**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

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**JOSEPH GUNNAR & CO., LLC30 BROAD STREET11TH FLOORNEW YORK, NY 10004SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2016**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 83.58%
 Limit orders as percentage of total limit orders 13.76%
 Other orders as percentage of total other orders 2.66%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
|  1. Citadel Securities LLC |  37.42% |
|  2. KCG Americas LLC |  34.68% |
|  3. G1 Execution Services, LLC |  13.89% |
|  4. BATS BZX Exchange |  4.07% |
|  5. EDGX Exchange, Inc. |  2.58% |
|  6. National Financial Services LLC |  2.00% |
|  7. Instinet LLC |  1.87% |
|  8. UBS Securities, LLC |  0.91% |
|  9. BATS BYX Exchange |  0.71% |
| 10. Citigroup Global Markets, Inc. |  0.46% |

Information Concerning Significant Venues :
 1. Citadel Securities LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 39.85% Limit orders as percentage of total limit orders 15.11% Other orders as percentage of total other orders 76.56%

 2. KCG Americas LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 37.61% Limit orders as percentage of total limit orders 19.94% Other orders as percentage of total other orders 18.75%

 3. G1 Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 15.87% Limit orders as percentage of total limit orders 4.53% Other orders as percentage of total other orders 0.00%

 4. BATS BZX Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 29.61% Other orders as percentage of total other orders 0.00%

 5. EDGX Exchange, Inc.
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 18.73% Other orders as percentage of total other orders 0.00%

 6. National Financial Services LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 1.89% Limit orders as percentage of total limit orders 2.72% Other orders as percentage of total other orders 1.56%

 7. Instinet LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 2.04% Limit orders as percentage of total limit orders 1.21% Other orders as percentage of total other orders 0.00%

 8. UBS Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.95% Limit orders as percentage of total limit orders 0.30% Other orders as percentage of total other orders 3.13%

 9. BATS BYX Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.70% Limit orders as percentage of total limit orders 0.91% Other orders as percentage of total other orders 0.00%

 10. Citigroup Global Markets, Inc.
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.35% Limit orders as percentage of total limit orders 1.21% Other orders as percentage of total other orders 0.00%

**Securities Listed on The Nasdaq Stock Market**

**Summary Statistics :**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 79.31%
 Limit orders as percentage of total limit orders 17.60%
 Other orders as percentage of total other orders 3.09%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
|  1. KCG Americas LLC |  36.39% |
|  2. Citadel Securities LLC |  33.75% |
|  3. G1 Execution Services, LLC |  13.81% |
|  4. EDGX Exchange, Inc. |  4.98% |
|  5. BATS BZX Exchange |  2.29% |
|  6. Instinet LLC |  1.81% |
|  7. National Financial Services LLC |  1.81% |
|  8. UBS Securities, LLC |  1.59% |
|  9. Gunnar (Joseph) & Co., LLC |  0.84% |
| 10. BATS BYX Exchange |  0.84% |

Information Concerning Significant Venues :
 1. KCG Americas LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 36.54% Limit orders as percentage of total limit orders 28.82% Other orders as percentage of total other orders 75.71%

 2. Citadel Securities LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 38.10% Limit orders as percentage of total limit orders 18.55% Other orders as percentage of total other orders 8.57%

 3. G1 Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 16.30% Limit orders as percentage of total limit orders 5.01% Other orders as percentage of total other orders 0.00%

 4. EDGX Exchange, Inc.
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 28.32% Other orders as percentage of total other orders 0.00%

 5. BATS BZX Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 12.78% Other orders as percentage of total other orders 1.43%

 6. Instinet LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 2.22% Limit orders as percentage of total limit orders 0.25% Other orders as percentage of total other orders 0.00%

 7. National Financial Services LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 1.89% Limit orders as percentage of total limit orders 1.75% Other orders as percentage of total other orders 0.00%

 8. UBS Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 1.84% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 4.29%

 9. Gunnar (Joseph) & Co., LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 1.06% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

 10. BATS BYX Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 1.06% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

