



Transaction Announcement

Joseph Gunnar & Co. acted as Co-Manager for Sorrento Therapeutics (NASDAQ: SRNE)

Sorrento Therapeutics

Sorrento

\$47,250,168

Public Offering

April 13, 2017

Sorrento Therapeutics, Inc. (NASDAQ: SRNE)

23,625,084 Common Shares

Co-Manager

Public Offering

Investment products are made available through Joseph Gunnar & Co., LLC member FINRA (www.finra.org) & SIPC (www.sipc.org).

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