



IN THE COURT OF CHANCERY OF THE STATE OF DELAWARE

IN RE AMC ENTERTAINMENT  
HOLDINGS, INC.  
STOCKHOLDER LITIGATION

CONSOLIDATED  
C.A. No. 2023-0215-MTZ

**AFFIDAVIT OF PAUL MULHOLLAND  
CONCERNING MAILING OF POST CARD NOTICE**

I, Paul Mulholland, declare as follows:

1. I am the President of Strategic Claims Services (“SCS” or the “Notice Administrator”), a nationally recognized class action administration firm. I have over 30 years of experience specializing in the administration of class action cases. SCS was established in April 1999 and has administered over 525 class action cases since its inception. I have personal knowledge of the facts set forth herein, and if called on to do so, I could and would testify competently thereto.

2. Pursuant to the Scheduling Order with Respect to Notice and Settlement Hearing, entered on May 1, 2023, SCS was retained as the Notice Administrator to send a post card notice to record and beneficial owners of AMC Entertainment Holdings, Inc. (“AMC”) Common Stock<sup>1</sup> in connection with the proposed Settlement of the above-captioned action.

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<sup>1</sup> All terms with initial capitalization not otherwise defined herein shall have the meanings ascribed to them in the Stipulation and Agreement of Compromise, Settlement, and Release, dated April 27, 2023.

3. I submit this Affidavit in order to provide the Court and the Parties with information regarding the post card notices mailed to potential Settlement Class Members.

4. SCS mailed, by first class mail, postage prepaid, the post card notice to 16,382 record holders identified in the transfer records that were provided to SCS on May 1, 2023 by Weil, Gotshal & Manges LLP, counsel to AMC. This record reflects the individuals and entities that owned AMC Common Stock for their own account, or for the account(s) of their client(s), as of May 1, 2023. The mailing of the post card to record holders of AMC Common Stock was completed on May 8, 2023. A true and correct copy of the post card notice is attached as **Exhibit A**.

5. As in most class actions of this nature, the large majority of potential Settlement Class Members are expected to be beneficial purchasers whose securities are held in “street name” — *i.e.*, the securities are purchased by brokerage firms, banks, institutions, and other third-party nominees in the name of the nominee, on behalf of the beneficial purchasers. The names and addresses of these beneficial purchasers are known only to the nominees. SCS maintains a proprietary master list consisting of 851 banks and brokerage companies (“Nominee Account Holders”), as well as 1,505 mutual funds, insurance companies, pension funds, and money managers (“Institutional Groups”), who are

nominees of beneficial purchasers. On May 3, 2023, SCS caused a letter to be mailed or emailed to the 2,356 nominees contained in the SCS master mailing list. The letter notified them of the Settlement and requested that, within five business days from the date of the letter, they either send or email the post card notice to their customers, beneficial owners who may have purchased or owned AMC Common Stock during the Class Period, or provide SCS with a list of the names and mailing addresses or email addresses of such beneficial owners so that SCS could promptly either mail or email the post card notice to such beneficial owners. A representative example of the letter sent to these nominees is attached as **Exhibit B**, and the nominee list is attached as **Exhibit C**.

6. On May 8, 2023, SCS sent a post card notice for Depository Trust Company (“DTC”) to publish on its Legal Notice System (“LENS”). LENS provides DTC participants the ability to search and download legal notices, as well as receive email alerts based on particular notices or particular CUSIPs once a legal notice is posted.

7. Within five business days of receipt of a response from any nominee for beneficial holders of AMC Common Stock, SCS either mailed or emailed post card notices to such beneficial holders or provided the nominee with post cards to mail to such beneficial holders. Attached as **Exhibit D** is a list of the nominees who responded, when they responded, and when the mailing or emailing of the

post card notice to beneficial holders of AMC Common Stock was completed. Prior to May 31, 2023, SCS and nominees for beneficial holders of AMC Common Stock mailed or emailed approximately 2.8 million post card notices to beneficial holders of AMC Common Stock.

8. Throughout the notification process, SCS called and sent reminder notices to targeted nominees, who did not respond within five business days of receiving the letter notifying them of the Settlement, but typically respond to securities class action settlements. Between May 10, 2023 and May 31, 2023, SCS placed approximately 45 follow-up calls and emails to targeted nominees. Attached as **Exhibit E** is a chart showing SCS's follow-up efforts.

9. Out of the post card notices mailed, 2,640 were returned as undeliverable. Of these, the United States Postal Service provided forwarding addresses for 529, and SCS immediately mailed another post card notice to the updated addresses. The remaining 2,111 post card notices returned as undeliverable were "skip-traced" to obtain updated addresses, and 1,095 were re-mailed to updated addresses.

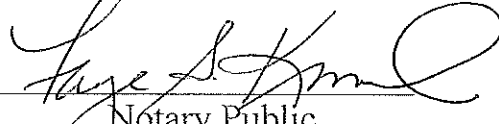
I declare under penalty of perjury under the laws of the State of Delaware that the foregoing is true and correct.

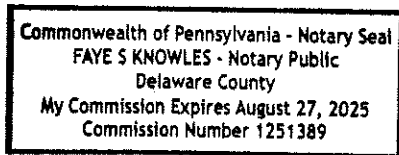
Signed this 7<sup>th</sup> day of June 2023, in Media, Pennsylvania.

  
Paul Mulholland

Subscribed and sworn to before me this

7<sup>th</sup> day of June, 2023.

  
Notary Public





# EXHIBIT A

**Court-Ordered Legal Notice**

**Forwarding Service Requested**

*Important Notice about a  
Settlement*

*This Notice may affect your legal  
rights.*

*Please read it carefully.*

AMC Entertainment Holdings, Inc.  
Stockholder Litigation  
c/o Strategic Claims Services  
P.O. Box 230  
Media, PA 19063

*In re AMC Entertainment Holdings, Inc. Stockholder Litigation, C.A. No. 2023-0215-MTZ*  
*THIS CARD PROVIDES ONLY LIMITED INFORMATION ABOUT THE LITIGATION.*  
*PLEASE VISIT INVESTOR.AMCTHEATRES.COM/NEWSROOM/DEFAULT.ASPX FOR MORE INFORMATION.*

The Court of Chancery of the State of Delaware (the “Court”) has scheduled a hearing to consider whether to approve a proposed Settlement in the Action captioned above. The proposed Settlement would resolve all claims in the Action captioned above.

You received this notice because you may have held AMC common stock during the period from August 3, 2022 through and including the record time, expected to be set as of the close of business in accordance with any New York Stock Exchange and/or Depository Trust Company requirements or policies, on the business day prior to Conversion on which the Reverse Stock Split is effective (the “Class Period”), and you may be a Settlement Class Member. The Settlement was reached between Usbaldo Munoz, Anthony Franchi, and Allegheny County Employees’ Retirement System (“Plaintiffs”) and Adam M. Aron, Denise Clark, Howard W. Koch, Jr., Philip Lader, Gary F. Locke, Kathleen M. Pawlus, Keri Putnam, Anthony J. Saich, Adam J. Sussman, Lee Wittlinger, and AMC (“Defendants”), and consists of a payment to the record holders of common stock as of the Settlement Class Time of one share of common stock for every 7.5 shares of common stock owned by such holders (after giving effect to the Reverse Stock Split) (the “Settlement Payment”). No fractional shares of common stock will be issued. Record holders of common stock who would otherwise be entitled to receive a fractional share of the Settlement Payment will receive a cash payment in lieu thereof in the same manner as will be provided in connection with the Reverse Stock Split.

You can file a written statement in support of, or objection to, the Settlement that is required to be received no later than May 31, 2023, in accordance with the instructions set forth in the Notice and the letter that the Court published to AMC stockholders, which will be posted on the “Investor Relations” section of AMC’s website, [investor.amctheatres.com/newsroom/default.aspx](http://investor.amctheatres.com/newsroom/default.aspx).

A hearing (the “Settlement Hearing”) will be held before Vice Chancellor Morgan T. Zurn on June 29-30, 2023, at the Leonard L. Williams Justice Center, at 500 N. King Street, Wilmington, Delaware to, among other things: (i) determine whether the proposed Settlement is fair, reasonable, and adequate to the Settlement Class; (ii) determine whether a Judgment, should be entered dismissing the Action with prejudice as against Defendants and lifting the Status Quo Order; (iii) determine whether the application by Lead Counsel for an award of attorneys’ fees and expenses and incentive awards should be approved; (iv) hear and rule on any objections to the proposed Settlement, Lead Counsel’s application for an award of attorneys’ fees and expenses, and/or Lead Counsel’s application for incentive awards to Plaintiffs; and (v) consider any other matters that may properly be brought before the Court in connection with the proposed Settlement. Please visit the “Investor Relations” section of AMC’s website, [investor.amctheatres.com/newsroom/default.aspx](http://investor.amctheatres.com/newsroom/default.aspx), or Lead Counsel’s websites, [blbglaw.com](http://blbglaw.com), [gelaw.com](http://gelaw.com) or [fksfirm.com](http://fksfirm.com), for more information.



# **EXHIBIT B**

**REQUEST FOR NAMES, EMAILS AND ADDRESSES OF CLASS MEMBERS**

STRATEGIC CLAIMS SERVICES  
600 N. JACKSON STREET, SUITE 205  
MEDIA, PA 19063

PHONE: (610) 565-9202

EMAIL: info@strategicclaims.net FAX: (610) 565-7985

May 3, 2023

This letter is being sent to all entities whose names have been made available to us, or which we believe may know of potential class members.

**We request that you assist us in identifying any individuals who fit the following description:**

ALL HOLDERS OF AMC ENTERTAINMENT HOLDINGS, INC. ("AMC") CLASS A COMMON STOCK DURING THE PERIOD FROM AUGUST 3, 2022 THROUGH AND INCLUDING THE RECORD TIME, EXPECTED TO BE SET AS OF THE CLOSE OF BUSINESS IN ACCORDANCE WITH ANY NEW YORK STOCK EXCHANGE AND/OR DEPOSITORY TRUST COMPANY REQUIREMENTS OR POLICIES, ON THE BUSINESS DAY PRIOR TO CONVERSION ON WHICH THE REVERSE STOCK SPLIT IS EFFECTIVE (THE "CLASS PERIOD"), WHETHER BENEFICIAL OR OF RECORD, INCLUDING THE LEGAL REPRESENTATIVES, HEIRS, SUCCESSORS-IN-INTEREST, TRANSFEREES, AND ASSIGNEES OF ALL SUCH FOREGOING HOLDERS, BUT EXCLUDING DEFENDANTS.

**The information below may assist you in finding the above requested information.**

<u>AMC Entertainment Holding, Inc. Stockholder Litigation</u> C.A. No. 2023-0215-MTZ Objection Deadline: May 31, 2023 Settlement Hearing: June 29 and 30, 2023	Cusip Number: 00165C104 Ticker Symbol: AMC
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**PER COURT ORDER, PLEASE RESPOND WITHIN FIVE (5) BUSINESS DAYS FROM THE DATE OF THIS NOTICE**

Please comply in one of the following ways:

1. If you have no beneficial purchasers/owners, please so advise us in writing; or
2. Supply us with email addresses, if email addresses are not available, provide us with names and last known addresses of your beneficial purchasers/owners and we will do the emailing or mailing of the Postcard. Please provide us this information electronically. If you are not able to do this, labels will be accepted, but it is important that a hardcopy list also be submitted of your clients; or
3. Advise us of how many beneficial purchasers/owners you have, and we will supply you with the Postcards to do the mailing. After the receipt of the Postcards, you have five (5) business days to mail them.

You can bill us for any reasonable expenses actually incurred and **not to exceed:**

- **\$0.03 per name and address or email address** if you are providing us the records OR
- **\$0.03 per name and address, including materials, plus postage at the current pre-sort rate use by the Notice Administrator** if you are requesting Notice and Proof of Claim Form and performing the mailing.

**All invoices must be received within 30 days from the date of this letter.**

You are on record as having been notified of this legal matter. For more information and important documents, please visit the Investor Relations section of AMC's website, [investor.amctheatres.com/newsroom/default](http://investor.amctheatres.com/newsroom/default).

Thank you for your prompt response.

Sincerely,

Notice Administrator  
AMC Entertainment Holdings, Inc.  
Stockholder Litigation

# **EXHIBIT C**

# LIST OF NOMINEES

ID	NAME1	NAME2
3475	PRIMEVEST FINANCIAL SERVICES, INC.	
3557	VERIZON INVESTMENT MANAGEMENT CORP	
3505	NETWORK 1 FINANCIAL	
3494	CITIGROUP 418	
3490	APEX CLEARING	
3488	RAYMOND JAMES & ASSOCIATES INC / RAY	
3485	WACHOVIA BANK NA	
3507	CREATIVE PLANNING, INC.	
3477	SSB & T CO CLIENT CUSTODY SERVICES	
3509	TRUST FOR PROFESSIONAL MANAGERS	C/O US BANCORP FUND SERVICES, LLC
3461	KEYBANC CAPITAL MARKETS, INC.	
3459	JANNEY MONTGOMERY SCOTT LLC	
3456	HSBC SECURITIES USA INC.	
4839	Sonora Investment Management	
3452	GEORGE K. BAUM & CO	
3450	FIRST CLEARING LLC	
3481	UBS FINANCIAL SERVICES, LLC	
3525	INVESCO	
3347	MACQUARIE CAPITAL (USA) INC.	
3554	FIFTH THIRD BANK	
3552	BERWYN FUNDS, THE-BERWYN CORNERSTONE FUND	
3542	MBSC Securities Corporation	
3541	DREYFUS BROKERAGE	
3536	Financial Advisers of America, LLC	
3506	ADVISOR GROUP	
3528	FANNIE MAE/INVESTMENT	
3444	CREWS & ASSOCIATES INC.	
3523	MEDIANT COMMUNICATIONS INC	
3520	SG AMERICAS SECURITIES LLC	
3519	DBSI	
3516	CURIAN CLEARING LLC	
3515	FOLIO INVESTMENTS	
3514	NOMURA SECURITIES INTERNATIONAL (NSI)	
3533	NATIONAL CITY BANK	
3363	P.J. ROBB VARIABLE CORPORATION	
3381	SIGNATUREFD, LLC	
3376	SAMMONS SECURITIES COMPANY, LLC	
3374	RELIANCE STANDARD LIFE INSURANCE COMPANY	
3372	PROFUNDS DISTRIBUTORS, INC	
3371	PROEQUITIES, INC	
3370	Cetera Investment Services LLC	
3449	FIDUCIARY SSB	
4837	Tradewinds Capital Management, LLC	
3389	INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES	
3361	PHOENIX LIFE AND ANNUITY COMPANY	
3358	PACIFIC SELECT DISTRIBUTORS, INC.	
3355	O.N. EQUITY SALES COMPANY (THE)	
3350	MORGAN CREEK CAPITAL MANAGEMENT, LLC	
3349	MIDAS SECURITIES GROUP, INC	
2441	MASON STREET ADVISORS, LLC.	
3369	PRESIDENTIAL BROKERAGE, INC	
4838	Simplex Trading, LLC	
3559	LSV ASSET MANAGEMENT	
3443	COUNTRY TRUST BANK	
3442	COMPUTERSHARE TRUST COMPANY NA / OP	
3441	COMMERZ EQUITY	
3437	CANTOR FITZGERALD & CO	
3436	CANNACCORD ADAMS INC.	
3383	J.P. MORGAN PRIVATE BANK	
3427	AMERIPRISE	
3628	MONTANA BOARD OF INVESTMENTS	
3493	CITIGROUP 274	
3491	BROADRIDGE	
3424	WACHOVIA BANK NA	
3423	UNION BANK & TRUST CO	
3422	UBS AG STAMFORD BRACH/AS CUSTODIAN	
3421	TRUSTMARK NATIONAL	

# LIST OF NOMINEES

ID	NAME1	NAME2
3447	EDWARD D JONES & CO	
3433	BARCLAYS CAPITAL INC.	
2597	BofA Distributors, Inc.	
3556	CNBS, LLC	
3670	ITAU BBA USA SECURITIES INC	
3668	INSTINET LLC	
3667	INGALLS & SNYDER LLC	
3666	ING FINANCIAL MARKETS LLC	
3665	ICE SECURITIES EXECUTION & CLEARING LLC	
3673	JUMP TRADING LLC	
2600	John Hancock Life & Health Insurance Company/ LTC	
3674	MANUFACTURERS AND TRADERS TRUST COMPANY	
2592	Eastern Bank Capital Markets	
2589	Wellington Trust Company, N.A.	
2587	Eaton Vance Distributors, Inc.	
3663	HRT FINANCIAL LP	
3658	FIRST HORIZON BANK	
3657	FHN FINANCIAL SECURITIES CORP.	
3664	ICAP CORPORATES LLC	
3687	NASDAQ EXECUTION SERVICES INC.	
3698	SAFRA SECURITIES LLC	
3697	ROBINHOOD SECURITIES LLC	
3696	PRECISION SECURITIES LLC	
3695	PHILLIP CAPITAL INC.	
3694	PAXOS TRUST COMPANY LLC	
3691	NATWEST MARKETS SECURITIES INC	
3671	JANE STREET CAPITAL LLC	
3689	NATIONAL BANK OF CANADA FINANCIAL INC.	
3652	E D & F MAN CAPITAL MARKETS INC.	
3686	NASDAQ BX INC.	
3685	MURIEL SIEBERT & CO INC.	
3681	MIZUHO SECURITIES USA LLC	
3680	MIZUHO BANK USA	
3678	MIRAE ASSET SECURITIES (USA) INC.	
3676	MATRIX TRUST COMPANY	
3690	NATIXIS SECURITIES AMERICAS LLC	
3584	PARK AVENUE SECURITIES INC	
4840	Financial Gravity Wealth, Inc.	
3597	FIDELITY INVESTMENTS	
3596	CITIGROUP 418	
3595	CITIGROUP 274	
3593	BROADRIDGE	
3591	FIRST CLEARING CORP	
3656	FEDERAL RESERVE BANK OF NEW YORK	
3586	Prudential Investment Management Services, LLC/Worldwide Inv. Portfolio Funds	
4841	Quadrant Capital Group, LLC	
3581	GARTMORE MORLEY FINANCIAL	
3574	JANUS CAPITAL MANAGEMENT, LLC	
3571	HUNTINGTON NATIONAL BANK	
3568	CENTRAL FIDELITY BANK NA	
3565	BRANDES INVESTMENT PARTNERS L.P.	
3563	Broker Dealer Financial Services Corp.	
3589	JPMORGAN PRIVATE BANK	
3639	BGC FINANCIAL L.P.	
3342	JOHNSON INVESTMENT COUNSEL, INC	
3650	DEALERWEB INC.	
3649	DAIWA CAPITAL MARKETS AMERICA INC	
3648	CURVATURE SECURITIES LLC	
3645	CLEAR STREET LLC	
3642	BUCKLER SECURITIES LLC	
3629	APLINE SECURITIES CORPORATION	
3640	BNP PARIBAS	
3630	AMALGAMATED BANK OF CHICAGO	
3637	BETA CAPITAL SECURITIES INC	
3636	BANK OF CHINA	
3635	B. RILEY SECURITIES INC.	
3634	ASCENSUS TRUST COMPANY	

