

BofA Securities Europe SA

As of April 2025

Overview and structure

Overview

- BofA Securities Europe SA ('BofASE') is an établissement de crédit et d'investissement (credit and investment institution) and Bank of America Corporation's ('BAC') Broker Dealer for clients in the European Economic Area.
- BofASE is headquartered in Paris, France and has the ability to trade throughout Europe and conduct business with international clients.

Legal entity details

- Société Anonyme ('SA') incorporated in France
- Registration Number: 842 602 690
- Date of Incorporation: 25 September, 2018
- Registered Address: 51 Rue La Boétie, 75008 Paris, France
- Official BAC Website address: about.bankofamerica.com
- Official Bank of America in France Website address: [Bank of America in France](https://bankofamerica.com/fr)
- Legal Entity Identifier (LEI)
- LEI: 549300FHOWJAPEHTIQ77
- Confirmation of LEI can be found at the: [Global Legal Entity Identifier website](https://www.lei.com)

Regulatory information

- Authorised and supervised by the European Central Bank (ECB) and the Autorité de Contrôle Prudentiel et de Résolution (ACPR) and regulated by the ACPR and the Autorité des Marchés Financiers (AMF).
- Code Banque (CIB): 12583.
- For confirmation of the CIB and a list of activities for which BofASE has been authorised please visit [REGAFI Website](https://www.regafi.com).

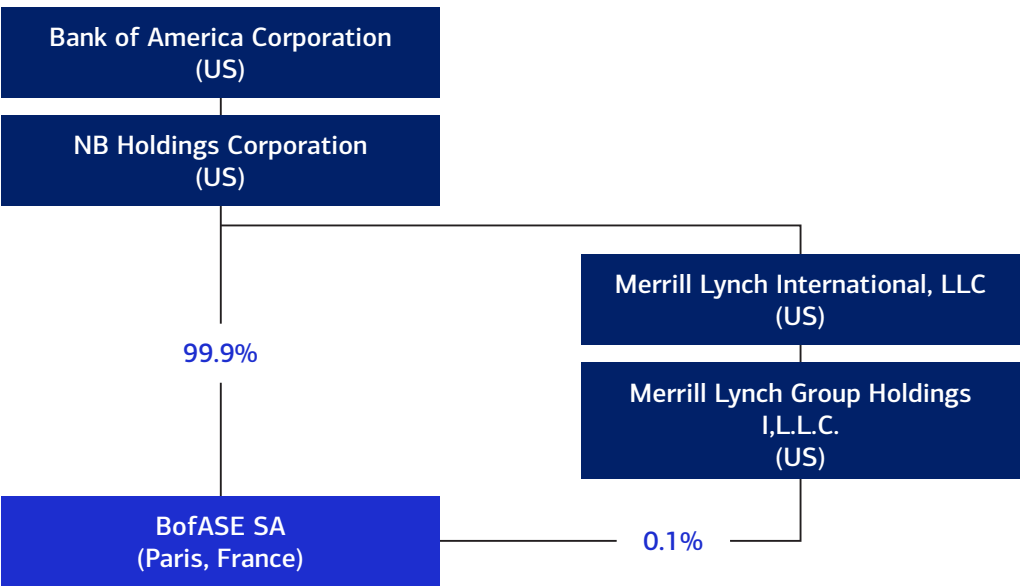
Entity structure

- Wholly-owned indirect subsidiary of BAC, a regulated United States entity. An organisational chart depicting select major operating subsidiaries of BAC is available at investor.bankofamerica.com.
- BAC Registered Office: 1209 Orange Street – Corporation Trust Center, Wilmington DE 19801, United States.
- BAC Principal Executive Offices: Bank of America Corporate Center, 100 North Tryon Street, Charlotte, North Carolina 28255, United States.
- Bank of America Corporation is listed on the NYSE (ticker = BAC).

BofASE SA board structure – as of April 2025

BofASE SA Directors	Appointed
Vanessa Holtz	01/12/2021 Executive Director
Bernard Mensah	07/05/2021 Non-Executive Director
Pierre J P de Weck	21/01/2019 Non-Executive Director, Chair of the Board
Thomas Woods	29/01/2024 Non-Executive Director
Pierre Fleuriot	23/08/2019 Independent Non-Executive Director
Marie-Hélène Sartorius	16/10/2020 Independent Non-Executive Director
Fiona Frick	05/12/2023 Independent Non-Executive Director

