

# Concomitant targeting of FLT3 and BTK with CG-806 overcomes FLT3-inhibitor resistance through inhibition of autophagy

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## Background

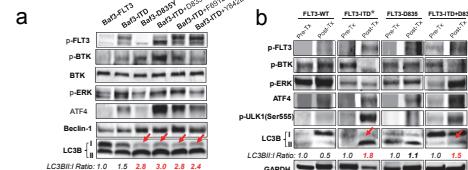
Fms-like tyrosine kinase 3 (FLT3)-targeted therapy represents an important paradigm in the management of patients with highly aggressive *FLT3*-mutated acute myeloid leukemia (AML). However, clinical efficacy is usually transient and followed by emergence of resistance (Borthakur et al., 2011; Cortes et al., 2013; Zhang et al., 2008). Such resistance often results from acquired mutations of TKD, which are frequently identified in the D835, Y842 and F691 residues of the protein (Smiti et al., 2015; Smith et al., 2012; Zhang et al., 2014). It was reported that the *FLT3*-ITD-targeting drug sorafenib can induce autophagy in human myeloid dendritic cells (Lin et al., 2013). Induction of autophagy has also been reported to play a crucial role in resistance to BCR-ABL inhibitor imatinib in CML (Hekmatshaour et al., 2018). Additionally, inhibition of autophagy can re-sensitize cancer cells to apoptosis induction (Fitzwalter et al., 2018; Piya et al., 2017), suggesting the possibility that inhibition of autophagy may represent a novel therapeutic strategy for overcoming resistance to *FLT3*-targeted therapy.

## Methods

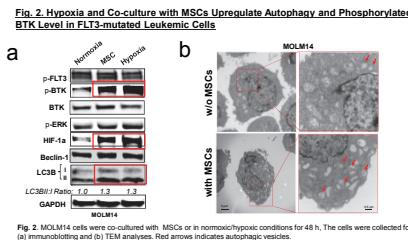
- Leukemia cell lines and AML patient samples were exposed to the small molecule pan-BTK inhibitor CG-806 (Zhang et al., 2017; Yu et al., 2017) or other indicated compounds in the presence/absence of mesenchymal stem cells (MSCs)/hypoxic conditions *in vitro*. Apoptosis induction was analyzed using FACS by measuring annexin V positivity.
- Cells lysates were collected. Total and phosphorylated levels of the indicated proteins were determined by immunoblotting.
- MOLM14*-BTK-KD cells were generated by introducing BTK siRNA (Dharmacon) with the Nucleofection system (Amaxa) following the manufacturer's instructions.
- For TEM analysis, the treated *MOLM14* cells were fixed, Epon 812 embedding and staining followed regular TEM processing. Observed in a JEM 1010 TEM, 80 KV.
- A PDX murine model was established by *i.v.* injection of an AML patient sample (harboring *FLT3*-ITD plus D835) into NSG mice. The mice started treatment with CG-806 at 100mg/kg dose when reaching 1% engraftment in blood (i.e. Day 27). Engraftment was analyzed using FACS by measuring CD45<sup>+</sup>/mCD45<sup>+</sup> population. Mouse survival was estimated by the Kaplan-Meier method with log-rank statistics.

## Results

**Fig. 1. *FLT3*-inhibitor Resistant Cells and Primary AML Samples Post Sorafenib Therapy Show High Basal Levels of Autophagy**

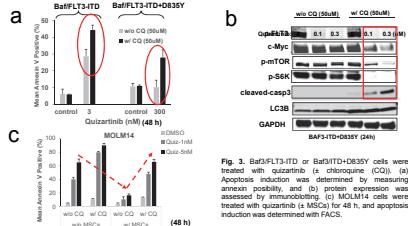


**Fig. 1.** Bat3 cells, or AML patient samples bearing variety of *FLT3* mutations, were collected for determining protein expression with immunoblotting. \*These AML patients showed no response after receiving sorafenib administration in Phase I clinical trial.



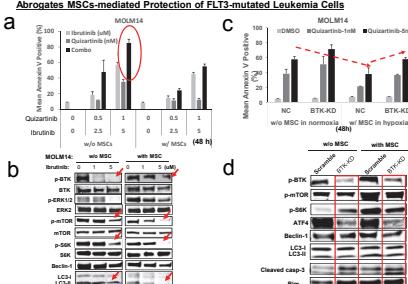
**Fig. 2.** *MOLM14* cells were co-cultured with MSCs or in normoxic/hypoxic conditions for 48 h. The cells were collected for (a) immunoblotting and (b) TEM analyses. Red arrows indicate autophagic vesicles.

**Fig. 3. Autophagy Inhibition with Chloroquine (CQ) Enhances Quisartinib-Induced Apoptosis and Partially Abrogates MSCs-mediated Protection**



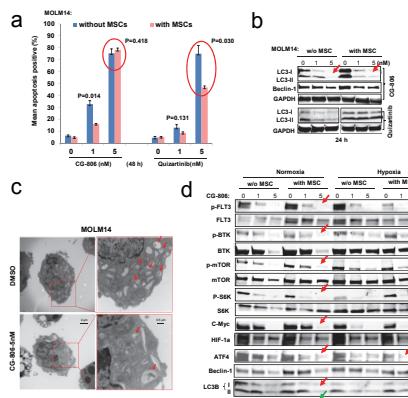
**Fig. 3.** *Baf/FLT3-ITD* or *Baf/FLT3-ITD-D835Y* cells were treated with quisartinib (*a*) (40 nM) or chloroquine (CQ) (*b*). (a) Apoptosis induction was determined by measuring annexin V-positive cells. (b) Autophagy was assessed by immunoblotting. (c) *MOLM14* cells were treated with quisartinib (5 μM) for 48 h, and apoptosis induction was determined with FACS.

