

RIOT PLATFORMS, INC.
WHISTLEBLOWER POLICY
Effective July 27, 2022

Riot Platforms, Inc. (the “**Company**”) is committed to promoting honest and ethical practices in fulfilling its auditing, internal accounting, and public reporting obligations in compliance with applicable laws, rules, and regulations. The Company has adopted this whistleblower policy (this “**Whistleblower Policy**”) to establish procedures for the receipt, retention, and resolution of concerns and complaints related to accounting, internal accounting controls, auditing matters, fraud, or any violation of any law, rule, or regulation applicable to the Company, or of the Company’s Code of Ethics, in each case as it relates to Accounting Matters (as defined below) (collectively, a “**Complaint**”).

Sarbanes-Oxley Act of 2002

The Company is subject to the Sarbanes-Oxley Act of 2002 (“**SOX**”), which requires the Audit Committee (the “**Committee**”) of the Board of Directors of the Company (the “**Board**”) to establish procedures for:

- (a) the receipt, retention, and treatment of complaints received by the Company regarding accounting, internal accounting controls, or auditing matters (“**Accounting Matters**”); and
- (b) the submission by employees of the Company and others, on a confidential and anonymous basis, of good faith concerns regarding Accounting Matters.

This Whistleblower Policy is the sole whistleblower policy adopted by the Company for purposes of compliance with the requirements of SOX.

Complaints

Any employee or stockholder of the Company, or any outside party, may submit a written Complaint pursuant to this Whistleblower Policy to the Committee, the Office of the General Counsel, or, if applicable, outside legal counsel. A Complaint should contain as much specific detail as possible to allow for proper assessment. In addition, the Complaint should contain sufficient corroborating information to support the commencement of an investigation. Employees and others submitting a Complaint need not provide their name or other personal information. Efforts will be made to investigate the basis for the Complaint in a manner that protects confidentiality and anonymity.

Employees are encouraged to assist in eliminating any violations by bringing Complaints to the attention of the Company. Nothing in this Whistleblower Policy is intended to prevent any person from reporting information to any governmental agency or commission regarding possible legal violations. A report to such governmental agency or commission may be made instead of, or in addition to, a Complaint directly to the Company.

Investigations

In the event a member of management receives a Complaint, he or she will conduct due inquiry into the basis of the Complaint to evaluate its credibility and, if it is determined to be reasonably credible, forward it to the Committee. The Committee will consider each matter reported to it and, as appropriate, investigate the Complaint and take corrective or disciplinary action. The Committee will select the party responsible for leading the investigation (the “**Investigator**”). Investigators may include, but are not limited to, Company General Counsel, outside counsel, external auditors, or special legal counsel. If a third party

Investigator is chosen, the Company will fund the cost of such Investigator. The Committee chair will be responsible for assessing the independence of any such Investigator prior to his, her, or their selection.

The Investigator shall prepare periodic reports (which may be written or presented orally to the Committee at a meeting duly called to hear such reports) summarizing the status of any investigation of a Complaint, which will include: (i) the name of the complainant (unless the Complaint was anonymous or the person making the Complaint has requested anonymity, in which case the report will so indicate), (ii) a description of the substance of the Complaint, (iii) the status of the investigation, (iv) any conclusions reached by the Investigator or others, and (v) findings and recommendations.

If the findings indicate the Complaint is valid, the Committee will determine an appropriate response, which may include disciplinary action and/or the establishment of new processes to prevent further violations of applicable law, rules, regulations, or policies. The Committee chair will discuss the Investigator's findings with legal counsel to determine whether public disclosure or disclosure to outside agencies and/or reporting to the full Board is necessary or appropriate.

At any time with regard to any Complaint, the Investigator, in consultation with the Board and/or the Committee, may specify a different procedure for investigating and treating a Complaint. This may be appropriate, for example, when a Complaint concerns pending, threatened, or the risk of prospective litigation or criminal proceedings.

Retaliation

The Company will not permit retaliation against anyone who makes a Complaint in good faith. This is true even if it is later determined that no violation occurred. The Company also prohibits retaliation against a person because of such person's good faith participation in an investigation of a Complaint. Retaliation may include firing, suspending, harassing, demoting, disciplining, discriminating against or intimidating someone. Additionally, no employee shall be adversely affected because he or she refuses to carry out a directive that constitutes fraud or is a violation of any law, rule, regulation, or Company policy.

Retention of Records

The Committee shall retain with its records copies of any Complaints, and the reports of any investigations, for a period of no less than five (5) years following the date of submission of the Complaint.

Additional Information

This Whistleblower Policy may be updated at any time, with or without advance notice, by the Company in its sole discretion. Any questions regarding this Whistleblower Policy or any requests for further guidance should be directed to Counsel or to the Company as provided below.

Notices

Any notices under this Whistleblower Policy, including any Complaints, and any questions regarding this Whistleblower Policy shall be sent to the Company as follows:

Riot Platforms, Inc.
3855 Ambrosia Street, Suite 301
Castle Rock, CO 80109
Attn: General Counsel
Tel: (303)794-2000 ext. 130
Email: legal@riot.inc

Effective July 27, 2022, as adopted by the of the Board of Directors of Riot Platforms, Inc.

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