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## EDUCATION

University of Pennsylvania  
Law School  
Philadelphia, PA  
Juris Doctorate

Ohio State University  
Columbus, Ohio  
Bachelor of Arts

## BAR ADMISSIONS

New York  
New Jersey  
U.S.D.C. Southern District of New York  
U.S.D.C. Eastern District of New York  
U.S.D.C. District of New Jersey

## ABOUT DAVID

David M. Rosenfield is a seasoned attorney with over three decades of experience in white-collar defense, securities, and bank regulatory matters. Formerly Chair of White-Collar Defense and Investigations at a NYC law firm, he also served as an Assistant U.S. Attorney, focusing on securities fraud cases. David's expertise spans criminal prosecutions, grand jury investigations, and corporate internal investigations, involving areas such as securities, banking, consumer fraud, and healthcare regulations. His success includes adeptly handling high-profile cases, from insider trading to money laundering, and navigating diverse legal landscapes.

Beyond his legal practice, David is a recognized authority, contributing to articles, appearing on CNBC and Bloomberg News, and speaking at panels. He held leadership roles, including Chairperson of the White-Collar Crime Committee of the ABA's Business Law Section. David earned his J.D. from the University of Pennsylvania Law School and is admitted to practice in New York and New Jersey. Committed to his clients, he combines a versatile and pragmatic approach with a passion for achieving optimal outcomes.

## NAVIGATING COMPLEX LEGAL LANDSCAPES

In today's complex regulatory environment, public and private companies, their executives, and employees are faced with legal challenges that require a comprehensive understanding of the law, coupled with strategic acumen. Our attorneys are dedicated to representing corporations, boards of directors, management, and other individuals in all phases of civil enforcement and criminal investigations, jury trials, and internal investigations.

With a deep-rooted understanding of the securities industry and the financial regulatory ecosystem, our team provides unmatched expertise in handling regulatory and criminal matters that often concern our clients' most critical and challenging issues.

Our team includes seasoned attorneys with senior experience in U.S. law enforcement and the SEC. This unique background equips us with unparalleled insight into regulatory procedures. With strong relationships and a proactive approach, we navigate complex cases effectively, anticipating regulatory shifts and providing dedicated representation for our clients in white-collar defense and regulatory investigations.

## ABOUT LUCOSKY BROOKMAN

Lucosky Brookman is a corporate law firm with offices in New York, New Jersey, and Philadelphia representing both domestic and international clients in sophisticated corporate and securities transactions, mergers and acquisitions, secured and unsecured lending transactions, PIPEs, commercial and securities litigation, intellectual property, insurance coverage and defense, real estate and general corporate matters.