# LIST OF NOMINEES

ID	NAME1	NAME2
3633	ARCOLA SECURITIES, INC.	
3631	AMHERST PIERPOINT SECURITIES LLC	
3655	FEDERAL HOME LOAN MORTGAGE CORPORATION	
3641	BOKF NATIONAL ASSOCIATION	
4687	EMBED CLEARING LLC	
4722	BROWN CAPITAL MANAGEMENT INC	
4712	DIMENSIONAL FUND ADVISORS INC	
4711	NFJ INVESTMENT GROUP LP	
4707	GMO EMERGING MARKETS FUND (US)	
4693	VELOX CLEARING LLC	
4692	TRADEUP SECURITIES INC	
3498	MAPLEWOOD INVESTMENT ADVISORS	
4688	PRINCIPAL BANK	
3315	FORETHOUGHT LIFE INSURANCE COMPANY	
4686	DRIVEWEALTH LLC	
4676	ROSCOE 17 ASSET RECOVERY SOLUTIONS, LLC	
3412	NATIONAL FINANCIAL SERVICES	
3409	MERRILL LYNCH PIERCE FENNER & SMITH	
3288	CMFG LIFE INSURANCE COMPANY	
2579	Northeast Retirement Services, Inc	
4690	SANFORD C BERNSTEIN & CO LLC	
3253	SWISS RE AMERICA HOLDING CORP	
3348	MARKET STREET FINANCIAL GROUP LLC	
4719	CORDA INVESTMENT MANAGEMENT LLC	
4716	ALBERT D MASON INC	
4715	ADVISORS ASSET MANAGEMENT	
3247	Angelo Crisci	
4714	HARDING LOEVNER LLC	
3497	WELLS FARGO TRUST OPERATIONS	
3255	DISCOVER	
2576	Commonwealth Annuity and Life Insurance Company	
3250	WALL STREET CAPITAL PARTNERS LP	
3249	RELIASTAR LIFE INSURANCE ING	
3248	CLAIMS COMPENSATION BUREAU LLC	
3327	HINES SECURITIES, INC	
3392	COWEN GROUP	
3320	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY	
3258	FIRST CLEARING, ALLIATE OF WELLS FARGO	
2466	PHOENIX/ZWEIG ADVISERS LLC	
2489	SALUS CAPITAL MANAGEMENT, INC.	
2487	RUSSELL (FRANK) COMPANY INC	
2485	COPELAND CAPITAL MANAGEMENT LLC	
2482	RIKOOON CARRET WEALTH MANAGEMENT GROUP	
2476	RAFFERTY ASSET MANAGEMENT	
2473	PUTNAM INVESTMENT MANAGEMENT, LLC	
2578	Global Trust Company	
2467	PHZ CAPITAL PARTNERS LP	
2498	SMC CAPITAL, INC.	
2465	PANAGORA ASSET MANAGEMENT, INC.	
2462	OTA Financial Group LP	
2460	OLSTEIN & ASSOCIATES L.P.	
2458	NORTHERN TRUST COMPANY OF CONNECTICUT	
2457	NISA INVESTMENT ADVISORS, L.L.C.	
4034	HIBERNIA SOUTHCOAST CAPITAL	
2470	PROFUND ADVISORS LLC	
2529	WHITNEY NATIONAL BANK	
4723	GREENWICH WEALTH MANAGEMENT LLC	
2568	RBS GLOBAL BANKING & MARKETS	
2563	KEYBANC CAPITAL MARKETS	
2561	FOREST HILL CAPITAL LLC	
2555	BUTTONWOOD PARTNERS	
2549	NORTH START ASSET MGMT	
2490	SCHRODER INVESTMENT MANAGEMENT GROUP	
2536	BANK OF AMERICA	
2494	SHAPIRO CAPITAL MANAGEMENT COMPANY, INC.	
2526	WEISS, GEORGE A.	
2524	VESTOR CAPITAL CORP	

# LIST OF NOMINEES

ID	NAME1	NAME2
2522	VANGUARD GROUP, INC. (THE)	
2518	UBS O'CONNOR LLC	
2513	TIMES SQUARE CAPITAL MANAGEMENT	
2499	SPARK LP/DE	
2577	U.S. Boston Capital Corp.	
2547	MARKET STREET SECURITIES INC	
4806	SagePoint Financial, Inc.	
4721	PILLAR PACIFIC CAPITAL MANAGEMENT LLC	
4815	Bank of America Merrill Lynch (Broker)	
4814	Verition Fund Management, LLC	
4813	Windsor Creek Advisors, LLC	
4812	RBC Capital Markets, LLC	
4809	ProFund Advisors, LLC	
4818	Pathstone Family Office, LLC	
4807	Cubist Systematic Strategies, LLC	
4820	Cutler Group, L.P. (Proprietary)	
4805	Fidelity Management & Research Company, LLC	
4804	Goldman Sachs & Co., LLC	
4802	Dimensional Fund Advisors, L.P. (U.S.)	
4800	D.E. Shaw & Company, L.P.	
4799	Guggenheim Partners Investment Management, LLC	
4797	State Street Global Advisors (SSgA)	
4808	Ladenburg Thalmann Asset Management, Inc.	
4834	Cetera Advisor Networks, LLC	
3341	INSURANCE TECHNOLOGIES, LLC	
3338	ING USA ANNUITY AND LIFE INSURANCE COMPANY/MUTUAL FUNDS TRADING	
3337	ING USA ANNUITY AND LIFE INSURANCE COMPANY	
3336	ING NATIONAL TRUST COMPANY	
4836	New York State Teachers' Retirement System	Dimensional Fund Advisors, L.P. (U.S.)
4835	Barclays Capital, Inc.	
4816	Cavanal Hill Opportunistic Fund	Cavanal Hill Investment Management, Inc.
3290	COBALT CAPITAL INC	
4779	CGM/SAL BR	
4830	Vanguard Personalized Indexing Management, LLC	
4827	Blueshift Asset Management, LLC	
4826	Enterprise Bank & Trust	
4825	SignatureFD, LLC	
4822	Bank of America Private Bank	
4821	Moore Capital Management, L.P.	
3328	HM CAPITAL MANAGEMENT LLC	
4734	MILLENNIUM MANAGEMENT LLC	
4744	OLD MISSION CAPITAL LLC	
4743	TODD ASSET MANAGEMENT LLC	
4742	STERLING CAPITAL MANAGEMENT	
4741	O'SHAUGHNESSY ASSET MANAGEMENT LLC	
4740	CUBIST SYSTEMATIC STRATEGIES LLC	
4739	CAMPBELL & CO INVESTMENT ADVISORS LLC	
4782	CEDE & CO	C/O THE DEPOSITORY TRUST COMPANY
4736	TREXQUANT INVESTMENT LP	
4748	WALLEYE TRADING LLC	
4733	THOMAS WHITE INTERNATIONAL LTD	
4732	A.R.T. ADVISORS LLC	
4731	DIAM USA INC	
4730	SCHAFFER CULLEN CAPITAL MANAGEMENT INC	
4728	US GLOBAL INVESTORS INC	
4724	ACADIAN ASSET MANAGEMENT	
4738	ENVESTNEST ASSET MANAGEMENT	
4760	KARP CAPITAL MANAGEMENT CORPORATION	
3702	SOUTH STATE BANK NATIONAL ASSOCIATION	
4773	STX INC	
4770	HFR ASSET MANAGEMENT LLC	FOR THE HFR MA GARDNER LEWIS MASTER TRUST
4769	FNY MANAGED ACCOUNTS LLC	
4768	KCS WEALTH ADVISORY LLC	
4765	GREENLEAF TRUST	
4746	QUANTITATIVE MANAGEMENT ASSOCIATES LLC	
4761	ARGA INVESTMENT MANAGEMENT LP	
4747	ARROWSTREET CAPITAL LP	

# LIST OF NOMINEES

ID	NAME1	NAME2
4759	POINT72 ASSET MANAGEMENT	
4758	CLARK CAPITAL MANAGEMENT GROUP INC	
4757	USA FINANCIAL PORTFORMULAS CORPORATION	
4755	STEVENS CAPITAL MANAGEMENT LP	
4752	CLOUGH CAPITAL PARTNERS LP	
4751	MARIETTA INVESTMENT PARTNERS LLC	
4781	VIRTU FINANCIAL	
4763	UNISON ADVISORS LLC	
2752	J.P. Morgan Securities LLC	
2815	First Citizens Investor Services, Inc.	
2772	STAAR Investment Trust	
2771	PNC Bank N.A.	
2765	Leigh Baldwin & Co., LLC	
2764	Prime Capital Services, Inc.	
2761	Adirondack Funds	
2776	Hornor, Townsend & Kent, Inc.	
2755	Rafferty Capital Markets, LLC / Satuit Funds	
2779	Lincoln Investment Planning Inc.	
3973	BRANDYWINE GLOBAL INVESTMENTS MANAGEMENT LLC	
3972	SAPERSTON FINANCIAL INC	
3971	FIRST ST. LOUIS SECURITIES	
3970	BRIGHTON SECURITIES	
3969	AZROC SECURITIES INC	
3968	CAPITAL INSTITUTIONS SERVICES	
2758	Lerner (David) Associates, Inc.	
2791	SEI Investments Distribution Co./Advisors Inner Circle/UMBTA	
3700	SECURITIES FINANCE TRUST COMPANY	
5547	UNITED BROKERAGE SERVICES, INC.	
2811	Genworth Life and Annuity Insurance Company	
	1 A.G. EDWARDS & SONS INC	
2804	Cardinal Bank	
2802	T.Rowe Price Investment Services Inc./ T.Rowe Price Trust Company	
2775	Prudential Insurance Company of America/Prudential Trust Company, Inc.	
2793	Bancorp Bank (The)	
3961	COKER & PALMER INC	
2790	ALPS Distributors, Inc./Oak Funds	
2789	Nationwide Fund Distributors, LLC/Gartmore SICAV	
2787	Matthew 25 Fund, Inc.	
2785	BlackRock Investments, LLC/Bond Allocation Target Shares	
2784	Vanguard Marketing Corp./Vanguard Investments Series PLC Funds	
2783	Manor Investment Funds, Inc.	
2798	T. Rowe Price Retirement Plan Services, Inc.	
3909	CHAPIN DAVIS	
3924	FRANKLIN STREET SECURITIES INC	
3923	TRIPPOINT GLOBAL EQUITIES LLC	
3922	BCI SECURITIES INC	
3921	MORA WM SECURITIES	
3920	ACP SECURITIES LLC	
3918	WEBSTER INVESTMENT SERVICES	
3967	FIRST GEORGETOWN SECURITIES	
3912	THE INVESTMENT CENTER INC	
3931	DUERR FINANCIAL CORPORATION	
2750	John Hancock Life Insurance Company of New York	
2749	Transamerica Financial Life Insurance Company/Retirement Division	
2747	Jackson National Life Insurance Company of New York	
2743	SMBC Securities, Inc.	
2741	J.P. Morgan Clearing Corp.	
2739	Baron Capital Inc.	
3913	CFG CAPITAL MARKETS LLC	
3945	CUTTER AND COMPANY INC	
2821	First South Carolina Securities, Inc.	
3960	VALLEY NATIONAL INVESTMENTS	
3959	WORLD EQUITY GROUP INC	
3958	GRANT WILLIAMS LP	
3957	ASHTON CLAYTON FINANCIAL GROUP	
3953	SECUREVEST FINANCIAL GROUP	
3925	MAINE SECURITIES	



# LIST OF NOMINEES

ID	NAME1	NAME2
3947	PROTECTED INVESTORS OF AMERICA	
3929	NANCY BARRON & ASSOCIATES	
3941	FMB BROKERAGE	
3940	BRECEK & YOUNG ADVISORS	
3939	BNK ADVISORY GROUP	
3938	GREAT PACIFIC SECURITIES	
3935	D.J. ST. GERMAN INVEST CO INC	
3934	NORTHLAND SECURITIES INC	
3964	ALEXANDER CAPITAL	
3951	HUNTINGTON CAPITAL CORP	
3979	PERSIMMON SECURITIES INC	
2813	First Dominion Capital Corp. / World Trust	
3991	CARILLON INVESTMENTS INC	
3990	E1 ASSET MANAGEMENT INC	
3988	HEXAGON SECURITIES INC	
3987	C A BOTZUM & CO	
3985	CALVERT SECURITIES	
3995	WESTPARK CAPITAL INC	
3981	RYAN BECK	
4000	EPIC CAPITAL SECURITIES CORP	
3978	CAMBRIDGE INVESTMENT RESEARCH	
3977	ESSEX FINANCIAL SERVICES INC	
3975	STATE TRUST INVESTMENTS INC	
3974	AIG GLOBAL INVESTMENT CORP	
2902	Advance Capital I, Inc.	
2901	Hantz Financial Services, Inc.	
3983	GLADOWSKY CAPITAL MANAGEMENT CORP	
4014	PERCIVAL FINANCIAL PARTNERS	
4031	HENNION & WALSH	
4026	HIGHTOWER SECURITIES LLC	
4022	ELMER E POWELL & CO	
4021	BUELL SECURITIES CORP	
4019	EXCEL SECURITIES & ASSOCIATES	
4018	J.D. SEIBERT	
3993	CELADON FINANCIAL GROUP LLC	
4016	GRAMERCY FINANCIAL SERVICES	
2896	One America Securities, Inc	
4012	SA STONE WEALTH MANAGEMENT INC	
4011	SANTANDER SECURITIES CORP	
4007	NETWORK 1 FINANCIAL SERVICES	
4006	INVEX INC	
4004	WAVELAND CAPITAL PARTNERS LLC	
4001	BARRIGER & BARRIGER	
4017	MELVIN SECURITIES LLC	
2837	TradeStation Securities, Inc.	
2857	First Tennessee Brokerage, Inc.	
2851	Frazer Lanier Company (The)	
2847	Compass Brokerage, Inc.	
2846	First Commercial Bank of Birmingham, Alabama	
2845	Caldwell Trust Company	
2843	Black (James I.) & Co.	
2900	Lincoln National Life Insurance Company/For Annuities (The)	
2838	AVM, L.P.	
2860	Longleaf Partners Funds	
2834	Investacorp	
2833	Empire Financial Group, Inc.	
2830	FSC Securities Corp.	
2827	Reliastar Life Insurance Company of New York	
2824	PFS Investment Inc.	
2823	Triad Advisors, Inc.	
2839	Raymond James & Associates, Inc./FI	
2870	Adviser Dealer Services, Inc.	GLOBAL SECURITIES CLASS ACTION CLIENT SERVICES
3896	BLUEFIN RESEARCH PARTNERS INC	
2892	Ultimus Fund Distributors, LLC	
2891	Ohio National Life Insurance Company	
2890	Kohn (L.M.) & Company	
2889	Western Southern Life Assurance Company	

# LIST OF NOMINEES

ID	NAME1	NAME2
2887	Great American Life Insurance Company	
2858	First Tennessee Capital Markets	
2879	KeyBanc Capital Markets Inc.	
2859	FTN Financial Services Corp.	
2868	Dupree & Company, Inc.	
2867	Unified Trust Company, National Association	
2866	Jefferson National Life Insurance Company	
2865	Private Client Services, LLC	
2862	BancorpSouth Investment Services, Inc.	
2861	Vining-Sparks IBG, L.P.	
2898	BHIL Distributors, Inc. / MMA Praxis Funds	
2885	WRP Investments, Inc.	
3729	ZIV INVESTMENT CO	
3906	DONALDSON & CO INC	
3762	ROYAL ALLIANCE ASSOCIATES INC	
3760	WALL STREET FINANCIAL GROUP	
3758	TIMECAPITAL SECURITIES CORP	
3757	FULTON BANK NA	
3755	COBURN MEREDITH	
3767	RDM INVESTMENT SERVICES INC	
3746	SHAY FINANCIAL SERVICES	
3772	MAXIM GROUP LLC	
3728	WILLIAM BLAIR & COMPANY LLC	
3727	WESBANCO BANK INC	
2659	Prudential Investment Management Services, LLC	
2658	Prudential Investment Management Services / American Skandia Marketing, Inc.	
2653	Frank Funds	
2646	RBS Securities Inc./Equities	
3751	FOX & COMPANY INC	
2667	Pershing LLC	
3790	MV SECURITIES GROUP INC	
3789	VECTOR GLOBAL WMG INC	
3787	MULTI-BANK SECURITIES INC	
3785	WALL STREET ACCESS	
3784	SIEBERT BRANDFORD SHANK & CO	
2671	Prudential Insurance Company of America	
3766	RED CAPITAL MARKETS INC	
2669	Credit Agricole Securities (USA) Inc.	
2635	Lincoln National Life Insurance Co./Connecticut	
2662	Merrill Lynch Professional Clearing Corp.	
3783	FIRST BUSEY SECURITIES INC	
3782	WULFF HANSEN & CO	
3780	SENTINEL SECURITIES INC	
3779	FINANCIAL NORTHEASTERN SECURITIES INC	
3775	FIRST EMPIRE SECURITIES INC	
2670	MetLife Securities, Inc.	
3708	TEXAS TREASURY SAFEKEEPING TRUST COMPANY	
3718	TRUIST SECURITIES INC	
3717	TRUIST BANK	
3716	TRADITION SECURITIES AND DERIVATIVES LLC	
3715	TRADELINK SECURITIES LLC	
3714	IBKR SECURITIES SERVICES LLC	
3712	THE NORTHERN TRUST COMPANY	
2642	Interactive Brokers, LLC/Retail Clearance	
3709	THE BANK OF NEW YORK MELLON TRUST COMPANY NATIONAL ASSOCIATION	
3723	VISION FINANCIAL MARKETS LLC	
3707	TD SECURITIES USA LLC	
3706	TD PRIME SERVICES LLC	
3705	SYNOVUS BANK	
3704	SUMITOMO MITSUI TRUST BANK USA LIMITED	
3703	SOUTH STREET SECURITIES LLC	
2440	MARTINGALE ASSET MANAGEMENT, L.P.	
3711	THE NASDAQ STOCK MARKET LLC	
2617	Commonwealth Equity Services, L.L.P.	
3794	CONCEPT CAPITAL MARKETS LLC	
2630	Equity Services, Inc.	
2629	Sentinel Financial Services Company	