**Securities Listed on NYSE MKT or Regional Exchanges**

**Summary Statistics :**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 76.95%
 Limit orders as percentage of total limit orders 21.36%
 Other orders as percentage of total other orders 1.69%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
|  1. Citadel Securities LLC |  31.53% |
|  2. KCG Americas LLC |  31.19% |
|  3. Jane Street Capital, LLC |  10.17% |
|  4. G1 Execution Services, LLC |  7.46% |
|  5. EDGX Exchange, Inc. |  4.75% |
|  6. BATS BZX Exchange |  3.39% |
|  7. Gunnar (Joseph) & Co., LLC |  2.71% |
|  8. UBS Securities, LLC |  2.71% |
|  9. BATS BYX Exchange |  2.03% |
| 10. Instinet LLC |  1.69% |

Information Concerning Significant Venues :
 1. Citadel Securities LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 34.80% Limit orders as percentage of total limit orders 19.05% Other orders as percentage of total other orders 40.00%

 2. KCG Americas LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 33.48% Limit orders as percentage of total limit orders 23.81% Other orders as percentage of total other orders 20.00%

 3. Jane Street Capital, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 10.57% Limit orders as percentage of total limit orders 9.52% Other orders as percentage of total other orders 0.00%

 4. G1 Execution Services, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 9.25% Limit orders as percentage of total limit orders 1.59% Other orders as percentage of total other orders 0.00%

 5. EDGX Exchange, Inc.
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 22.22% Other orders as percentage of total other orders 0.00%

 6. BATS BZX Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 15.87% Other orders as percentage of total other orders 0.00%

 7. Gunnar (Joseph) & Co., LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 3.52% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

 8. UBS Securities, LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 2.64% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 40.00%

 9. BATS BYX Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 2.64% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%

 10. Instinet LLC
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 1.76% Limit orders as percentage of total limit orders 1.59% Other orders as percentage of total other orders 0.00%

**Exchange - Listed Options**

**Summary Statistics :**

 Non-directed orders as percentage of total customer orders 100.00%
 Market orders as percentage of total market orders 10.77%
 Limit orders as percentage of total limit orders 89.23%
 Other orders as percentage of total other orders 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |
| --- |
|  |
|  1. NASDAQ Options Market |  21.92% |
|  2. BZX Options Exchange, Inc. |  20.38% |
|  3. NYSE Arca Options |  17.31% |
|  4. NASDAQ PHLX Options |  13.85% |
|  5. The MIAX Options Exchange |  10.77% |
|  6. BOX Option Exchange |  7.31% |
|  7. The Chicago Board Options Exchange |  6.54% |
|  8. NYSE Amex Options |  0.77% |
|  9. ISE Gemini Options Exchange |  0.77% |
| 10. EDGX Option Exchange |  0.38% |

Information Concerning Significant Venues :
 1. NASDAQ Options Market
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 7.14% Limit orders as percentage of total limit orders 23.71% Other orders as percentage of total other orders 0.00%

 2. BZX Options Exchange, Inc.
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 22.41% Other orders as percentage of total other orders 0.00%

 3. NYSE Arca Options
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 0.00% Limit orders as percentage of total limit orders 19.40% Other orders as percentage of total other orders 0.00%

 4. NASDAQ PHLX Options
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 35.71% Limit orders as percentage of total limit orders 11.21% Other orders as percentage of total other orders 0.00%

 5. The MIAX Options Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 21.43% Limit orders as percentage of total limit orders 9.48% Other orders as percentage of total other orders 0.00%

 6. BOX Option Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 7.14% Limit orders as percentage of total limit orders 7.33% Other orders as percentage of total other orders 0.00%

 7. The Chicago Board Options Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 14.29% Limit orders as percentage of total limit orders 5.60% Other orders as percentage of total other orders 0.00%

 8. NYSE Amex Options
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 0.43% Other orders as percentage of total other orders 0.00%

 9. ISE Gemini Options Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 0.43% Other orders as percentage of total other orders 0.00%

 10. EDGX Option Exchange
 Types of Orders Routed to Venue :
 Market orders as percentage of total market orders 3.57% Limit orders as percentage of total limit orders 0.00% Other orders as percentage of total other orders 0.00%