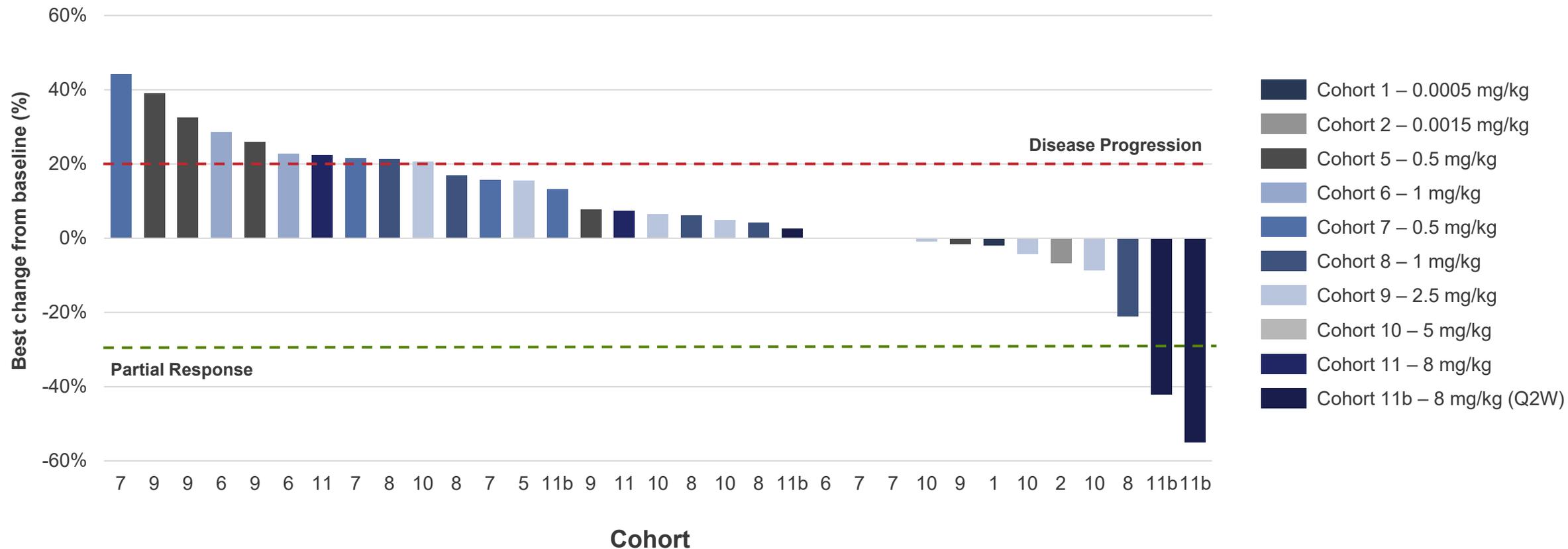
Summary of Responses at Active Dose Range of PRS-343