# LIST OF NOMINEES

ID	NAME1	NAME2
2625	Forside Distribution Services, L.P./First State Trust Company	
2624	Lincoln Financial Securities Corporation	
2622	Charter One Securities Inc.	
3720	VELOCITY CAPITAL LLC	
2618	Sun Life Assurance Co. of Canada (U.S.)/Columbia Funds Distribution	
3721	VELOCITY CLEARING LLC	
2614	John Hancock Life Insurance Company (USA) / Global Diversification	
2612	State Street Bank & Trust Co./T. Rowe Price Retirement Plan Services	
2606	John Hancock Variable Life Insurance Company	
2605	John Hancock Life Insurance Company	
3726	WELLS FARGO SECURITIES LLC	
3725	WELLS FARGO CLEARING SERVICES LLC	
2640	Flexible Plan Investments, Ltd	
2619	Sun Life Assurance Company of Canada (US)	
3850	U.S. SECURITIES INTERNATIONAL	
3863	COASTAL EQUITIES INC.	
3862	FIRST PUBLIC LLC	
3861	HIGH POINT CAPITAL GROUP INC	
3860	NEWBRIDGE SECURITIES CORP	
3858	GORIAN INVESTMENT GROUP INC	
3856	BEAR CREEK SECURITIES LLC	
3836	NEOVEST TRADING INC	
3853	THE GMS GROUP LLC	
3871	JAMES M. MYERS & CO INC	
3848	KEPLER CAPITAL MARKETS	
3846	FIRST BROKERAGE AMERICA	
3844	REGIONS SECURITIES LLC	
3842	TRADEWEB DIRECT LLC	
3840	MILLENNIUM ADVISORS LLC	
3792	G.A. REPPLE & COMPANY	
3854	GAGE-WILEY & COMPANY INC	
3883	AXA ADVISORS LLC	
3701	SOFI SECURITIES LLC	
3895	BANCWEST INVESTMENT SERVICES INC	
3893	PALAFX TRADING LLC	
3892	CAIN BROTHERS & CO	
3891	RUSSELL IMPLEMENTATION SERVICES	
3889	BANKOH INVESTMENT SERVICES INC	
3864	BERWYN FINANCIAL SERVICES	
3884	NEUBERGER BERMAN FIXED INCOME LLC	
3866	STATE OF WISCONSIN INVESTMENT BOARD	
3880	GREEN HILL FINANCIAL SERVICES	
3876	HEIM & YOUNG SECURITIES INC	
3875	MID ATLANTIC CAPITAL CORP	
3874	VANDERBILT SECURITIES LLC	
3873	BECKER & SUFFERN LTD	
3872	PRIMEX	
3835	MONEY CONCEPTS CAPITAL GROUP	
3886	MML INVESTORS SERVICES	
3806	BIRCHWOOD SECURITIES CORP	
2704	M.J. Whitman, LLC / Third Avenue Capital PLC	
2698	Van Eck Sec Corp/VIP Trust	
2697	LavaFlow, Inc.	
2696	Citigroup Global Markets, Inc.	
3810	WILMINGTON BROKERAGE SECURITIES	
3809	WTC CAPITAL WILMINGTON TRUST	
3839	NATIONWIDE PLANNING ASSOCIATES	
3807	BILL FEW ASSOCIATES	
2716	Lazard Capital Markets LLC	
3804	BRINKER CAPITAL SECURITIES INC	
3803	COMPASS POINT RESEARCH AND TRADING LLC	
3802	SANDGRAIN SECURITIES INC	
3799	POINTE CAPITAL LLC	
3795	UMB SCOUT BROKERAGE SERVICES	
3897	HUNT FINANCIAL SECURITIES LLC	
3808	LLOYDS SECURITIES INC	
3819	TRIBAL CAPITAL MARKETS LLC	

# LIST OF NOMINEES

ID	NAME1	NAME2
3834	FIRST FIDELITY BROKERS INC	
3832	SYNOVUS SECURITIES INC	
3829	AUFHAUSER SECURITIES INC	
3828	INTERCONTINENTAL ASSET MANAGEMENT LTD	
3825	VENECREDIT SECURITIES INC	
3824	SABADELL SECURITIES USA LLC	
2710	HSBC Securities (USA), Inc./Stock Loan	
3820	SUMRIDGE PARTNERS LLC	
2715	Royce Fund Services Inc.	
3818	FIRST REPUBLIC SECURITIES	
3817	GOELZER INVESTMENT MANAGEMENT INC	
3816	MASSACHUSETTS FINANCIAL SERVICES COMPANY	
3815	CHEEVERS HAND & AGELINE	
3814	BANKERS LIFE SECURITIES INC	
2719	BlackRock Investments, LLC	
3793	DAWSON JAMES SECURITIES INC	
3823	GRIFFIN FINANCIAL SERVICES CORP	
967	FIRST NATIONAL BANK OF MT DORA	
1019	CENTRAL PACIFIC BANK	TRUST DIVISION
979	QUEST INVESTMENT MANAGEMENT INC	
978	FIRST STATE BANK & TRUST	
977	ANB BANK	
975	FIRST CITIZENS BANK	
974	INVESTTRUST	
981	BEVERLY BANK & TRUST	
970	MYER GREENE & DEGGE	
982	AMERICAN NATIONAL BANK	
963	CITIZENS & NORTHERN BANK	
962	FIRST FARMERS & MERCHANTS BANK	
958	DAVIS SELECTED ADVISERS-NEW YORK VENTURE FUND INC.	
957	NEW YORK LIFE INSURANCE CO	
956	NEW YORK LIFE INSURANCE	
941	NATIONAL LIFE	
971	SOFTBANK CAPITAL	
1005	FIRST INTERSTATE BANK	TRUST OPS
827	KEYBANK NATIONAL ASSOCIATION	
1016	DAVID VAUGHN INVESTMENTS INC	
1015	UNITED MANAGEMENT CO LLC	
1014	FIRST CITIZENS BANK & TRUST	
1011	GREAT BANK TRUST CO	
1010	AMERICAN TRUST	
980	CENTURY BANK & TRUST	
1006	STEIN ROE INVESTMENT COUNCIL	
926	WELLS FARGO BANK MINNESOTA NA	TRUST OPERATIONS CENTER
1002	DBTCO	
992	HOLLAND CAPITAL MANAGEMENT	
990	MCMILLAN OFFICE INC	
989	HORIZON ASSET MANAGEMENT	
988	FAIRFIELD HOMES INC	
983	BANK OF STOCKTON	
1007	SEAWARD MANAGEMENT CO	
850	LIFE OF VIRGINIA SERIES FUND INC	
863	LUTHERAN BROTHERHOOD FUND, INC	
862	LUTHER KING CAPITAL MANAGEMENT	
861	LOWE, BROCKENBROUGH, TIERNEY & TATT	
859	LORD, ABBETT & CO	
857	LOOMIS-SAYLES SMALL CAP FUND	
856	LOOMIS, SAYLES & CO	
935	NATIONAL BANK OF SOUTH CAROLINA	
852	LINCOLN NATIONAL LIFE	
874	MANUFACTURERS & TRADERS TRUST CO	
848	WEITZ VALUE FUND	
841	LAZARD FRERES ASSET MANAGEMENT	
837	LAKESIDE BANK	
834	L.ROY PAPP STOCK FUND INC	
831	KING FINANCIAL SERVICES INC	
2455	NEW YORK LIFE INVESTMENT MANAGEMENT LLC	

# LIST OF NOMINEES

ID	NAME1	NAME2
854	LOCKHEED CORPORATION	
896	MERCANTILE - SAFE DEPOSIT & TRUST	
1020	GREAT WEST	
923	GLEMEDE TR CO	
922	MORGAN KEEGAN AND CO	
921	MONY FINANCIAL SERVICES	
915	WELLINGTON MANAGEMENT CO	
913	MINNESOTA MUTUAL LIFE	
864	M & I INVESTMENT MANAGEMENT	
906	MFS	
872	MANNING & NAPIER ADVISORS	
894	MELLON EQUITY ASSOCIATES	
891	MELLON BANK/MELLON FINANCIAL MARKETS	
888	MCDONALD INVESTMENTS	
885	MASSACHUSETTS MUTUAL LIFE	
878	MANUFACTURERS NATIONAL BANK	
877	MANUFACTURERS AND TRADERS TRUST CO	
931	MUTUAL OF AMERICA INVESTMENT CORPORATION	
908	MIDAMERICA MANAGEMENT	
1209	TANSTAAFLRESEARCH & TRADING LLC	
1018	WELCH & FORBES	
3275	BB&T SECURITIES, LLC	
3273	The Bank of New York Mellon/ Mellon Trust of New England, National Association	
1231	THE BANK OF NEW YORK/JAMES BAKER & C	
1227	UBS LLC	
1226	UBS SECURITIES	
3280	CAMCO INVESTORS TRUST	
1216	TEMPEST TRADING PARTNERS INC	
1241	THE GOLDMAN SACHS TRUST COMPANY/BOSTON	
1204	SUSQUEHANNA INVESTMENT GROUP	
1203	U.S. NATIONAL BANK OF OREGON	
1198	STIFEL, NICOLAUS & COMPANY INCORP	
1196	INTL FCSTONE FINANCIAL INC.	
1195	STEPHENS INC	
1193	STATE DISCOUNT BROKERS	
1221	THE BANK OF NEW YORK	
1275	FIRST NATIONAL BANK OF OMAHA	
1309	FRANKLIN PORTFOLIO ASSOCIATES	
1302	FRANK RUSSELL INVESTMENT COMPANY	
1297	DE SHAW	
1295	FLEX FUNDS	GLOBAL SECURITIES CLASS ACTION CLIENT SERVICES
1288	FIRSTAR - BRANDYWINE FUNDS	
1285	HSBC BANK	
3277	BBVA SECURITIES INC	
1278	FIRST PACIFIC ADVISORS INC	
1182	U.S. BANK N.A./ATTN SECURITIES CONTROL	
1269	FIRST EAGLE FUND OF AMERICA INC	
1258	GLICKENHAUS & CO	
1257	DAVENPORT & CO	
1256	THOMPSON INVESTMENT MANAGEMENT LLC	
1252	TJB TRADING INC	
1251	TIMBER HILL LLC	
1284	FIRST TRUST PORTFOLIOS LP	
1029	FIRST FINANCIAL BANK	
1052	GREYLIN INVESTMENT MANAGEMENT INC	
1047	BRANCH BANKING AND TRUST	
1045	AMERIPRISE FINANCIAL	
1041	FLORIDA STATE BOARD IF ADMINISTRATION	
1037	FIRST SOURCE BANK	
1035	AMERICAN NATIONAL BANK & TRUST CO	
1192	STAR BANK NA	
1031	MENNONITE MUTUAL AID	
1058	ZIONS BANK	
1028	ROCK RIVER TRUST CO	
1026	DL CARLSON INVESTMENT GROUP INC	
1025	TOWER BANK	
1022	LAIRD NORTON TYEE TRUST CO	

# LIST OF NOMINEES

ID	NAME1	NAME2
1021	STEWART CAPITAL MANAGEMENT	
3309	FIRST PACIFIC MUTUAL FUND, INC	
1032	CATAWBA CAPITAL MANAGEMENT	
1130	RAYMOND, JAMES & ASSOCIATES INC	
822	WASATCH ADVISORS FUNDS, INC	
1181	HILLTOP SECURITIES, INC	
1173	SILVER FOX CAPITAL LLC	
1164	SEATTLE-NORTHWEST SECURITIES CORP	
1161	SCOTT & STRINGFELLOW INC	
1142	ROBERT W BAIRD & CO INC	
1053	PNC BANK	
1131	RBC CAPITAL MARKETS, LLC CFBO	
1056	FIFTH THIRD BANK	
1116	WELLS FARGO BANK NA	
1114	PNC ADVISORS	
1110	PHILADELPHIA INVESTORS LTD	
1104	PERSHING LLC	
1103	WEDBUSH MORGAN SECURITIES STOCK LOAN	
1060	STATE OF GEORGIA	
1191	AG EDWARDS & SONS	
1139	RICHARDS, MERRILL & PETERSON INC	
249	TIAA CREF	
389	MKI PARTNERS	
270	GROUP ONE TRADING LP	
269	GREENWOOD TRUST CO/DISCOVER FINANCIAL	
267	DRIEHAUS CAP MANAGEMENT	
264	GEORGE WEISS & COMPANY LLC	
260	G-BAR LIMITED PARTNERSHIP	
287	HOWE BARNES INVESTMENTS INC	
254	FTB/FIRST TENNESSEE SECURITIES CORP	
288	HSBC SECURITIES (USA) INC	
244	FIRST TENNESSEE BANK NA	
239	FIRST NATIONAL BANK OF MARYLAND	
224	FAIRVIEW TRADING INC	
211	EDWARD D JONES & CO	
206	DSX INC	
3302	DFA SECURITIES LLC/DIMENSIONAL FUNDS PLC AND DIMENSIONAL FUNDS II PLC	
257	G&K PARTNERS LTD	
330	KING (CL) & ASSOCIATES INC	
830	WATERS, PARKERSON CO LLC	
386	MILLENNIUM TRADING INC	
379	MERRIMACK VALLEY INVESTMENT INC	
378	MERRILL LYNCH, PIERCE, FENNER & SMIT	
363	MANUFACTURERS HANOVER TRUST CO.	
359	MAIN LINE OPTIONS TRADERS	
274	HC DENISON CO	
333	KOONCE SECURITIES INC	
187	DEPOSITORY TRUST OF NY (CEDE)	
326	US BANCORP INVESTMENTS INC	
319	JFD SECURITIES INC	
312	JAMES I BLACK & COMPANY	
306	JAK SECURITIES INC	
299	INTERACTIVE BROKERS LLC	
296	ING INVESTMENT MANAGEMENT	
337	LAZARD FRERES & CO	
3264	ALTEGRIS PORTFOLIO MANAGEMENT, INC DBA ALTEGRIS FUNDS	
94	BURKE, LAWTON, BREWER & BURKE	
89	WINDDRIFT SECURITIES LP	
87	WILSON-DAVIS & CO INC	
55	BBV LATINVEST SECURITIES INC	
52	BARINGTON CAPITOL	
50	WHEAT FIRST BUTCHER SINGER	
3299	DAVLIN PHILANTHROPIC FUNDS	
3265	AMERICAN INDEPENDENT SECURITIES GROUP, LLC	
103	CARR SECURITIES CORPORATION	
34	BAIRD (ROBERT W.) & CO INCORPORATED	
30	ARGYLE INVESTMENTS LLC	

# LIST OF NOMINEES

ID	NAME1	NAME2
28	APJL LLC	
24	AMERICAN ENTERPRISE INVESTMENT SVCS	
21	ALLEN & COMPANY LLC	
4	ABN AMRO INCORPORATED	
48	BANK OF TOKYO TRUST CO	
144	CROSSE INTERNATIONAL SECURITIES INC	
394	WELLS FARGO TRUST	
181	PALOMA SECURITIES LP	
3297	CRT CAPITAL GROUP LLC	
166	DAVID LERNER ASSOCIATES INC	
159	D.A. DAVIDSON & CO	
157	D TRADE LP	
96	FARMERS TRUST COMPANY	
154	CROWELL WEEDON & CO	
99	CANTOR FITZGERALD & CO	
132	COMERICA BANK-DETROIT	
130	WOLVERINE TRADING LLC	
127	CITICORP INVESTMENT SERVICES	
123	CITIBANK NA	
116	CIBC WORLD MARKETS CORP	
113	CHEMICAL BANK	
201	DONNAN OPTIONS CORPORATION	
155	CROWELL WEEDON & CO	
734	GOFEN AND GLOSSBERG INC	
388	MINTZ, LEVIN, COHN, FERRIS, GLOVSKY	
756	HARTFORD LIFE INSURANCE COMPANY	
755	HARTFORD INDEX FUND INC	
749	H.M. PAYSON & CO	
747	H M PAYSON & CO. - SAFEKEEPING	
744	GULF INSURANCE COMPANIES	
763	HERITAGE ASSET MANAGEMENT INC	
738	GRANTHAM, MAYO, VAN OTTERLOO & CO	
3270	AUSDAL FINANCIAL PARTNERS, INC	
727	AMALGAMATED BANK	
726	AIM MANAGMENT INC	
723	NYC OFFICE OF THE COMPTROLLER	
719	AETNA LIFE	
678	CREDIT SUISSE SECURITIES USA LLC	
743	BECKER CAPITAL MANAGEMENT	
789	INVESCO FUNDS	
816	JOHN W. BRISTOL AND CO INC	
814	JOHN NUVEEN & CO INCORPORATED	
813	JOHN HANCOCK MUTUAL LIFE	
812	JOHN HANCOCK ADVISERS	
810	JOHN A SIBERELL & CO	
807	JENNISON ASSOCIATES CAPITAL CORP	
760	HAZLETT, BURT & WATSON INC	
801	JAMISON, EATON & WOODS INC	
659	KEYBANK NATIONAL ASSO	
777	WACHTEL & CO INC	
776	W.H. REAVES & CO INC	
771	HORACE MANN BALANCED FUND, INC.	
768	HOME FEDERAL BANK	
767	HOME FEDERAL BANK	
764	HIBERNIA NATIONAL BANK	
802	JANUS FUNDS	
442	PARK AVENUE SECURITIES INC	
480	NUMERIC INVESTORS	
479	PACIFIC LIFE	
3268	ATEL SECURITIES CORPORATION	
473	BLACKROCK INC	
464	INSIGHT CAPITAL RESEARCH & MANAGEMENT INC	
450	ADVANTUS CAPITAL MANAMGENT INC	
671	CHICAGO CLEARING CORP	
444	BRYN MAWR TRUST CO	
490	FMI MUTUAL FUNDS	
441	PAINWEBBER INC	

# LIST OF NOMINEES

ID	NAME1	NAME2
430	O'CONNOR & COMPANY LLC	
413	NBC SECURITIES INC	
405	NATIONAL FINANCIAL SERVICES LLC	
404	NATIONAL FINANCIAL SERVICES LLC	
395	MORGAN STANLEY & CO INCORPORATED	
449	HARTFORD MUTUAL FUNDS	
513	ESSEX INVESTMENT MANAGEMENT CO	
1327	GARDNER LEWIS ASSET MANAGEMENT	
658	OLD KENT BANK	
550	DEUTSCHE BANK	
546	CHARLES SCHWAB & CO INC	
542	ATLANTIC TRUST CO NA	
541	WORLD ASSET MANAGEMENT	
485	NATIONAL CITY CORP	
526	COMMONWEALTH SMC	
489	HENNESSY FUNDS	
507	AMERICAN FUNDS	
501	MATTHEWS ASIAN FUNDS	
498	PAYDEN & RYSEL	
493	THE TCW GROUP	
492	WILSHIRE TARGET FUNDS INC	
491	FRANKLIN TEMPLETON INVESTMENTS	
670	HARRIS DIRECT LLC	
535	WAFRA INVESTMENT ADVISORY GROUP INC	
1943	SECURITY BENEFIT GROUP OF COMPANIES	
2014	ANGELO, GORDON & COMPANY, L.P.	
1958	SAXON WOODS ADVISORS LLC	
1957	TURNER INVESTMENT PARTNERS	
1955	NICHOLAS APPELEGATE	
1953	THE DREYFUS CORPORATION	
1949	EQUITABLE TRUST	
1963	GEODE CAPITAL MANAGEMENT, LLC	
1946	JP MORGAN	
1964	Boston Advisors, LLC	
1938	FIRST HAWAIIAN BANK	
1937	FROST NATIONAL BANK	
1936	INSTITUTIONAL SHAREHOLDER SERVICES	
1935	JACOBS LEVY EQUITY MANAGEMENT	
1934	LEGENT CLEARING CORP	
1933	WACHOVIA BANK	
1948	FENIMORE ASSET MANAGEMENT	
1980	ClariVest Asset Management LLC	
1315	GABELLI FUNDS	
2004	AXP QUANTITATIVE LARGE CAP EQUITY FUND	
2003	BIDWELL (C.M.) & ASSOCIATES, LTD.	
1997	LIBERTY MUTUAL INSURANCE CO	
1996	ABERDEEN ASSET MANAGEMENT PLC	
1989	CORNERCAP INVESTMENT COUNSEL, INC.	
1961	JNL SERIES TRUST	
1982	KILLEN GROUP, INC.	
1928	REGIONS MORGAN KEEGAN TRUST	
1974	BlackRock Advisors, LLC	
1973	AMICA MUTUAL INSURANCE CO	
1971	ANCHOR CAPITAL ADVISORS, INC.	
1968	SEI PRIVATE TRUST COMPANY	
1967	FRANKLIN RESOURCES, INC	
1966	CONNING ASSET MANAGEMENT COMPANY	
1988	FORTRESS INVESTMENT HOLDINGS LLC	
1878	OHIO PUBLIC EMPLOYEES RETIREMENT SYSTEM	
1892	WISCONSIN RETIREMENT SYSTEM	DEPT OF EMPLOYEE TRUST FUNDS
1891	WEST VIRGINIA CONSOLIDATED PUBLIC RETIREMENT SYSTEM	CAPITOL COMPLEX
1889	VIRGINIA RETIREMENT SYSTEM	
1888	UTAH RETIREMENT SYSTEMS	
1885	SOUTH DAKOTA RETIREMENT SYSTEM	
1884	SOUTH CAROLINA RETIREMENT SYSTEMS	
1932	WESTCHESTER CAPITAL MANAGEMENT	
1880	OKLAHOMA PUBLIC EMPLOYEES RETIREMENT SYSTEM	