**Fig. 4. BTK Inhibition Sensitizes to Quisartinib-induced Leukemic Cell Killing and Abrogates MSCs-mediated Protection of *FLT3*-mutated Leukemic Cells**



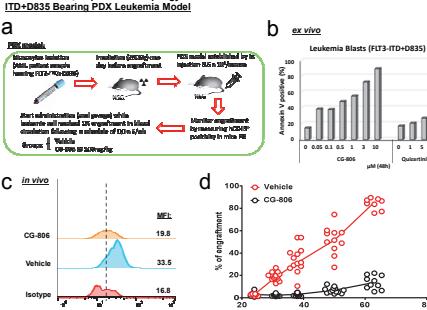
**Fig. 4.** *(a, b)* *MOLM14* cells or *(c)* *MOLM14*-scrub/BSK-siRNA-knocking down cells were treated with ibritinib/quisartinib alone or combo (eMSCs) and apoptosis induction and protein expression were determined with FACS and immunoblotting.

**Fig. 5. The *FLT3/BTK* Inhibitor CG-806 Abolishes MSCs/hypoxia-mediated Protection and Induces Apoptosis in *FLT3*-mutated Leukemia Cells**

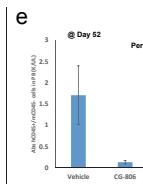


**Fig. 5.** *MOLM14* cells were treated with CG-806 (40 nM) *(a)* (48 h) or CG-806 (24 h) *(b)*. (a) Autophagy was analyzed by TUNEL assay. Red arrows indicate autophagic vesicles. (b) *MOLM14* cells were treated with CG-806 in normoxic/hypoxic conditions for 24 h. Protein expression was determined with immunoblotting analysis. Red arrows indicate downregulation and green arrows indicate upregulation.

**Fig. 6. The *FLT3/BTK* Inhibitor CG-806 Exerts Efficient Anti-leukemia Activity in *FLT3*-inhibitor Resistant AML. It Represses Autophagy and Extends Mouse Survival in a *FLT3*-ITD+D835 Bearing PDX Leukemia Model**



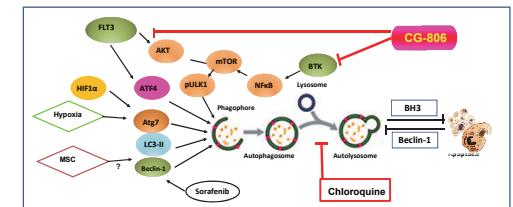
**Fig. 6.** *(a)* NSG mice PDX model by xenografting with *FLT3*-ITD + D835 mutated AML patient sample. (b) Engrafted leukemia cells (CD45<sup>+</sup>/mCD45<sup>+</sup>) were treated with CG-806 or Quisartinib *ex vivo*, and mouse engraftment was assessed by FACS. (c) Normalized percentage of peripheral blood was assessed by FACS. These micegroup was sacrificed and engraftment (hCD45<sup>+</sup>/mCD45<sup>+</sup> percentage) was assessed in (d) peripheral blood, (e) bone marrow and spleen by FACS. (f) Mouse survival ( $n = 7$  mice/group) was estimated by the Kaplan-Meier method with log-rank statistics. Median survival are 72 and 113 in Vehicle and CG-806 (100mg/kg), respectively. (g) Mouse survival curve.



**e**

**f**

**g**



## Conclusions

- FLT3*-inhibitor resistant (*FLT3*-ITD plus TKD mutated) cells or *FLT3*-targeted therapy upregulates spontaneous autophagy levels and accompanies increase of phospho-BTK.
- Autophagy and phospho-BTK increase in the presence of MSCs/hypoxia environment in *FLT3*-mutated AML.
- Inhibition of autophagy enhances quisartinib-induced pro-apoptotic effects and abrogates MSCs/hypoxia-mediated protection.
- BTK inhibition with ibritinib or BTK siRNA sensitizes quisartinib-induced anti-leukemia effects in *FLT3*-mutated AML.
- Pan-*FLT3*/pan-BTK inhibitor CG-806 abolishes MSCs/hypoxia-mediated protection and triggers apoptosis induction by reducing autophagy and phospho-BTK levels.
- CG-806 triggers profound pro-apoptotic effects and reduces autophagy levels in *FLT3*-inhibitor resistant AML patient samples in *ex vivo* and *in vivo*.
- CG-806 significantly reduces leukemia burden and extends survival in a *FLT3*-ITD+D835-bearing PDX human leukemia model.
- Blockade of *FLT3/BTK* with CG-806 could provide a novel strategy for preventing or overcoming *FLT3* inhibitor resistance in *FLT3*-mutated AML. Phase I trials of CG-806 are in preparation.

**Conflict of interest:** H. Zhang and W. Rice are employees of Aptose Biosciences; M. Andreeff serves on Aptose Biosciences SAB.