**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

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**JOSEPH GUNNAR & CO., LLC30 BROAD STREET11TH FLOORNEW YORK, NY 10004SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2016**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 83.58%  
 Limit orders as percentage of total limit orders 13.76%  
 Other orders as percentage of total other orders 2.66%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
| 1. Citadel Securities LLC | 37.42% |
| 2. KCG Americas LLC | 34.68% |
| 3. G1 Execution Services, LLC | 13.89% |
| 4. BATS BZX Exchange | 4.07% |
| 5. EDGX Exchange, Inc. | 2.58% |
| 6. National Financial Services LLC | 2.00% |
| 7. Instinet LLC | 1.87% |
| 8. UBS Securities, LLC | 0.91% |
| 9. BATS BYX Exchange | 0.71% |
| 10. Citigroup Global Markets, Inc. | 0.46% |

Information Concerning Significant Venues :  
 1. Citadel Securities LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 39.85% Limit orders as percentage of total limit orders 15.11% Other orders as percentage of total other orders 76.56%

2. KCG Americas LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 37.61% Limit orders as percentage of total limit orders 19.94% Other orders as percentage of total other orders 18.75%

3. G1 Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 15.87% Limit orders as percentage of total limit orders 4.53% Other orders as percentage of total other orders 0.00%

4. BATS BZX Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 29.61% Other orders as percentage of total other orders 0.00%

5. EDGX Exchange, Inc.  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 18.73% Other orders as percentage of total other orders 0.00%

6. National Financial Services LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 1.89% Limit orders as percentage of total limit orders 2.72% Other orders as percentage of total other orders 1.56%

7. Instinet LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 2.04% Limit orders as percentage of total limit orders 1.21% Other orders as percentage of total other orders 0.00%

8. UBS Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.95% Limit orders as percentage of total limit orders 0.30% Other orders as percentage of total other orders 3.13%

9. BATS BYX Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.70% Limit orders as percentage of total limit orders 0.91% Other orders as percentage of total other orders 0.00%

10. Citigroup Global Markets, Inc.  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.35% Limit orders as percentage of total limit orders 1.21% Other orders as percentage of total other orders 0.00%

**Securities Listed on The Nasdaq Stock Market**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 79.31%  
 Limit orders as percentage of total limit orders 17.60%  
 Other orders as percentage of total other orders 3.09%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
| 1. KCG Americas LLC | 36.39% |
| 2. Citadel Securities LLC | 33.75% |
| 3. G1 Execution Services, LLC | 13.81% |
| 4. EDGX Exchange, Inc. | 4.98% |
| 5. BATS BZX Exchange | 2.29% |
| 6. Instinet LLC | 1.81% |
| 7. National Financial Services LLC | 1.81% |
| 8. UBS Securities, LLC | 1.59% |
| 9. Gunnar (Joseph) & Co., LLC | 0.84% |
| 10. BATS BYX Exchange | 0.84% |

Information Concerning Significant Venues :  
 1. KCG Americas LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 36.54% Limit orders as percentage of total limit orders 28.82% Other orders as percentage of total other orders 75.71%

2. Citadel Securities LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 38.10% Limit orders as percentage of total limit orders 18.55% Other orders as percentage of total other orders 8.57%

3. G1 Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 16.30% Limit orders as percentage of total limit orders 5.01% Other orders as percentage of total other orders 0.00%

4. EDGX Exchange, Inc.  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 28.32% Other orders as percentage of total other orders 0.00%

5. BATS BZX Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 12.78% Other orders as percentage of total other orders 1.43%

6. Instinet LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 2.22% Limit orders as percentage of total limit orders 0.25% Other orders as percentage of total other orders 0.00%

7. National Financial Services LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 1.89% Limit orders as percentage of total limit orders 1.75% Other orders as percentage of total other orders 0.00%

8. UBS Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 1.84% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 4.29%

9. Gunnar (Joseph) & Co., LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 1.06% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