# LIST OF NOMINEES

ID	NAME1	NAME2
1897	STATE STREET BANK FBO:	AR TEACHER RETIREMENT SYSTEM
1877	NORTH DAKOTA RETIREMENT AND INVESTMENT OFFICE	
1876	NORTH DAKOTA PUBLIC EMPLOYEES RETIREMENT SYSTEM	
1874	NEW MEXICO EDUCATIONAL RETIREMENT BOARD	
1873	NEW YORK STATE TEACHERS' RETIREMENT SYSTEM	
1872	NEW YORK STATE AND LOCAL RETIREMENT SYSTEM	
1871	NEW JERSEY DIVISION OF PENSION AND BENEFITS	
1882	PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES' RETIREMENT S	
1907	MINNESOTA STATE RETIREMENT SYSTEM	
2022	FORUM FUNDS, INC	
1927	LINCOLN FINANCIAL GROUP	
1926	GREENBACK HOLDINGS LTD	
1923	CENTRAL BANK	
1914	AMERICAN SAMOA GOVERNMENT EMPLOYEES' RETIREMENT FU	
1913	VERMONT RETIRMENT SYSTEMS	
1893	WYOMING RETIREMENT SYSTEM	
1908	MONTANA TEACHERS' RETIREMENT SYSTEM	
1896	PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM OF THE S	
1905	MAINE STATE RETIRMENT SYSTEM	
1904	KENTUCKY TEACHERS' RETIREMENT SYSTEM	
1903	INDIANA STATE TEACHERS' RETIREMENT FUND	
1902	ILLINOIS MUNICIPAL RETIREMENT FUND	
1901	TEACHERS' RETIREMENT SYSTEM OF THE STATE OF ILLINO	
1900	DELAWARE OFFICE OF PENSIONS	STATE OF DELAWARE MCARDLE BLDG
1929	OAKMARK FUNDS	
1912	TENNESSEE CONSOLIDATED RETIREMENT SYSTEM	
2264	CENTRAL ONE FEDERAL CREDIT UNION	
2010	ARONSON + PARTNERS	
2303	RAMIUS CAPITAL GROUP LLC	
2301	MICHIGAN (STATE OF) STATE TREASURER	
2298	PARAMETRIC PORTFOLIO ASSOCIATES	
2244	ROBBINS, GELLER, REDMAN & DOWD LLP	
2201	TRADESTATION SECURITIES	
2352	AMSOUTH BANCORPORATION	AMSOUTH CENTER
2188	LPL FINANCIAL	
2354	AQR CAPITAL MANAGEMENT, LLC	
2178	FIRST HOPE BANK	
2177	CITIZENS BUSINESS BANK	
2172	ZEBRA CAPITAL MANAGEMENT LLC	
2171	CREDIT SUISSE SECURITIES USA LLC	
2170	SMITH MOORE & CO	
2169	VIRGINIA NATIONAL BANK	
2190	E-TRADE	
2385	DEARBORN PARTNERS, L.L.C.	
2430	KINGDON CAPITAL MANAGEMENT LLC	
2415	HARTFORD INVESTMENT MANAGEMENT COMPANY INC.	
2411	GREENWOOD (M.A.)& ASSOCIATES, INC.	
2407	GENERAL RE-NEW ENGLAND ASSET MANAGEMENT, INC.	
2402	GAMCO INVESTORS INC	
2393	FIRST MIDWEST TRUST COMPANY	
2345	ADAGE CAPITAL PARTNERS GP, L.L.C.	
2386	DELAWARE MANAGEMENT BUSINESS TRUST	
2166	MARSCO INVESTMENT CORPORATION	
2381	CULLEN/FROST BANKERS, INC	
2378	COMPASS BANK	
2377	CITY NATIONAL BANK, CITY NATIONAL INVESTMEN CITY NATIONAL CENTER	
2376	CITIZENS BANK	
2369	CAPSTONE ASSET MANAGEMENT COMPANY	
2360	BANK OF THE WEST	
2392	FIRST CITIZENS BANK & TRUST COMPANY	
2049	E-TRADE S&P 500 INDEX	
2081	CAXTON ASSOCIATES, L.L.C.	
2076	Genworth Financial Inc	
2073	MEEDER ASSET MANAGEMENT, INC.	GLOBAL SECURITIES CLASS ACTION CLIENT SERVICES
2066	Allianz VIP Tr	
2062	ALLIANZ OF AMERICA	
2057	JEFFERIES GROUP INC	

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ID	NAME1	NAME2
2168	MIDDLEBURG TRUST COMPANY	
2054	MOTT (CHARLES, S.) FOUNDATION	
2100	Ultimus Fund Solutions,LLC	
2047	ALLMERICA INVESTMENT TR	
2045	Canadian Imperial Holdings Inc.	
2034	MASON STREET ADVISORS, LLC.	
2028	Blackrock Funds-Investment Trust Portfolio	
2026	HBK INVESTMENTS, L.P.	
2025	HC CAPITAL TRUST	
2056	CENTRAL BANK & TRUST COMPANY	
2146	GREATBANC TRUST COMPANY	
1866	MISSOURI STATE EMPLOYEES' RETIREMENT SYSTEM	
2163	TRI-STAR TRUST BANK	
2157	FIRST INTERSTATE BANK	
2155	WAUKESHA STATE BANK	
2154	MERCANTILE TRUST & SAVINGS BANK	
2152	FIRST NATIONAL BANK OF SOUTH MIAMI	
2095	Barrett Opportunity Fund, Inc	
2149	BANKNORTH TD WEALTH MANAGEMENT GROUP	
2097	ARMADA MID CAP VALUE FUND	Armada Funds
2143	CAPITAL ONE NA	
2138	UNION BANK & TRUST CO.	
2134	HERSHEY TRUST COMPANY	
2114	FIRST NATIONAL TRUST COMPANY	
2109	JP Morgan Insurance Tr	JPMorgan Distribution Services, Inc
2104	FIFTH THIRD BANCORP	Fifth Third Center
2167	THE TRUST COMPANY OF KNOXVILLE	
2151	INTRUST BANK N.A.	
1536	THE GLENMEDE TRUST COMPANY	
1869	PUBLIC EMPLOYEES' RETIREMENT SYSTEM OF NEVADA	
1570	UNION LABOR LIFE ASSURANCE CO	
1569	MUFG UNION BANK, N.A.	
1567	UNION BANK OF CALIFORNIA CAPITAL MAR	
1564	UMB BANK, NATIONAL ASSOCIATION	
1563	UMB BANK, INVESTMENT DIVISION	
1572	UNION PLANTERS TRUST	
1542	TODD INVESTMENT ADVISORS	
1574	UNITED CAPITAL MANAGEMENT	
1534	THE FROST NATIONAL BANK	
1532	THE FIFTH THIRD BANK	
1523	TEAMSTERS, WESTERN CONFERENCE	
1519	T ROWE PRICE ASSOCIATES	
1517	SWENEY CARTWRIGHT & CO	
1516	SUNTRUST BANK	
1556	TWENTIETH CENTURY INVESTORS INC	
1590	VALIC	
1602	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF COLORAD	
1600	CALIFORNIA STATE TEACHERS RETIRMENT SYSTEM	
1599	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	
1598	ARIZONA STATE RETIREMENT SYSTEM	
1597	ARKANSAS PUBLIC EMPLOYEES RETIREMENT SYSTEM	
1596	ALASKA DIVISION OF TREASURY	
1571	REGIONS BANK	
1594	STATE TEACHERS RETIREMENT SYSTEM OF OHIO	
1504	STOEVER, GLASS & CO INC	
1587	USAA INVESTMENT MANAGEMENT COMPANY	
1586	QWEST CORPORATE HEADQUARTERS	
1584	UNUM LIFE	
1583	UNITED TECHNOLOGIES CORP	
1579	WINDSOR	
1577	UNITED MISSOURI INVESTMENT COUNSEL	
1595	RETIREMENT SYSTEM OF ALABAMA	
1358	WESTERN ASSET MANAGEMENT	
1399	PRIMARY TREND FUND, INC.	
1398	PNC BANK, NATIONAL ASSOCIATION	
1397	PNC BANK, N.A./SUPER PHILADELPHIA	
1396	PNC BANK, N.A./PITTSBURGH	

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ID	NAME1	NAME2
1392	PIONEER FUNDS	
1384	PFPC INC	
1509	STRONG FUNDS	
1368	PACIFIC INVESTMENT MANAGEMENT CO	
1413	PRUDENT SPECULATOR FUND	
1352	VERIZON INVESTMENT MANAGEMENT FUND	
1348	NOTTINGHAM INVESTMENT TRUST	
1345	NORTHWESTERN MUTUAL LIFE	
1344	WESTERN AND SOUTHERN LIFE	
1330	NICHOLAS CO INC	
2812	Genworth Life Insurance Company of New York	
1378	PENN MUTUAL LIFE	
1467	SENTINEL GROUP FUNDS INC	
1606	PUBLIC EMPLOYEE RETIREMENT SYSTEM OF IDAHO	
1498	STATE STREET BANK AND TRUST COMPANY	
1497	STATE STREET BANK	
1494	STATE MUTUAL OF AMERICA	
1492	STATE FARM LIFE	
1479	SMALL CAP WORLD FUNDS/AMERICAN FUNDS GROUP	
1403	PRINCIPAL MUTUAL LIFE	
1468	SENTRY FUND INC	
1412	PROVIDENT NATIONAL ASSURANCE	
1457	VAUGHAN, NELSON, SCARBOROUGH & MCD	
1445	DEPOSITORY TRUST CO	
1440	ROMANO BROTHERS & COMPANY	
1439	RODNEY SQUARE MULTI-MANAGER FUND	
1435	WESTWOOD FUNDS - GABELLI	
1424	RAFFERTY CAPITAL MARKETS LLC	
1508	STRONG CAPITAL MANAGEMENT INC	
1476	SIT FUNDS	
1738	ZIONS FIRST NATIONAL BANK/ZIONS INVE	
1776	COOKE AND BIELER INC	
1775	CONTINENTAL ASSURANCE CO	
1762	COMMERCE BANK	
1761	COMERICA BANK - DETROIT	
1750	CITY NATIONAL BANK	
1747	CITIBANK N.A.	
1697	BROWN INVESTMENT ADVISORY & TRUST CO	
1743	CIGNA AGGRESIVE GROWTH FUND	
1799	DESERET TRUST COMPANY	
1735	CENTURY SHARES TRUST	
1734	CENTRAL TRUST BANK	
1733	CENTRAL TRUST BANK	
1732	FIRST NATIONAL BANK OF ILLINOIS	
1713	CANTOR FITZGERALD & CO./ CF PARTNERS	
1604	FLORIDA DIVISION OF RETIREMENT	
1745	CITIBANK DEALER-TAX EXEMPT OPERATION	
1840	FEDERATED INVESTORS	
1322	GENERAL AMERICAN INVESTORS CO INC	
1865	PUBLIC SCHOOL AND NON-TEACHER SCHOOL EMPLOYEE OF M	
1864	PUBLIC EMPLOYEES' RETIREMENT SYSTEM OF MISSISSIPPI	
1863	MINNESOTA TEACHERS RETIREMENT ASSOCIATION	
1861	MICHIGAN OFFICE OF RETIREMENT SERVICES	
1860	SECURA INSURANCE	
1779	COUNTRY CAPITAL INCOME FUND INC	
1854	FIDELTY INVESTMENTS	
1780	COUNTRY TRUST BANK	
1836	FAYEZ SAROFIM & CO	
1829	ESSEX RADEZ LLC	
1822	EMMET & CO INC	
1821	ZWEIG SERIES TRUST	
1808	DREYFUS CORPORATION	
1801	DIMENSIONAL FUND ADVISORS	
1696	BROWN BROTHERS HARRIMAN	
1857	FIDUCIARY TRUST COMPANY OF BOSTON	
1612	KENTUCKY RETIREMENT SYSTEMS	
1622	ALPINE PARTNERS LP	

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ID	NAME1	NAME2
1621	ALLSTATE LIFE INSURANCE CO	
1620	ALLSTATE LIFE	INVESTMENTS DEPT
1619	ALLIANCE CAPITAL MANAGEMENT CORP	
1616	PUBLIC EMPLOYEE RETIREMENT ADMINISTRATION COMMISSI	
1615	MARYLAND STATE RETIREMENT AND PENSION SYSTEM	
1698	BESSEMER TRUST CO	
1613	TEACHERS' RETIREMENST SYSTEM OF LOUISIANA	
1635	LOCKHEED CORPORATION	
1611	KANSAS PUBLIC EMPLOYEES RETIREMENT SYSTEM	
1610	IOWA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	
1609	PUBLIC EMPLOYEES' RETIRMENT FUND INDIANA	
1608	STATE UNIVERSITIES RETIREMENT SYSTEM OF ILLINOIS	
1607	STATE RETIREMENT SYSTEM OF ILLINOIS	
1868	NEBRASKA PUBLIC EMPLOYEES RETIREMENT SYSTEM	
1614	LOUISIANA STATE EMPLOYEES' RETIREMENT SYSTEM	
1659	BANK OF HAWAII	
1693	BOSTON SAFE DEPOSIT AND TRUST COMPANY	
1690	BOEING CO & CONSOLIDATED SUBSIDIARIES	
1688	BLOOMBERG L.P.	
1685	BESSEMER TRUST	
1681	WRIGHT INVESTORS' SERVICES	
1678	BARTLETT CAPITAL TRUST	
1630	AMERICAN FUNDS GROUP	
1676	BARRACK, RODOS, & BACINE	
1632	AMERICAN NATIONAL	INVESTMENTS DEPT
1655	BANK OF OKLAHOMA TRUST CO	
1649	VOLUMETRIC FUND INC	
1646	ASSOCIATED BANK GREEN BAY, NATIONAL	
1640	AMWAY MUTUAL FUND INC	
1639	AMSOUTH BANK	INVESTMENT MGMT
1638	AMSOUTH BANK	
1605	STATE OF HAWAII EMPLOYEES' RETIREMENT SYSTEM	
1677	BARROW, HANLEY, MEWHINNEY & STRAUSS	
5074	ABACUS LIFE SERVICES, LLC	
5082	CARTER VALIDUS MISSION CRITICAL REIT, INC.	
5081	CARILLON FUND DISTRIBUTORS, INC.	
5080	CAPITAL Q MANAGEMENT, LLC	
5079	CANTOR FITZGERALD & CO./CANTOR FITZGERALD RC	
5077	BOURNE FINANCIAL GROUP, LLC	
5066	VP DISTRIBUTORS, LLC/COLLEGE ACCESS PLAN	
5075	ARGOS ALTERNATIVE FUNDS, LLC - LEONIDAS CRYPTOCURRENCY FUND	
5085	COBALT CAPITAL, INC.	
5073	SGGG FUND SERVICES (U.S.) INC	
5072	NEPTUNE GLOBAL HOLDINGS LLC	
5071	METROPOLITAN LIFE INSURANCE COMPANY/METROPOLITAN TOWER LIFE INSURANCE COMPANY	
5070	ALGGORITMICA PORTFOLIO MANAGEMENT LLC	
5069	WILTON REASSURANCE LIFE COMPANY OF NEW YORK	
5100	CHATHAM CAPITAL HOLDINGS, INC.	
5076	BISCAYNEAMERICAS ADVISERS, LLC	
5091	IFP SECURITIES, LLC	
5028	TCW FUNDS DISTRIBUTORS	
5098	SOUTH STATE BANK, NATIONAL ASSOCIATION	
5097	S2K SERVICING LLC	
5096	ROBINHOOD SECURITIES, LLC	
5095	PARTICIPANT CAPITAL ADVISORS, LLC	
5094	LAFAYETTE SQUARE HOLDING COMPANY, LLC	
5083	CATENARY ALTERNATIVES ASSET MANAGEMENT, LLC	
5092	ITB CAPITAL MANAGEMENT, LLC	
5084	CNL CAPITAL MARKETS, LLC	
5090	GWN SECURITIES, INC.	
5089	GLIDE CAPITAL LLC	
5088	FMSBONDS, INC.	
5087	FIVEPOINT CAPITAL MANAGEMENT, LLC	
5086	CONCISE CAPITAL MANAGEMENT LP	
5065	VOYA INSTITUTIONAL TRUST COMPANY	
5093	JWB REAL ESTATE CAPITAL LLC	
5036	ALPS DISTRIBUTORS, INC./EMERALD FUNDS	Emerald Funds