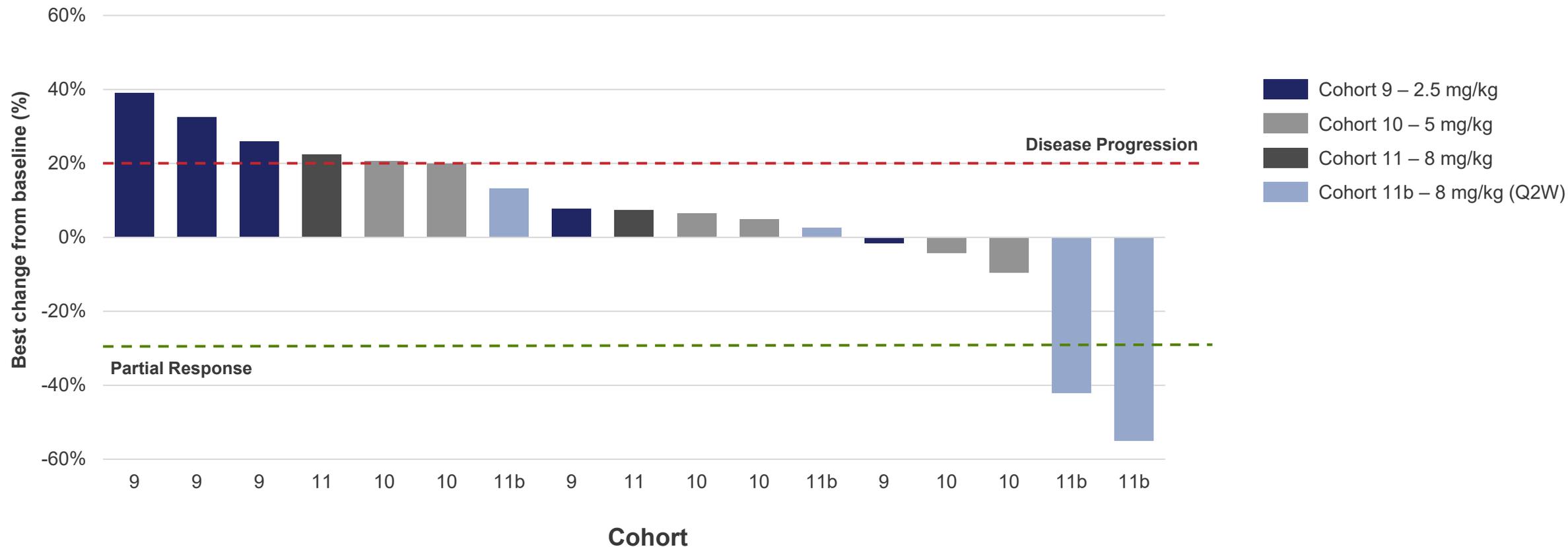
Based on clinical data, serum concentration of > 20 µg/ml defines active dose range (beginning at Cohort 9)

| Cohort | 11b | 11 | 10 | 9 | Total |
|-----------------------------|--------------|--------------|--------------|----------------|-------|
| Best Response | 8 mg/kg, Q2W | 8 mg/kg, Q3W | 5 mg/kg, Q3W | 2.5 mg/kg, Q3W | |
| Response Evaluable Patients | 5 | 4 | 4 | 5 | 18 |
| PR | 2 | - | - | - | 2 |
| SD | 3 | 2 | 1 | 2 | 8 |
| PD | - | 2 | 3 | 3 | 8 |
| ORR | 40% | 0% | 0% | 0% | 11% |
| DCR | 100% | 50% | 25% | 40% | 55% |

Best Response in Target Lesions Monotherapy Study Cohorts 1-11b



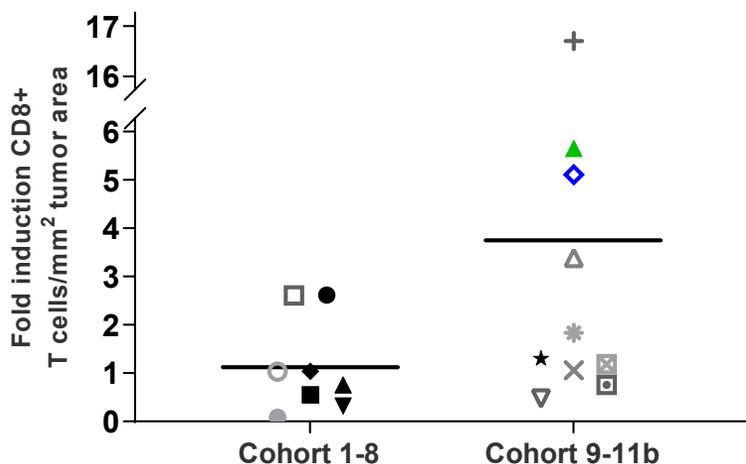
Best Response in Target Lesions Monotherapy Study Cohorts 9-11b



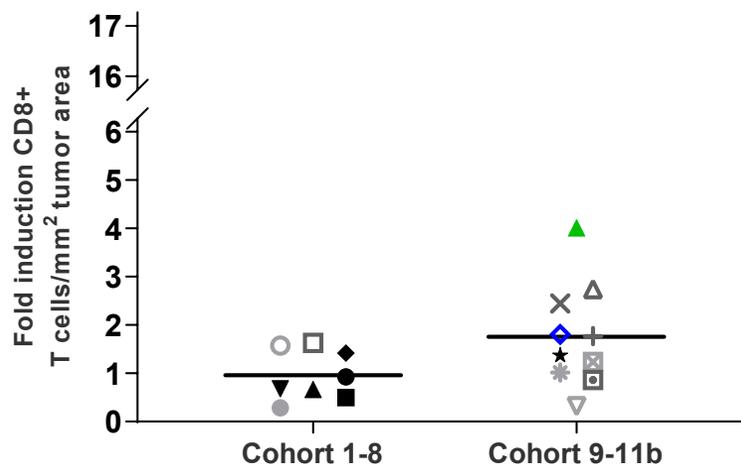
Increased CD8⁺ T Cell Numbers in Tumor Biopsies Post-Treatment