Bank of America ownership structure for BofA Securities Europe SA



Financial performance – for the year ended 31 December 2024

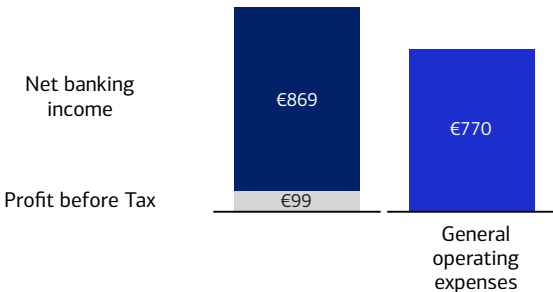
Overview

- Broker and dealer in equities and fixed income, currency and commodities financial instruments
- Capital Markets services including Equities, Debt and Leveraged Finance services
- Investment Research
- Post trade related services

Legal Entity details

- Credit Ratings can be found on the [Investor Relations website](#)

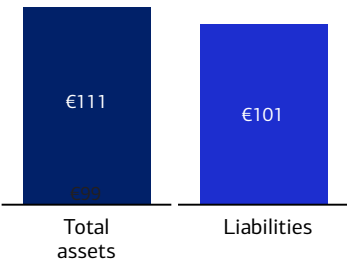
BofASE financial performance
(French GAAP in €millions)



Source: BofASE 2024 financial statements

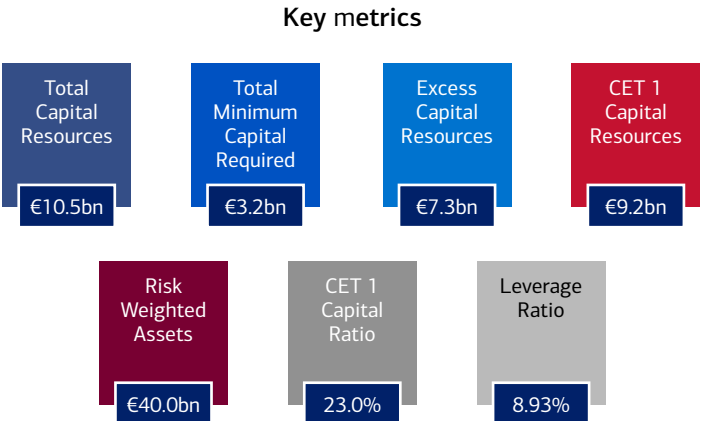
Financial position – as of 31 December 2024

Balance sheet composition
(€bn)



Source: BofASE 2024 financial statements

Capital adequacy and leverage metrics



BofA Securities Europe SA

As of April 2025

Market Access

BofASE has the ability to trade throughout Europe and conduct business with international clients either via direct membership to those trading venues or via membership of other affiliated legal entities. Examples of BofASE's market access includes but is not limited to:

Exchange Access

Austria (Wiener Börse)	Italia (Euronext Milan)
Belgium (Euronext Brussels)	Netherlands (Euronext Amsterdam)
Denmark (Nasdaq OMX Copenhagen)	Norway (Euronext Oslo)
Finland (Nasdaq OMX Helsinki)	Poland (Warsaw Stock Exchange)
France (Euronext Paris)	Portugal (Euronext Lisbon)
Germany (Deutsche Börse)	Spain (Bolsade Madrid)
Greece (Athens Stock Exchange)	Sweden (Nasdaq OMX Stockholm)
Ireland (Euronext Dublin)	Other exchanges incl. Eurex, MFEF, Euronext, Nasdaq Nordic, ICE Endex, EEX

Multi-Lateral Trading Facilities

Aquis Exchange	LMAX MTF
Bloomberg LP	MarketAxess
Cboe Europe	FC Connect
TP ICAP	Turquoise
BGC	MTS
Brokertec	Tradeweb
Equiduct	

Systematic Internalisers

BofA's own systematic internaliser	Tower Research Capital Europe
Virtu SI	Citadel
XTX Markets	HRTEU Limited

Additional Information and Resources

Policies & Policy Summary

- By accessing this link: [MiFID II Policies and Required Documents](#) – you will find key documentation, useful links to external resources, along with contact details should you require additional information on MiFID II:
- BofA EMEA Order Execution Policy Summary
- BofA Inducements Policy Summary
- Systematic Internaliser Disclosures Document
- BofA EMEA Conflicts of Interest Policy
- BofA EMEA Allocation and Distribution Policy

Bank of America code of conduct resources

- Code of conduct disclosure can be found on the [Code of Conduct website](#).

Bank of America Anti-Money Laundering resources

- To obtain the following documents please visit the AML section on the [Investor Relations Website](#).
- Bank of America AML and Economic Sanctions Compliance Program Letter
- Bank of America AML Questionnaire (Wolfsberg FCCQ).

Bank of America Patriot Act Certification.

Bank of America Corporate Governance resources

- Certificate of Incorporation, Bylaws, Corporate Governance Guidelines, Nomination of Directors and Stockholder Proposals can be found on the [Corporate Governance website](#).
- Bank of America Investor Relations Website
- For further information please visit the [Investor Relations website](#).

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