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ID	NAME1	NAME2
5043	PARALEL DISTRIBUTORS LLC	
5042	EMPOWER ANNUITY INSURANCE COMPANY OF AMERICA	
5041	EMPOWER ANNUITY INSURANCE COMPANY OF AMERICA	
5040	BACELINE INVESTMENTS, LLC	
5039	ASCENTIA REAL ESTATE HOLDING COMPANY, LLC	
5068	WAYPOINT RESIDENTIAL, LLC	
5037	ALPS DISTRIBUTORS, INC./REDMONT FUNDS	
5048	TRANSAMERICA CAPITAL, INC./AEGON USA INVESTMENT MANAGEMENT COLLECTIVE INVESTMENT TRUST	
5034	ALPINE QUANTITATIVE INVESTMENT FUND, LP	
5033	WILSHIRE QUINN INCOME FUND, LLC	
5032	WILSHIRE FINANCE PARTNERS, INC.	
5031	WEST COAST STOCK TRANSFER INC.	
5030	US CAPITAL GLOBAL INVESTMENT MANAGEMENT, LLC	
5170	OPUS FUND SERVICES (USA) LLC	
5038	ARES INDUSTRIAL REAL ESTATE EXCHANGE LLC	
5056	GLOBAL ATLANTIC DISTRIBUTORS, LLC	
5064	VANTIS LIFE INSURANCE COMPANY	
5063	TALCOTT RESOLUTION LIFE INSURANCE COMPANY	
5062	STARWOOD REAL ESTATE INCOME TRUST, INC.	
5061	SS&C TECHNOLOGIES, INC.	
5060	PHL VARIABLE INSURANCE COMPANY/PHL COMMISSIONS	
5059	NASSAU LIFE AND ANNUITY COMPANY	
5044	PARSONEX PROPERTIES, LLC	
5057	HAMILTON POINT INVESTMENTS LLC	
5047	THE TRUE LIFE COMPANIES, LLC	
5055	FORTITUDE LIFE INSURANCE & ANNUITY COMPANY/SA VAR ANNUITY	
5054	FORETHOUGHT LIFE INSURANCE COMPANY/GLOBAL ATLANTIC	
5053	CALIBOGUE CAPITAL, LLC	
5051	AMG FUNDS LLC/HARDING LOEVNER	
5049	AMCAP MANAGEMENT LLC	
5101	COVENANT CAPITAL INVESTORS, LLC	
5058	MVP PRIVATE MARKETS FUND	
5145	CROWDKEY, INC.	
5152	GOLUB CAPITAL LLC	
5151	GCG FINANCIAL, LLC	
5150	FORMIDIUM CORP.	
5149	FIS BROKERAGE & SECURITIES SERVICES LLC	
5148	EVERLAKE LIFE INSURANCE COMPANY	
5136	ALTERNATIVE INVESTMENT RESOURCE, LLC	
5146	DASH FINANCIAL TECHNOLOGIES LLC	
5155	HIGHLANDS REIT, INC.	
5144	CORE CAPITAL MANAGEMENT, LLC	
5143	CETERA FINANCIAL SPECIALISTS LLC	
5142	CEDAR STREET ASSET MANAGEMENT LLC	
5141	CATALYST FUND ADMINISTRATION LLC	
5140	BROOKSTONE CAPITAL MANAGEMENT LLC	
5099	TIAA, FSB	
5147	DWS DISTRIBUTORS, INC.	
5161	JVM REALTY CORPORATION	
4871	Wealthspire Advisors, LLC	
5168	OAK STREET NET LEASE TRUST	
5167	NEW VERNON INVESTMENT MANAGEMENT LLC	
5166	NAV CONSULTING INC	
5165	MONTE CAPITAL GROUP, LLC	
5164	MODERN WOODMEN OF AMERICA	
5153	GREAT LAKES FUND SOLUTIONS, INC.	
5162	LASALLE INVESTMENT MANAGEMENT, INC.	
5154	GRIFFIN CAPITAL MGT. LLC	
5160	IROQUOIS VALLEY FARM REIT, PBC	
5159	INVENTRUST PROPERTIES CORP.	
5158	INLAND REAL ESTATE INVESTMENT CORPORATION	
5157	ICC INCOME FUND I LP	
5156	HORACE MANN LIFE INSURANCE COMPANY	
5135	ALTER DOMUS (US) LLC	
5163	MICHAEL J. LICCAR & COMPANY, LLC	
5109	PEACHTREE PC ADVISORS, LLC	
5116	TRUIST INVESTMENT SERVICES, INC.	

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ID	NAME1	NAME2
5115	TRIDENT FUND SERVICES, INC.	
5114	SECURITY LIFE OF DENVER INSURANCE COMPANY/SE2 ADMINISTRATOR	
5113	SECOND CENTURY AG LLC	
5112	RELIANCE TRUST COMPANY	
5139	BROADFIELD CAPITAL MANAGEMENT LLC	
5110	PFS INVESTMENTS INC.	
5119	LEE FINANCIAL MUTUAL FUND, INC.	
5108	PEACHCAP SECURITIES, INC.	
5107	KINGSWOOD CAPITAL PARTNERS, LLC	
5106	INFOSYS MCCAMISH SYSTEMS, LLC	
5104	ECOVEST CAPITAL, INC.	
5103	DEMPSEY LORD SMITH, LLC	
5102	DELTA DATA SOFTWARE, INC.	
5111	PREFERRED CAPITAL SECURITIES, LLC	
5126	FIDELITY & GUARANTY LIFE INSURANCE COMPANY Fidelity & Guaranty Life Insurance	
5134	ALLSPRING FUNDS DISTRIBUTOR, LLC	
5133	ALIGHT SOLUTIONS LLC	
5132	UNITED LIFE INSURANCE COMPANY/LIFE PRODUCTS	
5131	UNITED LIFE INSURANCE COMPANY	
5130	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY/TRANSAMERICA RETIREMENT SOLUTIONS	
5129	SAMMONS FINANCIAL NETWORK LLC/SAMMONS FINANCIAL NETWORK (SFN)	
5117	TRUIST SECURITIES INC.	
5127	MIDLAND NATIONAL LIFE INSURANCE COMPANY/RETIREONE	
5118	WORLD FINANCIAL GROUP INSURANCE AGENCY, LLC	
5125	FARM BUREAU LIFE INSURANCE COMPANY	
5124	EQUITRUST LIFE INSURANCE COMPANY	
5123	BROKERS INTERNATIONAL, LTD.	
5122	BRIGHTHOUSE LIFE INSURANCE COMPANY/MLIIC 2	
5120	ATHENE ANNUITY & LIFE ASSURANCE COMPANY OF NEW YORK	
5027	STRATEGIC CAPITAL FUND MANAGEMENT, LLC	
5128	SAMMONS FINANCIAL NETWORK LLC	
5481	CRESCENT SECURITIES GROUP INC./NEAR URBAN SFR DEVELOPMENT COMPANY LLC	
4920	LAKE AVENUE CAPITAL LLC	
5476	AMERICAN GENERAL LIFE INS CO/AIG ANNUITIES-FA&IA IMO/BGA	
5477	APEXONE INVESTMENT PARTNERS, LLC	
5478	AVANTAX INVESTMENT SERVICES, INC.	
4915	Citadel Advisors, LLC	
5488	INSURMARK, INC.	
5480	CONDUENT HR SERVICES, LLC	
5474	FORTITUDE LIFE INSURANCE & ANNUITY COMPANY	
5482	CROSSMARK DISTRIBUTORS, INC.	
5483	EMERSON EQUITY LLC	
5484	EVOLUTION REAL ESTATE, LLC	
5457	NEST INVESTMENTS BD LLC	
5486	FUTU CLEARING INC.	
4961	INSPIRED HEALTHCARE CAPITAL LLC	
5479	AXYS CAPITAL LLC	
5469	CONLAN HOLDINGS LLC	
5029	TRILINC ADVISORS, LLC	
4958	AMERIFUNDS DIVERSIFIED FUNDING, LLC	
4957	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	
4956	BRYANT BANK	
5464	VENERABLE INSURANCE AND ANNUITY COMPANY	
5465	VIGILANT DISTRIBUTORS, LLC	
5475	AIMCOR GROUP, LLC	
5468	BEACON COMMUNITY BANK	
4035	ABACUS INVESTMENTS	
5470	HIS KINGDOM RESOURCES FUND	
5471	NORTH AMERICAN COMPANY FOR LIFE AND HEALTH INSURANCE	
5472	B. RILEY WEALTH MANAGEMENT, INC.	
5473	CUREX CAPITAL ADVISORS, LLC	
2807	Homestead Funds, Inc.	
5489	JOHNSTONE BROKERAGE SERVICES, LLC	
5467	FIDELITY DISTRIBUTORS COMPANY LLC/FIDELITY INTERNATIONAL	
4879	Pictet International Capital Management - Flexible Allocation	
5507	SECURITIES TRANSFER CORPORATION	
5508	THE CAPITOL LIFE INSURANCE COMPANY	

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ID	NAME1	NAME2
5509	THE PARKER TRANSFER AGENCY, INC.	
5510	THE US LIFE INS CO IN THE CITY OF NY/AIG ANNUITIES - FIXED AND INDEX	
5511	TRUST ASSET MANAGEMENT, LLC	
5487	GENTRY MILLS CAPITAL LLC	
4881	U.S. Bank Private Asset Management	
5504	NOETIC INVESTMENT ADVISORS, LLC	
4878	Freestone Capital Management, LLC	
4877	Macquarie Investment Management	
4876	Cavanal Hill Investment Management, Inc.	
4875	S&I Small Cap Core Fund	Summit Global Investments, LLC
4874	Wells Fargo Bank N.A. (Asset Management)	
4872	Itau USA Asset Management, Inc.	
5512	USAA LIFE INSURANCE COMPANY	
5498	MEGATEL CAPITAL INVESTMENT LLC/MCI PREFERRED EQUITY FUND	
5490	KESTRA INVESTMENT SERVICES, LLC	
5491	KESTRA INVESTMENT SERVICES, LLC	
5492	KING STRATEGIC ENERGY FUNDS LP	
5493	LEAFHOUSE FINANCIAL ADVISORS, LLC	
5494	LEVEL FOUR FINANCIAL, LLC	
5495	LIBERTY BANKERS LIFE INSURANCE COMPANY	
5506	SEALY & COMPANY, LLC	
5497	MCNALLY FINANCIAL SERVICES CORPORATION	
5505	RIVERROCK TRUST LLC	
5499	MONTICELLO FINANCIAL GROUP LLC	
5500	MOODY NATIONAL REIT I, INC.	
5501	NATIONAL WESTERN LIFE INSURANCE COMPANY, INC.	
5502	NEXPOINT ADVISORS LP	
5503	NOBLE ROYALTIES, INC.	
4962	OCEANVIEW LIFE AND ANNUITY COMPANY	
5496	LION STREET FINANCIAL, LLC	
5002	KEYSTONE CAPITAL CORPORATION	
5009	NEWPORT GROUP SECURITIES, INC.	Newport Group
5460	PFM ASSET MANAGEMENT LLC	
5008	NESF INVESTMENT SERVICES, LLC	
5006	MUFG UNION BANK NA/UBIS	
5005	MINISTRY PARTNERS INVESTMENT COMPANY, LLC	
4994	HANSON MCCLAIN RETIREMENT NETWORK, LLC	
5003	MACKENZIE REALTY CAPITAL, INC.	
5012	PENDER CAPITAL MANAGEMENT, LLC	
5001	KAYNE ANDERSON CAPITAL ADVISORS, L.P.	
5000	JSQ ADMINISTRATIVE SERVICES LLC	
4999	INSURED CONNECT LLC	
4998	INDEPENDENT FINANCIAL GROUP, LLC	
4997	ICG ADVISORS, LLC	
4959	BROKERS ALLIANCE, INC.	
5004	MADISON AVENUE SECURITIES, INC	
5018	PIPING ROCK PARTNERS INC.	
5026	STONECREST MANAGERS, INC	
5025	SOUTHWEST VALUE PARTNERS ENTERPRISE, LLC	
5024	SMARTSTOP ASSET MANAGEMENT, LLC	
5023	SHOPOFF REALTY INVESTMENTS L.P.	
5022	RREEF AMERICA L.L.C.	
5021	RFS PARTNERS	
5010	PACIFIC OAK CAPITAL ADVISORS LLC	
5019	PRAXIS CAPITAL, INC.	
5011	PARTNERSADMIN LLC	
5017	PIMCO INVESTMENTS LLC	
5016	PHOENIX AMERICAN FINANCIAL SERVICES, INC.	
5015	PFS FUNDS	
5014	PERENNIAL FUND SERVICES, LLC /HUTCHINSON CAPITAL PARTNERS, LLC	
5013	PERENNIAL FUND SERVICES, LLC	
4993	GRIFFIN REALTY TRUST, INC.	
5020	PROMETHEUS FINANCIAL, LLC	
4970	AMERICAN HEALTHCARE OPPS HOLDINGS, LLC	
4976	BRIDGEPORT FINANCIAL TECHNOLOGY, INC.	
4975	BITWISE ASSET MANAGEMENT, INC.	
4974	BGV VC MANAGEMENT LLC	

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ID	NAME1	NAME2
4973	BEE PARTNERS MANAGEMENT COMPANY, LLC	
4972	ATEL SECURITIES CORPORATION	
4995	HC GLOBAL FUND SERVICES, LLC	
5463	TRUIST BANK/CRUMP LIFE INSURANCE SERVICES	
5461	PNC CAPITAL MARKETS LLC	
4969	ALLOCATE MANAGEMENT COMPANY, LLC	
4967	VOYA INVESTMENTS DISTRIBUTOR, LLC/UCITS	
4966	VANTAGE RETIREMENT PLANS, LLC	
4965	TOWN WEST REALTY, INC.	
4964	SAGICOR LIFE INSURANCE COMPANY	
4963	OXFORD LIFE INSURANCE COMPANY	
4971	ARIA RETIREMENT SOLUTIONS, INC.	
4983	CRESCENT CAPITAL GROUP LP	
4992	GREENLAKE REAL ESTATE FUND LLC	
4991	GREAT GABLE PARTNERS, LP	
4990	FUNDAMENTAL CAPITAL MANAGEMENT LLC	
4989	FORT POINT CAPITAL PARTNERS LLC	
4988	FILIPPINI WEALTH MANAGEMENT, INC.	
4986	EXCHANGERIGHT REAL ESTATE, LLC	
4977	CALCAP INCOME FUND I LLC	
4984	D.L. HARRIS & ASSOCIATES INSURANCE SERVICES, INC.	
5462	PPB ADVISORS, LLC	
4982	CHRISTINA REAL ESTATE INVESTORS 2, LLC	
4981	CCO CAPITAL, LLC	
4980	CBIZ FINANCIAL SOLUTIONS, INC./CBIZ LIFE INSURANCE SOLUTIONS INC.	
4979	CANYON CAPITAL ADVISORS LLC	
4978	CALCHOICE FINANCIAL INC.	
5172	ORIGIN HOLDING COMPANY, LLC	
4985	DODGE & COX FUNDS	
5355	FENIX SECURITIES, LLC	
5362	GALILEO INVESTMENT MANAGEMENT LLC	
5361	GALAXY DIGITAL CAPITAL MANAGEMENT, LP	
5360	GABELLI & COMPANY INVESTMENT ADVISERS, INC.	
5359	G. RESEARCH, LLC	
5358	FRED ALGER & COMPANY, INCORPORATED	
5348	DIAMOND STANDARD FUND LLC	
5356	FENIX SECURITIES, LLC/GRAND BRIDGE INVESTMENT FUND LTD	
5366	GREENBACKER RENEWABLE ENERGY COMPANY II LLC	
5354	EULAV SECURITIES LLC	
5353	ESSENTIAL FUND SERVICES LLC	
5352	EQUITABLE DISTRIBUTORS, LLC	
5351	ECC FUND MANAGER II, LLC	
5350	EAS ACCOUNTING SERVICES, CPA LLC	
5383	MORGAN STANLEY & CO. LLC/MSIM LTD LIQUIDITY FUNDS	
5357	FIDELITY & GUARANTY LIFE INSURANCE COMPANY OF NEW YORK	
5373	KENNEDY LEWIS INVESTMENT MANAGEMENT LLC	
5310	SATURNA TRUST COMPANY	
5381	MERCER INVESTMENTS LLC	
5380	MAREX CAPITAL MARKETS INC.	
5379	MADISON GLOBAL ADVISORS LLC	
5378	MACKAY SHIELDS LLC	
5377	LIFEMARK SECURITIES CORP.	
5363	GEN II FUND SERVICES, LLC	
5374	KKR CAPITAL MARKETS LLC	
5365	GREENBACKER GROUP LLC	
5371	ICAPITAL SECURITIES, LLC	
5370	ICAPITAL ADVISORS, LLC	
5369	HAMLIN CAPITAL MANAGEMENT, LLC	
5368	GUGGENHEIM CREDIT INCOME FUND	
5367	GTIS OZ LLC	
5346	COMMUNITY BANK, NATIONAL ASSOCIATION/NRS TPA SVCES RELATIONSHIP	
5376	LIFE POLICY MANAGEMENT, LLC	
5319	ARES PRIVATE MARKETS FUND	
5327	BLUEROCK FUND ADVISOR, LLC	
5326	BLACKSTONE SECURITIES PARTNERS L.P./ BLACKSTONE ADVISORY PARTNERS L.P.	
5325	BENEFIT STREET PARTNERS, LLC	
5324	BBVA SECURITIES INC.	



# LIST OF NOMINEES

ID	NAME1	NAME2
5322	BANK OF NEW YORK MELLON/NA-BANK CUSTODY	
5349	E*TRADE SECURITIES LLC	
5320	AVENUE CAPITAL MANAGEMENT II, L.P.	
5330	BOFA SECURITIES, INC.	
5317	AQUILA DISTRIBUTORS LLC	
5316	APOLLO CAPITAL MANAGEMENT, L.P.	
5315	AMERICAN NATIONAL LIFE INSURANCE COMPANY OF NEW YORK	
5314	ALLIANZ LIFE INSURANCE COMPANY OF NEW YORK	
5313	ALLIANCEBERNSTEIN INVESTMENTS, INC.	
5169	OFS CAPITAL MANAGEMENT, LLC	
5321	AXONIC CAPITAL LLC	
5336	CARLYLE ALPINVEST PRIVATE MARKETS FUND	
5345	COMMUNITY BANK, NATIONAL ASSOCIATION	
5344	COHEN & STEERS SECURITIES, LLC/COHEN & STEERS SICAV FUNDS	
5343	CLEAR STREET LLC	
5342	CLARION PARTNERS, LLC	
5340	CITIBANK, N.A./BLACKROCK ETF	
5339	CION INVESTMENT GROUP, LLC	
5328	BMO CAPITAL MARKETS CORP.	
5337	CCV LLC	
5329	BNY MELLON SECURITIES CORPORATION	
5335	CAPSTACK PARTNERS LLC	
5334	CAPITAL INTEGRATION SYSTEMS LLC	
5333	CANARAS CAPITAL MANAGEMENT, LLC	
5332	BUTTONWOOD GROUP ADVISORS LLC	
5331	BREAKWATER GROUP DISTRIBUTION SERVICES LLC	
5384	MS CAPITAL PARTNERS ADVISER INC.	
5338	CENTRAL PARK GROUP, LLC	
5433	MOBILE INFRASTRUCTURE CORPORATION	
5440	FAIRWAY AMERICA LLC/FAIRWAY AMERICA FUND VIIQP LP	
5439	FAIRWAY AMERICA LLC/5SQRE FUND I LP	
5438	ALERA MANAGEMENT GROUP LLC	
5437	KNIGHTSBRIDGE ADVISERS LLC	
5436	THE HUNTINGTON NATIONAL BANK	
5426	FIFTH THIRD BANK, NATIONAL ASSOCIATION	
5434	MUTUAL SHAREHOLDER SERVICES, LLC	
5443	STEWART PARTNERS INVESTMENT SOLUTIONS, LLC	
5432	MEEDER DISTRIBUTION SERVICES, INC.	
5431	MASSMUTUAL ASCEND LIFE INSURANCE COMPANY	
5430	L.M. KOHN & COMPANY	
5429	JPMORGAN DISTRIBUTION SERVICES, INC./JPMORGAN OFF SHORE FUNDS	
5428	JPMORGAN CHASE BANK, NATIONAL ASSOCIATION	
5382	METROPOLITAN PARTNERS GROUP MANAGEMENT, LLC	
5435	NATIONWIDE RETIREMENT SOLUTIONS, INC.	
5448	FIDUCIARY EXCHANGE, LLC	
5456	NASDAQ PHLX LLC	
5455	MATTHEW 25 FUND, INC.	
5454	LOMBARD INTERNATIONAL LIFE ASSURANCE COMPANY	
5453	LINCOLN LIFE ASSURANCE COMPANY OF BOSTON	
5452	LINCOLN INVESTMENT PLANNING, LLC	
5451	HARTFORD FUNDS DISTRIBUTORS, LLC	
5441	SORTIS HOLDINGS, INC.	
5449	FS INVESTMENT SOLUTIONS, LLC	
5442	SORTIS HOLDINGS, INC./SORTIS INCOME FUND LLC	
5447	DLP CAPITAL ADVISORS, LLC	
5458	NEWEDGE SECURITIES, INC.	
5446	DELAWARE WILSHIRE PRIVATE MARKETS FUND	
5445	DELAWARE DISTRIBUTORS, L.P.	
5444	BNP PARIBAS, NEW YORK BRANCH	
5425	COASTAL RIDGE REAL ESTATE PARTNERS, LLC	
5450	GUARDIAN INSURANCE & ANNUITY COMPANY, INC. (THE)	
5392	PEMBROOK CAPITAL MANAGEMENT, LLC	
5402	SBLI USA LIFE INSURANCE COMPANY, INC.	
5400	ROYAL OAK REALTY TRUST INC.	
5398	RELATED FUND MANAGEMENT, LLC	
5397	QUALIS CAPITAL LLC	
5396	PURSHE KAPLAN STERLING INVESTMENTS INC	

