

Corporate Compliance

At SCYNEXIS we are committed to positively impacting the lives of patients suffering from difficult-to-treat and often life-threatening infections by developing innovative therapies.

Our compliance program is built around our company's culture of Team, Patients and Products.

- Team – Diversity, Integrity and Collaboration
- Patients – Empathy, Caring and Dedication
- Products – Innovation, Knowledge and Ingenuity

The compliance program is also based on the “Commercial Compliance Program Guidance for Pharmaceutical Manufacturers,” published by the Office of Inspector General (OIG), U.S. Department of Human Services (DHHS) and the most current version of the PhRMA Code on Interactions with Healthcare Professionals.

The fundamentals of our compliance program are outlined below.

Compliance Leadership

SCYNEXIS has identified an individual who is responsible for developing, operating and monitoring the compliance program. This person reports to the President/CEO of SCYNEXIS and has direct access to the Board of Directors regarding all compliance concerns.

Documented Policies and Procedures

SCYNEXIS has documented policies and procedures that address the company's expectations regarding adherence to all applicable laws and regulations. These policies and procedures address the risk areas identified in the OIG guidance as well as other areas specific to SCYNEXIS.

Training

SCYNEXIS is dedicated to an ongoing training and education program for its associates with regard to their legal and ethical obligations and trains its associates on its Code of Business Conduct and Ethics. The training program is subject to review and modification as deemed appropriate by the company.

Communication

SCYNEXIS encourages free flow of concerns from associates with regard to its business activities. All associates have access to report potential instances of fraud and abuse or other instances of potential violation of law, regulations or company policy and procedures. These reports may be submitted through their management, or through any of the compliance, human resources, or legal departments. In addition, SCYNEXIS has established a hotline which is available 24 hours a day, 7 days a week, to report potential violations of laws, policies and procedures. If desired, the individual may submit reports anonymously.

To report any potential compliance concerns, please contact the Compliance Hotline at the number or email address below.

866-899-1595 or SCYX@openboard.info

SCYNEXIS does not tolerate any form of retaliation against individuals who report in good faith potential improper, unethical or illegal conduct.

Auditing and Monitoring

The SCYNEXIS compliance program includes ongoing internal efforts to assess, evaluate, monitor, and audit compliance with the company's policies and procedures. The results of these activities are reported to management.

Corrective Action

SCYNEXIS has an established disciplinary process for those employees who violate the law, regulations or company policy and procedures. The company will investigate the matter based on the nature of the violation and consider discipline to address the violation and prevent or correct where necessary. In addition to imposing appropriate disciplinary action, the company also assesses whether a violation may be due in part to gaps in company policies, procedures, training, business practices and other controls. If so, the company is committed to implementing corrective and preventative measures to enhance its controls to prevent further violations.