Tumor



Stroma



Pronounced increase in CD8⁺ T cell numbers is observed post-treatment in patients receiving doses ≥ 2.5 mg/kg

Patients benefiting from treatment (SD > 120 days (blue) and PR (green) had more pronounced increase in CD8⁺ T cell number in tumor vs. stroma

Gastric Cancer Patient with Confirmed PR

Patient Profile, Treatment History and RECIST



Patient Profile

- Cohort 11b | 8 mg/kg every two weeks
- 80-year old woman; initial diagnosis on June 2017
- Stage IV gastric adenocarcinoma
- Metastases to liver, lymph node and adrenal glands
- HER2 IHC 3+; PD-L1 positive (CPS=3)
- NGS: ERBB2 amplification, TP53 mutation, alteration of CDK12 and SF3B1

| Oncology Treatment History | Duration | Best Response |
|---|-----------------------|----------------|
| Trastuzumab, Pembrolizumab + Capecitabine/oxaliplatin | July 2017 – June 2018 | Stable Disease |
| Nivolumab with IDO1 inhibitor (investigational drug) | Aug 2018 – Jan 2019 | Stable Disease |

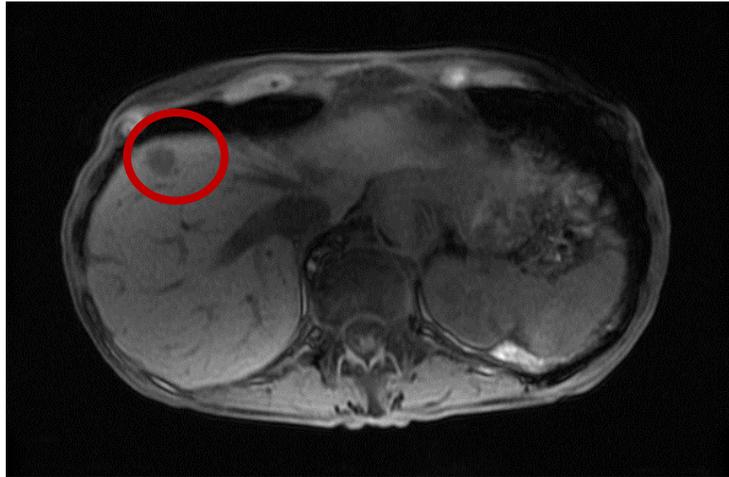
| Lesions | Lesion Site | Lesion Size (mm) | | | | |
|------------------------|-------------|------------------|-------------------|-------------------|-------------------|-------------------|
| | | Baseline | C2 Post-treatment | C3 Post-treatment | C4 Post-treatment | C6 Post-treatment |
| Target 1 | Liver | 14 | 12 | 10 | 9 | 9 |
| Target 2 | Liver | 20 | 16 | 10 | 8 | 8 |
| Target 3 | Pancreas | 19 | 16 | 14 | 14 | 14 |
| % Change from Baseline | | | -17% | -36% | -42% | -42% |

PR, partial response; HER2, human epidermal growth factor receptor 2; PD-L1, Programmed Death Ligand 1; CPS, combined positive score; NGS, next-generation sequencing