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ID	NAME1	NAME2
5427	HORAN SECURITIES, INC.	
5393	POMONA INVESTMENT FUND	
5405	SQN CAPITAL MANAGEMENT, LLC	
5391	OWL ROCK CAPITAL CORPORATION II	
5390	OPTO INVESTMENTS, INC	
5389	OCONNOR DAVIES ADMINISTRATION, LLC	
5388	OAK HILL ADVISORS, L.P.	
5387	NS AM MEMBER, LLC	
5386	NATIONAL ASSET MANAGEMENT, INC.	
5395	PROSPECT SUSTAINABLE INCOME FUND, INC.	
5412	THE BANK OF NEW YORK MELLON/LL FUNDS, LLC	
5424	ARBOR COURT CAPITAL LLC/AMERICAFIRST FUNDS	
5422	WILLIAM PENN LIFE INSURANCE COMPANY OF NEW YORK	
5421	W. P. CAREY & CO. LLC/CAREY EUROPEAN STUDENT HOUSING FUND I, LP	
5420	W. P. CAREY & CO. LLC	
5418	VESTWELL HOLDINGS, INC.	
5416	VALUEWORKS LIMITED PARTNERS, LP	
5403	SECURITY MUTUAL LIFE INSURANCE COMPANY OF NEW YORK	
5414	TIME EQUITIES SECURITIES, LLC	
5404	SIMKOWITZ & COMPANY INC.	
5411	THE BANK OF NEW YORK MELLON/ABBAY CAPITAL	
5410	THE BANK OF NEW YORK MELLON	
5409	THE AYCO COMPANY, L.P.	
5407	TEACHERS INSURANCE AND ANNUITY ASSOCIATION OF AMERICA	
5406	STONEPEAK PARTNERS LP	
5309	INCLINE INVESTMENT MANAGEMENT, LLC	
5415	TP ICAP GLOBAL MARKETS AMERICAS LLC	
5217	GLOBAL TRUST COMPANY/CITI-VCM	
5224	STATE STREET BANK & TRUST CO/LINCOLN RETIREMENT SERVICES	
5223	SPRUCEVIEW CAPITAL PARTNERS LLC	
5222	PUTNAM RETAIL MANAGEMENT LIMITED PARTNERSHIP	
5221	NATIXIS DISTRIBUTION, LLC	
5220	MFS FUND DISTRIBUTORS, INC./MFS 529 SAVINGS PLAN	
5210	COMMONWEALTH EQUITY SERVICES LLC	
5218	JOHN HANCOCK INVESTMENT MANAGEMENT DISTRIBUTORS LLC/JOHN HANCOCK DISTRIBUTORS LLC, VIT	
5227	THE COLONY GROUP, LLC	
5216	FIDELITY DISTRIBUTORS COMPANY LLC	
5215	FIDELITY DISTRIBUTORS COMPANY LLC	
5214	DELAWARE LIFE INSURANCE COMPANY/LIFE SE2	
5213	DELAWARE LIFE INSURANCE COMPANY/DLIC	
5212	DELAWARE LIFE INSURANCE COMPANY OF NEW YORK	
5242	REGULUS ADVISORS, LLC.	
5219	JOHN HANCOCK INVESTMENT MANAGEMENT DISTRIBUTORS LLC/MANULIFE INVESTMENT MANAGEMENT II (ICAV)	
5233	BANNER LIFE INSURANCE COMPANY	
5312	ACP VENTURE CAPITAL MANAGEMENT FUND LLC	
5240	CIG ASSET MANAGEMENT, INC.	
5239	AEI FUND MANAGEMENT, INC.	
5238	QUASAR DISTRIBUTORS, LLC/EVERMORE FUNDS	
5237	STEBEN & COMPANY, INC.	
5236	GROVE POINT INVESTMENTS, LLC	
5225	STATE STREET BANK & TRUST COMPANY/HEWITT/ALLY	
5234	FOUNDERS FINANCIAL SECURITIES, LLC	
5226	STERLING BRIDGE INSURANCE AGENCY, INC.	
5232	ARCHER DISTRIBUTORS, LLC	
5231	ARCHER DISTRIBUTORS LLC/ARROW FUNDS	
5230	WFI MANAGEMENT II, LLC	
5229	WATERWAY CAPITAL, LLC	
5228	VP DISTRIBUTORS, LLC/VVIT SERIES	
5208	BOSTON PARTNERS TRUST COMPANY	
5235	FRANKLIN DISTRIBUTORS, LLC	
5182	WINTRUST INVESTMENTS, LLC	
5189	SANCTUARY SECURITIES, INC.	
5188	REALAMERICA DEVELOPMENT, LLC	
5187	PROTEUS, LLC	
5186	ONE RESOURCE GROUP CORPORATION	
5185	NATIONS FINANCIAL GROUP, INC	
5211	CONSTITUTION CAPITAL EQUITY PARTNERS, LP	

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ID	NAME1	NAME2
5183	Z CAPITAL CREDIT PARTNERS, LLC	
5192	COPPER FINANCIAL NETWORK, LLC	
5181	VIVALDI CAPITAL MANAGEMENT, LLC	
5180	ULTIMUS PRIVATE FUND SOLUTIONS, LLC/ADVISORY RESEARCH, INC.	
5178	T2 HOLDINGS, LLC	
5176	STRAITS FINANCIAL FUND MANAGEMENT, LLC	
5174	RMB CAPITAL MANAGEMENT, LLC	
5173	RELIANCE CAPITAL MARKETS II, LLC	
5184	CFD INVESTMENTS, INC.	
5198	ZURICH AMERICAN LIFE INSURANCE COMPANY	
5207	BOLTON GLOBAL CAPITAL INC.	
5206	ASCENSUS BROKER DEALER SERVICES, LLC	
5205	THE PANGBURN GROUP, INC.	
5204	HANCOCK WHITNEY INVESTMENT SERVICES, INC.	
5203	GUARANTY INCOME LIFE INSURANCE COMPANY	
5202	ANNUITY MARKETING SERVICES, INC.	
5190	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	
5199	DPL FINANCIAL PARTNERS, LLC	
5191	CLIENT ONE SECURITIES, LLC	
5197	PRIME CAPITAL INVESTMENT ADVISORS, LLC	
5196	PLATFORM INVESTMENTS, LLC	
5195	PALMER SQUARE CAPITAL MANAGEMENT LLC	
5194	MATRIX 360 DISTRIBUTORS, LLC/TFA	M3Sixty Administration, LLC
5193	INSURANCE DESIGNERS OF KANSAS CITY, LLC	
5243	SIGNAL ADVISORS INSURANCE, LLC	
5200	EF LEGACY SECURITIES, LLC	
5285	SOWER FARM MANAGEMENT, LLC	
5292	CURVATURE SECURITIES, LLC	
5291	CHARLES SCHWAB & CO., INC./SCHWAB GLOBAL INVESTING ACCOUNT	
5290	APW CAPITAL, INC.	
5289	AIG CAPITAL SERVICES, INC.	
5288	COHEN REAL ESTATE ADVISORS, LLC	
5278	CLS INVESTMENT FIRM, LLC	
5286	UNION BANK & TRUST COMPANY	
5295	FOUR SPRINGS CAPITAL TRUST	
5284	SOWER CAPITAL MANAGEMENT, LLC	
5283	PANORAMA POINT PARTNERS, LLC	
5282	MUTUAL OF OMAHA INSURANCE COMPANY	
5281	LINCOLN BENEFIT LIFE COMPANY	
5280	GEMINI FUND SERVICES, LLC	
5241	J.W. KORTH & COMPANY LIMITED PARTNERSHIP	
5287	ARBORETUM INVESTMENT ADVISORS, LLC	
5301	PRUDENTIAL INSURANCE COMPANY OF AMERICA/PGIM REAL ESTATE	
5459	PERSHING LLC/SUMDAY LLC	
5308	BULLDOG MANAGEMENT LLC	
5307	UPRIGHT INVESTMENTS TRUST	
5306	THE LIGHTHOUSE GROUP, LLC/BEHRINGER HARVARD OPPORTUNITY REIT I, INC.	
5305	THE BANK OF NEW YORK MELLON/ADAM CAPITAL GESTAO DE RECURSOS LTDA	
5304	S.USA LIFE INSURANCE COMPANY, INC.	
5293	DRIVEWEALTH, LLC	
5302	PRUDENTIAL INSURANCE COMPANY OF AMERICA/ Prudential Insurance Company of America	
5294	EQUITABLE FINANCIAL LIFE INSURANCE COMPANY OF AMERICA	
5300	PEAK 7 LEASEHOLD INCOME I, LLC	
5299	NYLIFE DISTRIBUTORS LLC	
5298	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	
5297	GLEN EAGLE WEALTH, LLC	
5296	GARDEN STATE SECURITIES, INC.	
5277	BURLINGTON CAPITAL MULTIFAMILY EQUITY FUND VI, LLC	
5303	PRUDENTIAL INVESTMENT MANAGEMENT SERVICES LLC/ON BEHALF OF PGIM FUNDS PLC	
5251	GWG HOLDINGS, INC.	
5258	K.W. CHAMBERS & CO.	
5257	FOURTHSTONE LLC	
5256	CIR FEEDER FUND MANAGEMENT, LLC	
5255	WELLS FARGO BANK, NATIONAL ASSOCIATION	
5254	WELLS FARGO BANK, N.A.	Retirement Plan Services
5279	CWM, LLC	
5252	RIVERSOURCE LIFE INSURANCE COMPANY	

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ID	NAME1	NAME2
5262	SAXONY SECURITIES, INC.	Saxony Securities, Inc.
5250	GRADIENT SECURITIES, LLC	
5249	FOUNDERS PROPERTIES, L.L.C.	
5248	DST MARKET SERVICES, LLC	
5246	COMPREHENSIVE BROKERAGE SERVICES, LLC	
5245	CAPITAS FINANCIAL, INC.	
5244	AMERICAN ENTERPRISE INVESTMENT SERVICES, INC.	
5253	US BANK N.A.	
5268	INNOVATION PARTNERS, LLC	
5276	AXOS CLEARING LLC/CORRESPONDENT FLIP FACILITATION ACCOUNT	
5275	AXOS CLEARING LLC/AXOS ADVISOR SERVICES	
5274	AXOS CLEARING LLC	
5273	LEGENDARY CAPITAL, LLC	
5272	ALERUS FINANCIAL, N.A.	
5271	TRUIST BANK	
5259	KANSAS CITY LIFE INSURANCE COMPANY	
5269	LIVE OAK BANKING COMPANY	
5260	LARSON FINANCIAL GROUP, LLC	
5267	BRIGHTHOUSE LIFE INSURANCE COMPANY	
5266	BARINGS LLC	
5265	ATLAS FUND SERVICES (USA) LLC	
5264	ASPIDA LIFE INSURANCE COMPANY	
5263	STONEX FINANCIAL INC.	
4905	PineBridge Investments, LLC	
5270	TMF FUND SERVICES NORTH AMERICA LLC	
4244	MOUNTAINSIDE SECURITIES LLC	
4330	TRANSAMERICA FINANCIAL ADVISORS INC	
4261	ALLEGIANCE CAPITAL LLC	
4260	FOX CHASE CAPITAL PARTNERS LLC	
4258	KEY INVESTMENT SERVICES LLC	
4251	FIRST SECURITY INVESTMENTS INC	
4248	BANKERS TRUST CORP TRUST DEPT	
3115	Allianz Life Insurance Co. of New York-Fixed Business	
4246	AMERITRUST INVESTORS SERVICES	
3120	Nomura Securities International, Inc.	
4239	CARL P SHERR & CO LLC	
4237	AK CAPITAL LLC	
4236	GORDON, HASKETT & CO	
4234	LADENBURG THALMANN & CO	
4233	CHEEVERS & CO INC	
4232	MONEX SECURITIES INC	
4231	BUCKMAN, BUCKMAN & REID, INC	
4247	R W PRESSPRICH & CO	
3143	Rowe (T.) Price Investment Services, Inc.	
3161	American United Life Insurance Company	
3160	National Security Life and Annuity Co.	
3159	Valmark Securities, Inc.	
3156	Protective Life Insurance Company	
3155	Hugo Marx & Co., Inc.	
3153	Franklin/Templeton Distributors, Inc./St. Petersburg	
4262	J.M. LUMMIS SECURITIES	
3148	Genworth Life Insurance Company	
4228	ASSOCIATED INVESTMENT SERVICES INC	
3140	Presidential Bank, FSB	
3138	ICMA-RC Services, LLC	
3137	PNC Bank, N.A.	
3128	American Portfolios Financial Services, Inc.	
3124	Transamerica Financial Life Insurance Company	
3123	Olstein Associates, Inc.	
3122	Nationwide Life & Annuity Company of America	
3149	Capital Investment Group, Inc.	
3093	M Holdings Securities, Inc.	
3106	Hartford Investment Financial Services/Hartford Advantage Investments Ltd.	
3103	Natixis Distributors, L.P./Loomis Sayles Funds	
3101	Fidelity Distributors Corporation/Fidelity International Limited	
3100	Pioneer Investment Management USA Inc.	
3099	Massachusetts Mutual Life Insurance Company	

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ID	NAME1	NAME2
3098	Alliant Securities, Inc. Turner, Nord, Kienbaum	
4230	HUNTER ASSOCIATES INC	
3096	Saturna Brokerage Services, Inc.	
4200	W&S BROKERAGE SERVICES INC	
3092	Standard Insurance Company	
3086	Parnassus Investments	
4196	R.F. LAFFERTY & CO INC	
4195	MERIDIAN EQUITY PARTNERS	
4193	WESTMINSTER FINANCIAL	
4191	AINTREE CAPITAL A DIVISION	
4190	AVONDALE PARTNERS LLC	
3097	Russell Trust Company	
4216	KENNETH JEROME & CO INC	
3166	Madison Mosaic, LLC	
4227	J.W. COLE FINANCIAL INCE	
4226	PAPAMARKOU WELLNER & CO INC	
4225	CD FUNDING SECURITIES LLC	
4224	BERNARDI SECURITIES	
4223	BERTHEL FISHER & CO. INC	
4222	CENTRAL STATES CAPIATL MARKETS LLC	
3112	Lord Abbett Distributors	
4218	KC WARD FINANCIAL	
4199	TOCQUEVILLE SECURITIES LP	
4214	COMERICA SECURITIES INC	
4213	LIBERTY GROUP LLC	
4211	STRATEGIC CAPITAL INVESTMENT LLC	
4208	THORNHILL SECURITIES INC	
4207	SMITH, BROWN & GROOVER INC	
4206	SECURIAN FINANCIAL SERVICES INC	
4229	BANNON OHANESIAN & LECOURS INC	
4219	BARRETT & COMPANY	
4278	NY LIFE SECURITIES	
5485	FIRST COMMAND BROKERAGE SERVICES, INC.	
4295	MUNICIPAL CAPITAL MARKETS GROUP INC	
5536	PACKERLAND BROKERAGE SERVICES, INC	
4293	PHILLIPS & COMPANY SECURITIES INC	
4286	TRIAD ADVISORS INC	
4283	THOROUGHbred FINANCIAL SERVICES	
4269	AMHERST SECURITIES GROUP LP	
4279	NYL INVESTORS LLC	
4301	AMERICAN INVESTORS COMPANY	
4277	BCG SECURITIES	
4276	BANORTE SECURITIES INTERNATIONAL LTD	
4275	ACTINVER SECURITIES INC	
4274	CAROLINAS INV. CONSULTING INC	
4273	BROWNSTONE INVESTMENT GROUP LLC	
4272	BEACON INVESTMENT COMPANY	
3164	U.S. Bank N.A.	
4282	DORSEY AND COMPANY INC	
4312	INFINEX INVESTMENTS INC	
4327	E-W INVESTMENTS INC	
4323	THE CHAPMAN COMPANY	
4321	GFI SECURITIES LLC	
4320	MARKET AXESS CORPORATION	
4319	WESTPORT RESOURCES INVESTMENT	
4318	BLACKROCK EXECUTION SERVICES	
4317	CREDITEX SECURITIES CORP	
4296	WELLS CAPITAL MANAGEMENT INC	
4314	TRADESPOT MARKETS INC	
4870	Comerica Bank (Asset Management)	
5535	BANKERS' BANK	
4311	HIGHLANDER CAPITAL GROUP INC	
4308	PAULSON INVESTMENT CO INC	
4306	DELTEC ASSET MANAGEMENT LLC	
4304	LEERINK SWANN & CO	
4303	ELLINGTON MANAGEMENT GROUP LLC	
4268	NATIONAL SECURITIES CORP	