10. BATS BYX Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 1.06% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Securities Listed on NYSE MKT or Regional Exchanges**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 76.95%  
 Limit orders as percentage of total limit orders 21.36%  
 Other orders as percentage of total other orders 1.69%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
| 1. Citadel Securities LLC | 31.53% |
| 2. KCG Americas LLC | 31.19% |
| 3. Jane Street Capital, LLC | 10.17% |
| 4. G1 Execution Services, LLC | 7.46% |
| 5. EDGX Exchange, Inc. | 4.75% |
| 6. BATS BZX Exchange | 3.39% |
| 7. Gunnar (Joseph) & Co., LLC | 2.71% |
| 8. UBS Securities, LLC | 2.71% |
| 9. BATS BYX Exchange | 2.03% |
| 10. Instinet LLC | 1.69% |

Information Concerning Significant Venues :  
 1. Citadel Securities LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 34.80% Limit orders as percentage of total limit orders 19.05% Other orders as percentage of total other orders 40.00%

2. KCG Americas LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 33.48% Limit orders as percentage of total limit orders 23.81% Other orders as percentage of total other orders 20.00%

3. Jane Street Capital, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 10.57% Limit orders as percentage of total limit orders 9.52% Other orders as percentage of total other orders 0.00%

4. G1 Execution Services, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 9.25% Limit orders as percentage of total limit orders 1.59% Other orders as percentage of total other orders 0.00%

5. EDGX Exchange, Inc.  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 22.22% Other orders as percentage of total other orders 0.00%

6. BATS BZX Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 15.87% Other orders as percentage of total other orders 0.00%

7. Gunnar (Joseph) & Co., LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 3.52% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

8. UBS Securities, LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 2.64% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 40.00%

9. BATS BYX Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 2.64% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

10. Instinet LLC  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 1.76% Limit orders as percentage of total limit orders 1.59% Other orders as percentage of total other orders 0.00%

**Exchange - Listed Options**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders 100.00%  
 Market orders as percentage of total market orders 10.77%  
 Limit orders as percentage of total limit orders 89.23%  
 Other orders as percentage of total other orders 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
| 1. NASDAQ Options Market | 21.92% |
| 2. BZX Options Exchange, Inc. | 20.38% |
| 3. NYSE Arca Options | 17.31% |
| 4. NASDAQ PHLX Options | 13.85% |
| 5. The MIAX Options Exchange | 10.77% |
| 6. BOX Option Exchange | 7.31% |
| 7. The Chicago Board Options Exchange | 6.54% |
| 8. NYSE Amex Options | 0.77% |
| 9. ISE Gemini Options Exchange | 0.77% |
| 10. EDGX Option Exchange | 0.38% |

Information Concerning Significant Venues :  
 1. NASDAQ Options Market  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 7.14% Limit orders as percentage of total limit orders 23.71% Other orders as percentage of total other orders 0.00%

2. BZX Options Exchange, Inc.  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 22.41% Other orders as percentage of total other orders 0.00%

3. NYSE Arca Options  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 19.40% Other orders as percentage of total other orders 0.00%

4. NASDAQ PHLX Options  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 35.71% Limit orders as percentage of total limit orders 11.21% Other orders as percentage of total other orders 0.00%

5. The MIAX Options Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 21.43% Limit orders as percentage of total limit orders 9.48% Other orders as percentage of total other orders 0.00%

6. BOX Option Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 7.14% Limit orders as percentage of total limit orders 7.33% Other orders as percentage of total other orders 0.00%

7. The Chicago Board Options Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 14.29% Limit orders as percentage of total limit orders 5.60% Other orders as percentage of total other orders 0.00%

8. NYSE Amex Options  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 0.43% Other orders as percentage of total other orders 0.00%

9. ISE Gemini Options Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 0.43% Other orders as percentage of total other orders 0.00%

10. EDGX Option Exchange  
 Types of Orders Routed to Venue :  
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%