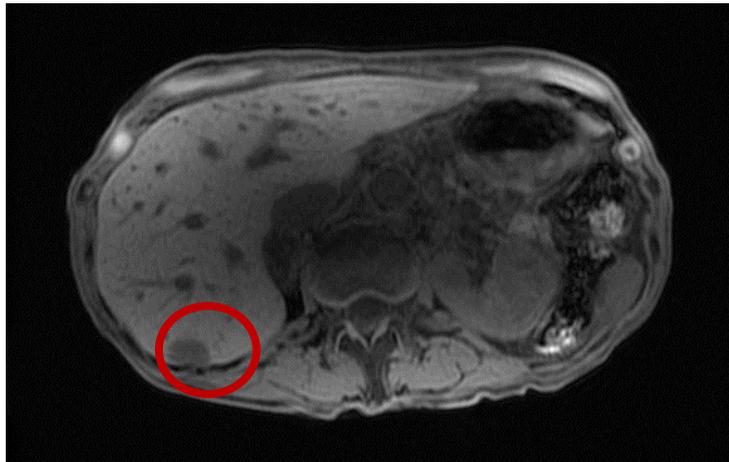
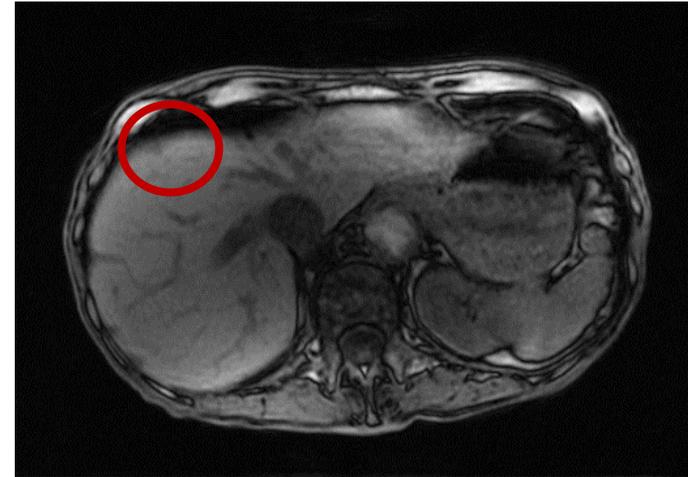
Gastric Cancer Patient with Confirmed Partial Response



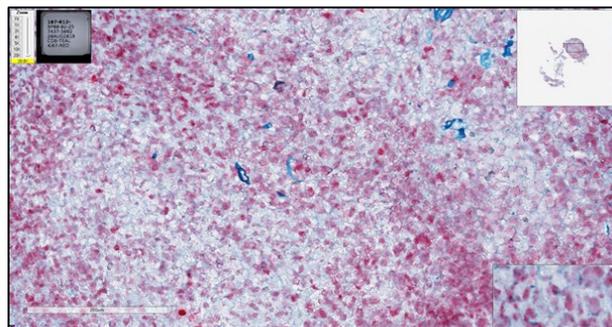
Baseline



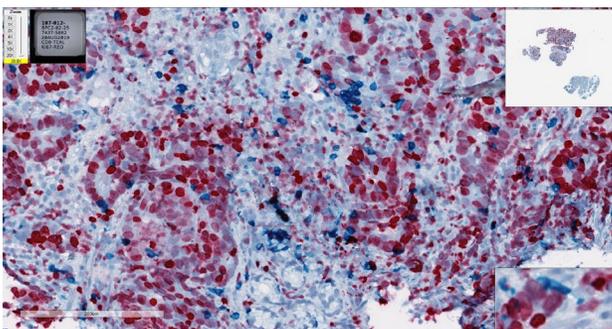
Cycle 4



CD8+ T Cell Numbers Increase Post-Treatment in Responding Gastric Cancer Patient



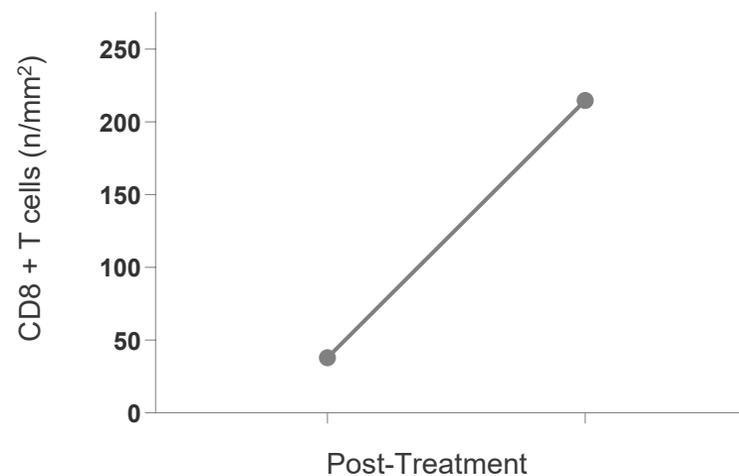
Pre-Treatment (CD8: Teal | Ki67: Red)