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ID	NAME1	NAME2
4315	PROSPERA FINANCIAL SERVICES	
3182	Jones (Edward D.) & Co.	
5542	U.S. BANCORP INVESTMENTS, INC.	
5544	UMB DISTRIBUTION SERVICES LLC/WILDERMUTH FUNDS	
5545	US BANCORP FUND SERVICES LLC	
5546	MFD DISTRIBUTOR, LLC	
3188	Securities America, Inc.	
3187	American Century Investment Services, Inc.	
4271	BANCROFT CAPITAL LLC	
3183	First Heartland Capital, Inc.	
5539	QUASAR DISTRIBUTORS, LLC/BROOKFIELD OAKTREE INTERVAL FUND	
3175	LaSalle St. Securities, LLC	
3174	Frontegra Funds, Inc.	
3172	Allianz Life Insurance Company of North America	
3171	Lutheran Brotherhood Family of Funds (The)	
3169	RBC Capital Markets Corporation	
3168	SII Investments	
4184	FIRST MANHATTAN CO	
3186	McLiney & Company	
3196	Vest (H.D.) Investment Securities, Inc.	
4266	PERFORMANCE TRUST CAP PARTNER	
5537	QUASAR DISTRIBUTORS LLC/ INFINITY Q FUNDS	
3216	Russell Financial Services, Inc.	
3214	Symetra Life Insurance Company	
3211	CUSO Financial Services, L.P.	
3207	Wedbush Securities Inc.	
3206	Sagepoint Financial, Inc	
5541	RELIANCE TRUST COMPANY/FIS TRUSTDESK MKE	
3199	Invesco Distributors, Inc./Fund Management Co.	
5540	QUASAR DISTRIBUTORS, LLC/NICHOLAS FUNDS	
3195	Ameritas Investment Corporation	
3194	Lincoln Benefit Life Company	
3193	Allstate Financial Services, LLC	
3192	Smith Hayes Financial Services Corp./Colorado Bondshares	
3191	Mutual of Omaha Investor Services, Inc.	
5538	QUASAR DISTRIBUTORS, LLC/ANGEL OAK INTERVAL FUND	
3165	Oberweis Securities, Inc.	
3200	Invesco Distributors, Inc.	
2947	Guggenheim Funds Distributors, Inc.	
2974	State Farm VP Management Corp.	
2968	Driehaus Securities LLC	
2967	Georgetowne Funds	
2966	Wayne Hummer Investments LLC	
2964	Nuveen Investments, LLC/Dublin Funds	
2963	Nuveen Investments, LLC	
2936	Fintegra, LLC	
2948	Calamos Financial Services LLC/Calamos Global Fund PLC	
2979	First Heartland Capital Inc./Centerre Capital, LLC	
2944	Federal Life Insurance Company(Mutual)	
2942	Davidson (D.A.) & Co. Inc.	
2941	Integrity Funds Distributor, Inc.	
2940	Investment Centers of America, Inc.	
2939	Frontier Trust Company	
2938	Midland National Life Insurance Company	
4077	WHITAKER SECURITIES LLC	
2949	Ariel Distributors LLC	
2995	Union Bank and Trust Company Nebraska	
4188	BOENNING & SCATTERGOOD	
4073	INDEPENDENT FINANCIAL SERVICES INC	
4072	FINANCIAL CONSULTANT GROUP LLC	
4070	NEW CASTLE FINANCIAL SERVICES LLC	
3024	Trust Company of America	
3022	Geneos Wealth Management, Inc	
3019	First Great-West Life & Annuity Insurance Company	
2976	Stifel, Nicolaus & Company Incorporated	
3000	James Baker & Associates, L.P.	
2977	401Kstore.com (The)	

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ID	NAME1	NAME2
2993	First National Capital Markets, Inc.	
2990	Northern Lights Distributors, LLC	
2989	Wallace R. Weitz & Company	
2988	Rydex Distributors, Inc./Account B	
2986	Benefit Trust Company	
2983	Tradebot Systems, Inc.	
2933	Reliastar Life Insurance Company	
3015	U.S. Global Investors Funds	
4053	NEW HORIZONS ASSET MANAGEMENT GROUP LLC	
2903	Jackson National Life Insurance Company	
4069	PPM AMERICA INC	
4061	HEARTLAND INVESTMENT ASSOCIATES	
4060	MARK TWAIN BROKERAGE SERVICES	
4059	THE STRATEGIC FINANCIAL ALLIANCE INC	
4056	HERBERT J SIMS & CO INC	
2937	United Bankers Bank	
4054	PMA SECURITIES	
2907	MetLife Investors Insurance Company	
4051	CLAYTON LOWELL & CONGER INC	
4050	ADIRONDACK TRADING GROUP LLC	
4045	NAVAID FINANCIAL SERVICES INC	
4044	DAVID A NOYES INC	
4041	TAKE CHARGE FINANCIAL	
4039	MARQUIS FINANCIAL SERVICES INC	
4037	GENE MORGAN	
4055	KEVIN HART KORNFIELD & CO	
2915	Transamerica Life Insurance Company/Diversified Investors Securities Corp	
2932	Woodbury Financial Services, Inc.	
2929	Minnesota Life Insurance Company	
2928	Bankers Bank	
2926	Quasar Distributors, LLC	
2925	Northwestern Mutual Investment Services, LLC	
2921	Fiduciary Management Inc.	
2920	Baird (Robert W.) & Co., Incorporated	
2905	Eagle Life Insurance Company	
2916	Transamerica Life Insurance Company/TLIAC	
2906	First MetLife Investors Insurance Company	
2914	Monumental Life Insurance Company/Peoples	
2913	AEGON/Transamerica Life Insurance Company	
2912	CUNA Brokerage Services	
2911	Princor Financial Services Corporation/Principal Fu The Principal Financial Group	
2910	Principal Life Insurance Company	
2908	Midland National Life Insurance Company	
4079	THE WINCHESTER GROUP INC	
2917	Denison (H.C.) Co.	
4139	GREAT AMERICAN ADVISORS	
4156	SEARLE & CO	
4155	INTERCAROLINA FINANCIAL SERVICES	
4151	FIRST WESTERN ADVISORS	
4149	FIRST SECURITY BROKERAGE CO OF KY	
4147	ROTHSCHILD INVESTMENT CORP	
4145	JEFFREY MATTHEWS FIN GRP	
3075	Pacific Life Insurance Company/ Life Division	
4142	SECURITIES RESEARCH INC	
4160	ROBINSON-HUMPHREY CO INC	
4136	GARRETT NAGLE & CO INC	
4135	NFB INVESTMENT SERVICES CORP	
4134	SIGMA FINANCIAL CORP	
3084	Charles Schwab Bank	
3083	BlackRock Institutional Trust Company, N.A.	
3080	RFS Partners	
4076	CENTAURUS FINANCIAL	
4144	HEFREN TILLOTSON INC	
4169	LEUMI INVESTMENT SERVICES INC	
4298	AVATAR CAPITAL GROUP LLC	
4182	ISAAK BOND INVESTMENTS	
4181	INTERNATIONAL ASSETS ADVISORS LLC	

# LIST OF NOMINEES

ID	NAME1	NAME2
4180	CANTELLA & CO	
4178	PENVEST SECURITIES	
4177	GOLD CAPITAL MANAGEMENT INC	
4175	J. ALDEN ASSOCIATES INC	
4157	CAPITAL GROUP RESEARCH INC	
4171	SUNSET FINANCIAL SERVICES INC	
4159	BULLTICK LLC	
4167	ROBERT VAN SECURITIES INC	
4166	INVESTMENT PLACEMENT GROUP	
4164	T.S. PHILLIPS INVESTMENT INC	
4163	MELLIN SECURITIES INC	
4162	SURETY FINANCIAL SERVICES	
4161	BASIS FINANCIAL LLC	
3074	Sorrento Pacific Financial, LLC	
4174	THE HUNTINGTON INVESTMENT CO	
4096	R SEELAUS & CO INC	
3050	Payden & Rygel Distributors	
3042	Davis Distributors, LLC	
3041	C.O.R.E Marketing Inc	
3039	Zions Direct, Inc.	
3033	ALPS Distributors, Inc./Heartland Funds	
3025	ALPS Distributors, Inc./ALPS Variable Insurance Trust	
3076	Pacific Life & Annuity Company	
4099	FIRST LONDON SECURITIES CORP	
4109	ANZ SECURITIES INC	
4095	CRESAP INC	
4090	NEEDHAM & COMPANY LLC	
4089	ARROWHEAD FINANCIAL GROUP INC	
4087	HOLFORD SECURITIES US INC	
4086	TRUSTMARK FINANCIAL SERVICES INC	
4084	MDB CAPITAL GROUP INC	
4083	GEORGE MCKELVEY CO INC	
4100	FMN CAPITAL CORPORATION	
4122	FIRST DALLAS SECURITIES INC	
3061	First Sun America/DVFS	
3059	Gold Coast Securities, Inc.	
4133	MIDWESTERN SECURITIES TRADING	
4132	ACCESS SECURITIES INC	
4130	JOSEPH GUNNAR & CO INC	
4128	JVB FINANCIAL GROUP INC	
4126	AMERICAN SECURITIES GROUP INC	
4103	SECURITIES EQUITY GROUP	
4123	BANCORPSOUTH INVESTMENT SERVICES	
4108	VCA SECURITIES LP	
4119	GRODSKY ASSOCIATES INC	
4118	BROKERS INVESTMENT CORP	
4117	BCP SECURITIES LLC	
4116	M&T SECURITIES INC	
4115	SUNAMERICA SECURITIES INC	
4113	SENTRA SECURITIES CORPORATION	
4187	SUMMIT EQUITIES INC	
4125	RANIER SECURITIES LLC	
4592	FIN-PLAN INVESTMENT INC	
4557	PUPLAVA SECURITIES INC	
4562	HUBERMAN FINANCIAL INC	
4563	OMNIVEST INC	
4576	PIRRONE AND COMPANY	
4577	ASSOCIATED SECURITIES CORP	
5534	ARTISAN PARTNERS DISTRIBUTORS LLC/ARTISAN PARTNERS GLOBAL FUNDS PLC	
4580	KNOX (W.L.) & COMPANY	
3245	Lincoln Benefit Life Company/Administered by SE2 Technology Solutions	
4583	INTERNATIONAL BANK & RECONSTRUCTION & DEVELOPMENT	
4584	S.B.S FINANCIAL GROUP INC	
4585	INVESTORS SECURITIES COMPANY INC	
4613	UNION TRUST COMPANY	
4589	METROPOLITAN LIFE INSURANCE COMPANY	
4552	BAC CORP	



# LIST OF NOMINEES

ID	NAME1	NAME2
4593	STATE STREET CAPITAL MARKETS LLC	
4594	FMG SECURITIES	
4597	H BECK INC	
4599	WIN CAPITAL CORP	
4603	PROTECTIVE EQUITY SERVICES INC	
5525	MILLS VALUE ADVISER, INC.	
4605	US WEALTH ADVISORS LLC	
4606	FIRST TRADE	
4608	N.D. MEYER & CO INC	
4611	LANTERN INVESTMENTS INC	
5524	MILITELLO CAPITAL, LLC	
4506	J.A. GLYNN & CO	
4587	TELEMUS INVESTMENT BROKERS LLC	
4532	PRESIDIO FINANCIAL SECURITIES	
4507	SHAREHOLDERS SERVICE GROUP INC	
4509	CGIS SECURITIES LLC	
4510	WEDGEWOOD PARTNERS	
4511	CAMELOT INVESTMENT ADVISORS	
4512	CONSULTIVIA INTERNATIONAL	
4513	DUPONT CAPITAL MANAGEMENT CORPORATION	
4514	NORTH STAR INVESTMENT SERVICES	
4518	ONEAMERICA SECURITIES INC	
4520	MOORS & CABOT	
4523	ON EQUITY SALES COMPANY	
4524	FRANKLIN ADVISERS INC	
4526	FCG ADVISORS LLC	
4554	CUE/CUSO EQUITIES INC	
4530	WACHOVIA SEC FINANCIAL NETWORK	
4553	ROYAL AMERICAN INVEST SERVICES	
4534	BALFOUR INVESTORS INC	
4535	BROWN, LISLE, CUMMINGS, INC	
4536	INTL CORRESPONDENT TRADING INC	
4538	QUESTAR CAPITAL CORP	
4541	KEEFE BRUYETTE & WOODS	
4542	KUHNS BROTHERS SECURITIES	
4543	FLORIDA ATLANTIC SECS CORP	
5526	PIEDMONT FUND SERVICES, INC.	
4545	SUCCESS TRUST SECURITIES INC/JUST2TRADE	
4547	ZIONS INVESTMENT SECURITIES	
4548	LANDMARK INTERNATIONAL EQUITIES	
4614	CAPITAL & CREDIT INTERNATIONAL INC	
4527	VANDHAM SECURITIES CORP	
4857	Tower Research Capital, LLC	
4843	J.P. Morgan Securities, LLC (Broker)	
5519	PECO STRATEGIC INVESTMENT FUND III, LP	
5518	NORTH CAPITAL ADMINISTRATOR SERVICES INC.	
4846	Proficio Capital Partners, LLC	
5517	CROSS CREEK ADVISORS, LLC	
4847	Aquarius International Fund	Altair Advisers, LLC
4848	Osborn Williams & Donohoe, LLC	
4850	Oaktree Capital Management, L.P.	
4851	Advisory Alpha, LLC	
4852	Envestnet Asset Management, Inc.	
5516	COTTONWOOD COMMUNITIES, INC.	
4612	SEAPORT GROUP SECURITIES LLC	
4854	Invesco Capital Management, LLC	
4671	AEIS INC	
4858	McKinley Capital Management, LLC	
4859	J.P. Morgan Investment Management, Inc.	
5515	CACHE PRIVATE CAPITAL MANAGEMENT, LLC	
4861	Avestar Capital, LLC	
4862	Rehmann Capital Advisory Group, LLC	
5514	BRIDGE FUND FINANCIAL SERVICES LLC	
4863	Contrarian Capital Management, LLC	
4864	Redwood Investment Management, LLC (CA)	
4866	Rockefeller & Company, LLC	
4868	Quantamental Technologies, LLC	

# LIST OF NOMINEES

ID	NAME1	NAME2
4869	Pacer Advisors, Inc.	
5513	VIRTUS REAL ESTATE, LLC	
4853	Marshall Wace North America, L.P.	
4647	M&I BROKERAGE SERVICES	
4615	MATRIX CAPITAL GROUP INC	
4620	BI INVESTMENTS	
4621	BANESTO SECURITIES INC	
4622	NORTH SEA SECURITIES	
4623	MULTI-FINANCIAL SECURITIES CORP	
4626	CAMBRIA CAPITAL LLC	
5523	GLOBAL AI INTERNET FREEDOM FUND LP	
4634	MAY MANAGEMENT INC	
4637	FIRST CITY BROKERAGE CO	
4638	FINANCIAL SECURITY NETWORK INC	
4639	VOYA INVESTMENT MANAGEMENT LLC	
4641	PAN-AMERICAN FINANCIAL ADVISER	
4842	Belpointe Asset Management, LLC	
5522	GLADSTONE SECURITIES LLC	
4675	ZBNA / WESTERN NATIONAL BANK	
4648	IFG NETWORK SECURITIES	
5521	COMPREHENSIVE FINANCIAL PLANNING CORP.	
4651	COMMONWEALTH SERVICES	
4654	MIDAMERICA FINANCIAL SERVICES	
4657	FFP ADVISORY SERVICES LLC	
4662	FIRST BROKERS SECURITIES LLC	
4664	B C ZIEGLER AND CO	
4665	IRONSTONE SECURITIES INC	
4666	AMARICO	
4667	FIRST COMMERCE INVESTMENT SERVICES	
5520	ATEGRA CAPITAL MANAGEMENT, LLC	
4579	STANFORD GROUP CO	
4644	HWJ CAPITAL PARTNERS II LLC	
4407	PENTEGRA DISTRIBUTORS INC	
4346	STUART FRANKEL & CO	
4345	SUTTER SECURITIES INC	
4392	NELNET CAPITAL LLC	
4395	BGB SECURITIES INC	
4399	WESTERN INTERNATIONAL SECURITIES INC	
4400	MARC J. LANE & COMPANY	
4343	ALADDIN CAPITAL LLC	
4401	ROSS SINCLAIRE & ASSOCIATES	
4402	EFG CAPITAL INTERNATIONAL CORPORATION	
4405	LANDOLT SECURITIES INC	
5532	LEGACY NW FUND, LLC	
4439	AVM L.P.	
4406	HANCOCK INVESTMENT SERVICES	
4349	AMUNDI PIONEER INSTITUTIONAL ASSETMANAGEMENT INC	
4408	COORDINATED CAPITAL SECURITIES	
4413	JKR & CO	
4415	FOLGER NOLAN FLEMING DOUGLAS INC	
4416	CROWN CAPITAL SECURITIES	
4417	COLLEGE RETIREMENT EQUITIES FUND	
4418	UNITED PLANNERS FINANCIAL SERVICES	
4422	DMG SECURITIES INC	
4428	ODEON CAPITAL GROUP LLC	
4431	PRIVATE PORTFOLIO INC	
4432	PHD CAPITAL	
4438	WORLD CHOICE SECURITIES INC	
5531	JB CAPITAL MANAGEMENT, LLC	
4371	TREVOR COLE REID & MONROE INC	
4381	FIRST ALLIED SECURITIES INC	
4382	AMERICAN HERITAGE SECURITIES	
4383	ACOSTA FINANCIAL SERVICES LLC	
4380	THE GARBACZ GROUP INC	
4384	APG ASSET MANAGEMENT US INC	
4388	DIVERSFIELD SECURITIES	
5533	SOUND EQUITY MANAGEMENT GROUP, LLC	

# LIST OF NOMINEES

ID	NAME1	NAME2
4379	PGIM INC	
4390	VAUGHAN & COMPANY SECURITIES	
4378	PRINCIPAL SECURITIES INC	
4374	AYRE INVESTMENTS INC	
4347	PRAGER SEALEY & CO INC	
4372	KOVACK SECURITIES INC	
4348	CF GLOBAL TRADING LLC	
4368	BRUDERMAN BROTHERS LLC	
4366	BLEY INVESTMENT GROUP	
4365	MFR SECURITIES	
4581	EQUINOX SECURITIES INC	
4364	STERLING INVESTMENT SERVICES	
4499	MCCLURG CAPITAL CORP	
4362	SYMETRA INVESTMENTS SERVICES	
4359	CROSS POINT CAPITAL LLC	
4353	BTIG LLC	
4352	BLAYLOCK ROBERT VAN LLC	
4351	INSIGHT SECURITIES INC	
4421	BORTHWICK ASSOCIATES INC	
4391	SAMCO CAPITAL MARKETS INC	
4464	PFIC SECURITIES CORP	
5529	TFA ASSOCIATES, LLC	
4472	HARVESTONS SECURITIES INC	
4468	NAVY FEDERAL CREDIT UNION	
4338	TRIPP & CO INC	
4467	FNB BROKERAGE SERVICES INC	
4453	STERN BROTHERS & CO	
4341	MORGAN WILSHIRE SECURITIES INC	
5527	RICHMOND QUANTITATIVE ADVISORS, LLC	
4441	FOSTER SECURITIES	
5530	FARMERS NEW WORLD LIFE INSURANCE COMPANY	
4462	AFM INVESTMENTS INC	
4461	BIRKELBACH INVESTMENT SERVICES INC	
4460	MUTUAL SECURITIES INC	
4458	MEANS INVESTMENT CO INC	
4339	SC PARKER & CO INC	
4482	RUANE, CUNNIFF & GOLDFARD LLC	
4498	CASCADE FINANCIAL MANAGEMENT	
4334	HARBOUR INVESTMENTS INC	
4497	USI SECURITIES INC	
4495	G.W. & WADE ASSET MANAGEMENT	
4489	THOMPSON DAVIS & CO	
4488	BROWN ADVISORY SECURITIES	
5528	SPIRE SECURITIES, LLC	
4483	COMPASS BROKERAGE INC	
4474	ALLEN C. EWING & COMPANY	
4336	DELTA EQUITY SERVICES CORP	
4480	SHIELDS & CO	
4478	EURO PACIFIC CAPITAL INC	
4477	BELLAMAH, NEUHAUSER & BARRETT	
4337	BRITTINGHAM INC	
4342	SUMMIT BROKERAGE SERVICES INC	
4487	WATERMARK SECURITIES	
4465	ALLEGHENY INVESTMENTS LTD	
4448	ADCAP SECURITIES INC	
4447	PORTFOLIO RESOURCES GROUP INC	
4445	MIDDLEGATE SECURITIES LTD	
4443	SF INVESTMENTS INC	
4452	BELLE HAVEN INVESTMENTS LP	
4921	CREDIT ANDORRA S.A.	
4922	MERIDEN IFM SGOIC SAU	
4897	BlackRock Investment Management (Australia), LTD	
4889	KBC Asset Management N.V.	
4778	EUROCLEAR	
4925	HORSESHOE FUND SERVICES LTD	
4924	EQUINOXE ALTERNATIVE INVESTMENT SERVICES HOLDINGS LIMITED/GARGOYLE ENHANCED ALPHA FUND L.P.	
4923	ACADIA LIFE INTERNATIONAL LIMITED	