Post-Treatment (CD8: Teal | Ki67: Red)

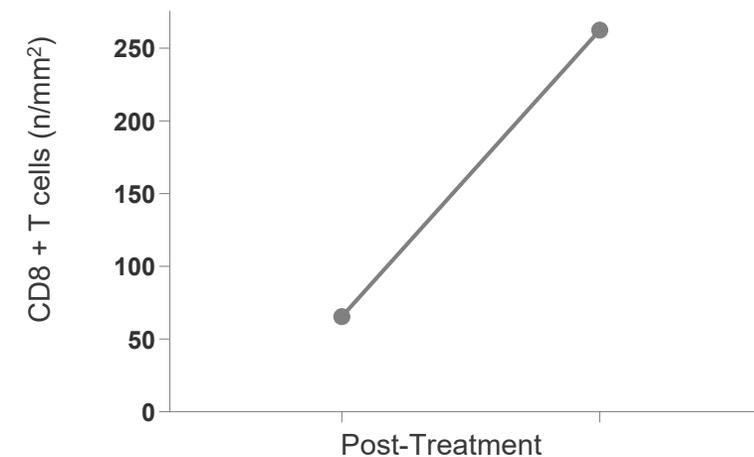
Tumor

CD8 fold change: **5.7** CD8 pre [n/mm²]: **38**



Stroma

CD8 fold change: **4** CD8 pre [n/mm²]: **66**



CD8+ T cell numbers increase post-treatment. This is more pronounced in tumor tissue, consistent with the predicted MoA of PRS-343.

Conclusions: PRS-343 as Monotherapy



Well-tolerated, with a good safety profile in all doses and schedules tested

Demonstrated anti-tumor activity in heavily pre-treated patient population across multiple tumor types; treatment history indicative of 4-1BB-driven mechanism-of-action

Showed a clear increase in CD8⁺ T cell numbers and proliferative index in the tumor microenvironment of responders

Future studies are planned for continued development in defined HER2+ indications

Acknowledgements



- Patients, their families and caregivers
- Investigators, as well as their site personnel
 - The University of Texas MD Anderson Cancer Center – S. Piha-Paul, B. Bruggman
 - Sarah Cannon Research Institute, LLC – J. Bendell, J. Costin
 - NEXT Oncology – A. Tolcher, K. Dotson
 - University of California Los Angeles Jonsson Comprehensive Cancer Center – S. Hurvitz, M. Rocha, R. Rubin
 - South Texas Accelerated Research Therapeutics – A. Patnaik, K. Rivas
 - University of Pittsburgh Medical Center – A. Krishnamurthy, B. Foster, A. Blasko
 - University of Arizona Cancer Center – R. Shroff, D. Pennington
 - Georgetown University Hospital – P. Pohlmann, S. Wagner
 - Sydney Kimmel Cancer Center at Johns Hopkins – N. Hahn, E. Lee
 - Memorial Sloan Kettering Cancer Center – G. Ku, T. Shrivastav, P. Collins

Appendix

Adverse Events Unrelated to Treatment

All Subjects



| Adverse Events Unrelated to Treatment | n = 303 n (%) |
|---------------------------------------|-----------------|
| Fatigue | 14 (5%) |
| Abdominal pain | 11 (4%) |
| Anemia | 10 (3%) |
| Constipation | 9 (3%) |
| Decreased appetite | 9 (3%) |
| Dyspnea | 9 (3%) |
| Diarrhea | 7 (2%) |
| Dysphagia | 6 (2%) |
| Nausea | 6 (2%) |

| Adverse Events Unrelated to Treatment | n = 303 n (%) |
|---------------------------------------|-----------------|
| Alanine aminotransferase increased | 5 (2%) |
| Blood bilirubin increased | 5 (2%) |
| Headache | 5 (2%) |
| Hyperglycemia | 5 (2%) |
| Pain | 5 (2%) |
| Pruritus | 5 (2%) |
| Vomiting | 5 (2%) |
| Weight decreased | 5 (2%) |