# LIST OF NOMINEES

ID	NAME1	NAME2
3730	BERMUDA INVESTMENTS ADVISORY SERVICES	
4886	Itaú Unibanco Asset Management	
4926	BTG PACTUAL ASSET MANAGEMENT S.A. DISTRIBUIDORA DE TITULOS E VALORES MOBILIARIOS	
4888	BNP Paribas Asset Management Brasil, LTDA	
4910	Newfoundland Capital Management	
615	NATIONAL BANK FINANCIAL INC	
619	ODLUM BROWN LTD	
614	NATIONAL BANK DIRECT BROKERAGE INC	
4873	Horizons ETFs Management (Canada) Inc.	
613	MORGAN STANLEY CANADA LTD	
4767	CONNOR CLARK & LUNN INVESTMENT MANAGEMENT	
611	MERRILL LYNCH CANADA INC	
4904	CPP Investment Board	
603	LIGHTYEAR CAPITAL INC	
68	BMO NESBITT BURNS INC	
4685	CI INVESTMENT SERVICES INC	
606	MACDOUGALL MACDOUGALL & MACTIER INC	RAYMOND JAMES LTD
574	FIMAT CANADA INC	
557	ASSANTE CAPITAL MANAGEMENT LTD	
563	BROCKHOUSE & COOPER INC	
552	CIBC WORLD MARKETS INC	
567	CLARUS SECURITIES INC	
570	CREDIT SUISSE SECURITIES INC	
622	PARADIGM CAPITAL INC	
4737	FOYSTON GORDON & PAYNE	
3251	Fidelity Investments	
1703	UBS SECURITIES CANADA INC	
4720	FIERA CAPITAL CORPORATION INC	
635	STATE STREET GLOBAL MARKETS CANADA INC	
621	PACIFIC INTERNATIONAL SECURITIES INC	
632	RAYMOND JAMES LTD	
631	QUESTRADE INC	
584	HAMPTON SECURITIES LTD	
4683	LEEDE JONES GABLE INC	
585	HSBC SECURITIES INC	
4933	W.D. LATIMER CO LTD./CDS	
591	INTERACTIVE BROKERS CANADA INC	
677	CANADIAN DEPOSITORY SECURITIES	
601	BANQUE LAURENTIENNE	
573	EDWARD JONES	
2336	AGF FUNDS INC	
2184	PI FINANCIAL	
1528	THE BANK OF NOVA SCOTIA-TAXABLE ACCT	
2319	I.G. INVESTMENT MANAGEMENT, LTD	
2322	IA CLARINGTON FUNDS	
2327	QUADRUS INVESTMENT SERVICES LTD	
415	NBCN CLEARING INC/CDS	
2333	BEUTEL GOODMAN MANAGED FUNDS INC.	
2274	NBCN INC	
2339	CANADA PENSION PLAN INVESTMENT BOARD	
4833	Saskatchewan Public Employees Pension Plan	Beutel Goodman & Company, LTD
4831	Mackenzie Financial Corporation	
4932	RF SECURITIES CLEARING L.P./CDS	
4829	RBC Global Asset Management, Inc.	
4682	HAYWOOD SECURITIES INC	
2328	UNITED CORPORATIONS LIMITED	
1186	SPROTT SECURITIES LIMITED/CDS	
3470	NBCN INC.	
1263	RBC INVESTOR & TREASURY SERVICES	
3425	BMO NESBITT BURNS INC.	
3420	THE BANK OF NOVA SCOTIA WMF	
1213	TD WATERHOUSE CANADA	
97	CANACCORD CAPITAL CORPORATION/CSD	
2280	STANDARD LIFE TRUST CO	
3526	DESJARDINS	
2276	OLYMPIA TRUST CO	
2257	BANK OF TOKYO-MITSUBISHI UFJ	

# LIST OF NOMINEES

ID	NAME1	NAME2
2258	BANQUE LAURENTIENNE	
2262	CASGRAIN & COMPAGNIE LIMITEE	
4571	REED SECURITIES	
2267	CORMARK SECURITIES INC	
3731	RDSC LLC	
4546	PC FINANCIAL NETWORK	
3225	Scotia Capital Inc./CDS	
2509	TD ASSET MANAGEMENT, INC	
4931	QUESTRADE INC./CDS	
4929	CI INVESTMENT SERVICES INC./CDS	
4927	APEX FUND SERVICES (CANADA) LTD.	
879	MANULIFE SERIES FUND INC	
4934	WEALTHSIMPLE INVESTMENTS INC./CDS	
3223	Dundee Securities Corporation	
4930	HARMONIC FUND SERVICES CANADA INC	
3227	CDS Clearing and Depository Services Inc.	BMO NESBITT BURNS
3400	CREDENTIAL SECURITIES	
3414	PI FINANCIAL CORP.	
4658	FCNB INVESTMENTS	
4680	LAURENTIAN BANK SECURITIES INC	
4681	RF SECURITIES CLEARING LP	
3220	Pictet Canada L.P./CDS	
966	FIDUCIE DESJARDINES INC	
4928	CDS CLEARING AND DEPOSITORY SERVICES INC.	
968	LONDON LIFE INSURANCE CO	
4935	LAURENTIAN BANK SECURITIES INC./CDS	
3303	DWM SECURITIES INC/CDS	
5201	FIRSTCARIBBEAN INTERNATIONAL BANK AND TRUST COMPANY (CAYMAN) LIMITED	
4937	WINDWARD MANAGEMENT PARTNERS MASTER FUND LTD.	
4936	LIONSCREST FUNDS SPC	
3651	DESPOSITO CENTRAL DE VALORES S.A. DEPOSITO DE VALORES	
4885	Zurich Chile Asset Management Administradora General de Fondos S.A.	
3733	LARRAIN VIAL S.A. CORREDORA DB	
4700	SAFE INVESTMENT COMPANY LTD (HK)	
1969	IKOS CIF LTD	
4901	Nordea Investment Management AB (Denmark)	
2031	BlackRock Group Limited	
4727	OXFORD ASSET MANAGEMENT LLP	
2103	F&C Asset Management PLC	
2150	CREDIT SUISSE SECURITIES (EUROPE) LTD	
984	TILNEY INVESTMENT MANAGEMENT	
2090	HSBC HOLDINGS PLC	
3599	JPMORGAN CHASE BANK	
4940	EDMOND DE ROTHSCHILD ASSET MANAGEMENT/EDMOND DE ROTHSCHILD FUND	
4941	GAM FUND MANAGEMENT LTD	
4939	EDMOND DE ROTHSCHILD ASSET MANAGEMENT	
4938	CARMIGNAC GESTION SA	
2365	BNP PARIBAS SECURITIES CORP	
3646	CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK	
4942	NOMURA SECURITIES INTERNATIONAL, INC.	
4893	Warburg Invest Kapitalanlagegesellschaft mbH	
3662	HONG KONG SECURITIES CLEARING COMPANY LIMITED	
4943	ABBAY CAPITAL LIMITED	
4945	GUGGENHEIM FUNDS DISTRIBUTORS, LLC/GUGGENHEIM GLOBAL INVESTMENTS PLC	
4946	HEPTAGON FUND PLC	
4919	Zurich Life Assurance PLC	
4678	BANK OF MONTREAL, IRELAND	
4944	FIDELITY DISTRIBUTORS COMPANY LLC/FIDELITY INSTITUTIONAL LIQUIDITY FUND	
4947	THORNBURG SECURITIES CORPORATION/THORNBURG GLOBAL INVESTMENT PLC	
3500	FIRST INTERNATIONAL BANK OF ISRAEL	
3508	MIGDAL INSURANCE COMPANY	
3620	UNION BANK OF ISRAEL LTD	
4691	THE TEL-AVIV STOCK EXCHANGE CLEARING HOUSE LTD	
3609	BANK HAPOALIM	
3682	MONTE TITOLI S.P.A	
3734	INTERGRA BROKERAGE	
4890	Anima SGR S.p.A.	

# LIST OF NOMINEES

ID	NAME1	NAME2
4894	Daiwa Asset Management Company, LTD	
4898	Nikko Asset Management Company, LTD	
1042	DAI-ICHI MUTUAL LIFE INSURANCE CO	
4948	BREVAN HOWARD CAPITAL MANAGEMENT LP	
4950	GAM (LUXEMBOURG) S.A.	
4951	NORDEA INVESTMENT FUNDS S.A.	
4860	CA Indosuez Wealth (Asset Management) S.A.	
3521	CLEARSTREAM BANK AG	
3221	DeAM Investor Services, Inc./DWS Investment S.A.	
4949	AMUNDI LUXEMBOURG S.A.	
4907	Sam Asset Management, S.A. de C.V., Sociedad Operadora de Fondos de Inversión	
4899	BBVA Bancomer Gestión, S.A. de C.V.,	
3699	S.D. INDEVAL INSTITUCION PARA EL DEPOSITO DE VALORES S.A. DE C.V.	
2227	SOCIETE GENERALE PARIS OFICINA DE PRESENTACTIO EN	
3735	PREMIER SECURITIES CORP	
4908	Ostrica B.V.	
4884	ING Bank N.V. (Broker)	
2098	Norges Bank Investment Management	
3518	GMP SECURITIES	
4670	MULTIBANK	
3391	ISS - INSTITUTIONAL SHAREHOLDER SERVICES	
3736	MERIDIAN SECURITIES	
3512	DEJARDINS SECURITIES	
4703	SAUDI ARABIAN MONETARY AGENCY (SA)	
4918	BlackRock (Singapore), LTD	
3710	THE CENTRAL DEPOSITORY PTE LIMITED	
4756	DYNAMIC TECH LAB PTE LTD	
4803	Dynamic Technology Lab Pte Ltd	
4697	GIC ASSET MANAGEMENT PTE LTD (SG)	
4891	Generali Investments, druzba za upravljanje, d.o.o.	
4702	SANLAM LIFE INSURANCE LTD (ZA)	
4694	GOVERNMENT EMPLOYEES PENSION FUND	
4695	IDC (ZA)	
4696	ALLAN GRAY BALANCED FUND (ZA)	
4699	OLD MUTUAL LIFE ASSURANCE CO LTD (ZA)	
4701	LIBERTY LIFE ASSOCIATION OF AFRICA LTD (ZA)	
4710	MOTOR INDUSTRY PENSION FUND (ZA)	
4706	PIC COMPENSATION COMMISSIONERS PENSION (ZA)	
4708	MIBFA EIPF PENSION POOL EQUITIES ACCOUNT (ZA)	
4914	Shinhan Asset Management Company, LTD	
4917	Mirae Asset Global Investments Company, LTD	
4906	Renta 4 Gestora, S.G.I.I.C., S.A.	
3738	ALL FUNDS INC	
2545	CONFEDERACION ESPAÑOLA DE CAJAS DE AHORROS	
4729	NORDEA INVESTMENT MANAGEMENT	
4896	Naventi Fonder AB	
3739	CONTINENTAL CAPITAL SECURITIES	
4952	VAN ECK SECURITIES CORPORATION/VAN ECK SWITZERLAND AG	
4705	BANQUE NATIONALE SUISSE (CH)	
4912	Bluecrest Capital Management (Switzerland)	
3510	UBS GROUP AG	
3627	FINVESTOR BV	
3741	ABN AMRO FINANCIAL SERVICES	
543	INTERNATIONAL LTD (BERMUDA)	
3745	GWM GROUP INC	
3647	CREST INTERNATIONAL NOMINEES LIMITED	
3743	BANK OF AMERICA LONDON	
2357	AVIVA PLC	
2231	NEWEDGE GROUP UK BRANCH	
4953	BAILLIE GIFFORD FUNDS SERVICES LLC	
3684	MUFG SECURITIES EMEA PLC	
4844	Santander Asset Management (U.K.), LTD	
4903	Legal & General Investment Management, LTD	
4902	Barclays Bank PLC (Wealth and Investment Management)	
4704	INVESTEC BALANCED FUND (UK)	
4709	SFSS NOMINEES PTY LIMITED	
3496	HARGREAVES LANSDOWN ASSET MANAGEMENT LIMITED	

# LIST OF NOMINEES

ID	NAME1	NAME2
4735	ASHMORE INVESTMENT MANAGEMENT LTD	
4753	MARSHALL WACE LLP	
4745	THREADNEEDLE ASSET MANAGEMENT LTD	
4955	GLOBAL SME GROWTH FUND LP	
4650	AM&M INVESTMENT BROKERS	
4810	Franklin FTSE Brazil UCITS ETF	Franklin Templeton Investment Management, LTD (U.K.)
4824	Quadrature Capital, LTD	
4832	State Street Global Advisors, LTD	
4954	DODGE & COX WORLDWIDE INVESTMENTS LTD.	
3522	INSTITUTIONAL PROTECTION	
4679	BANK OF MONTREAL, LONDON	

# **EXHIBIT D**



BROKER	ACTION	RESPONSE RECEIVED	SENT
ABBEY CAPITAL	NO HOLDERS	5/11/2023	NOT APPLICABLE
ANB BANK	BROADRIDGE WILL FILE FOR	5/10/2023	NOT APPLICABLE
APEX CLEARING	SENT 43 NAMES/ADDRESSES	5/12/2023	5/17/2023
ARIEL INVESTMENTS	NO HOLDERS	5/18/2023	NOT APPLICABLE
AXOS CLEARING	SENT 362 NAMES/ADDRESSES	5/4/2023	5/17/2023
BANCWEST INVESTMENT SERVICES	SENT 109 NAMES/ADDRESSES	5/11/2023	5/17/2023
BROADRIDGE: MORGAN STANLEY WM, WEDBUSH SECURITIES OTS, WEDBUSH SECURITIES 103, WELLS FARGO CLEARING, CHARLES SCHWAB, TD AMERITRADE, U.S. BANCORP, STIFEL, D.A.DAVIDSON, EDWARD JONES, ETRADE, R.W.BAIRD, RAYMOND JAMES, NATIONAL FINANCIAL SERVICES, LPL FINANCIAL, VANGUARD, AMERIPRISE, SCOTIABANK, BANQUE SCOTIA, VISION FINANCIAL, TRADESTATION, MURIEL SIEBERT & CO, BNP PARIBAS, & MERRILL LYNCH	REQUEST 1,183,555 POSTCARDS	5/17/2023	5/18/2023
CETERA INVESTMENT SERVICES LLC	SENT 138 NAMES/ADDRESSES	5/9/2023	5/23/2023
CITI 274	SENT 230 NAMES/ADDRESSES	5/22/2023	5/23/2023
CITI 418	SENT 1 NAME/ADDRESS	5/22/2023	5/23/2023
CREW & ASSOCIATES	SENT 1 NAME/ADDRESS	5/16/2023	5/23/2023
DAIWA CAPITAL MARKETS AMERICA INC	SENT 5 NAMES/ADDRESSES	5/5/2023	5/17/2023
GARDNER LEWIS ASSET MANAGEMENT	NO HOLDERS	5/9/2023	NOT APPLICABLE
GUARDIAN	SENT 30 NAMES/ADDRESSES	5/22/2023	5/23/2023
HILLTOP SECURITIES INC	SENT 41 NAMES/ADDRESSES	5/4/2023	5/17/2023
HSBC BANK USA	SENT 95 NAMES/ADDRESSES	5/3/2023	5/17/2023
ITAU BBA USA SECURITIES INC	PERSHING WILL SUBMIT FOR	5/12/2023	NOT APPLICABLE
JEFFERIES LLC	SENT 352 NAMES/ADDRESSES	5/4/2023	5/17/2023
KESSLER TOPAZ MELTZER & CHECK LLP	NO HOLDERS	5/11/2023	NOT APPLICABLE
MACKIE RESEARCH CAPITAL	SENT 12 NAMES/ADDRESSES	5/3/2023	5/17/2023
MANULIFE SECURITIES	SENT 5 THEMSELVES	5/10/2023	NOT APPLICABLE
MD MANAGEMENT LIMITED	SENT 4 THEMSELVES	5/5/2023	NOT APPLICABLE
MUNICIPAL CAPITAL MARKETS GROUP INC	NO HOLDERS	5/11/2023	NOT APPLICABLE
OPPENHEIMER & CO INC	SENT 140 NAMES/ADDRESSES	5/18/2023	5/23/2023
ORION	SENT 91 NAMES/ADDRESSES	5/12/2023	5/17/2023
PERSHING LLC	SENT 8800 NAMES/ADDRESSES	5/4/2023	5/17/2023
PIPER JAFFRAY	SENT 49 NAMES/ADDRESSES	5/10/2023	5/17/2023
ROBINHOOD	SENT 1,560,828 NAMES/ADDRESSES	5/22/2023	5/26/2023
ROYAL BANK OF CANADA	ONLY SEND IF THERE IS CFD	5/10/2023	NOT APPLICABLE
SHAPIRO CAPITAL MANAGEMENT	NO HOLDERS	5/11/2023	NOT APPLICABLE
SOUTHEASTERN ASSET MANAGEMENT	NO HOLDERS	5/30/2023	NOT APPLICABLE
STONEX FINANCIAL INC	SENT 31 NAMES/ADDRESSES	5/3/2023	5/17/2023
SUMMIT GLOBAL INVESTMENTS	NO HOLDERS	5/11/2023	NOT APPLICABLE
THE INLAND REAL ESTATE GROUP LLC	NO HOLDERS	5/30/2023	NOT APPLICABLE
TRUIST WEALTH	SENT 32 NAMES/ADDRESSES	5/9/2023	5/17/2023
UBS	SENT 923 NAMES/ADDRESSES	5/11/2023	5/17/2023
W.D. LATIMER CO LIMITED	SENT 1 NAME/ADDRESS	5/9/2023	5/17/2023
ZURICH NORTH AMERICA	NO HOLDERS	5/11/2023	NOT APPLICABLE

# **EXHIBIT E**

BROKER	NOMINEE LETTERS MAILED/EMAILED	CONTACT ATTEMPTS AFTER NOMINEE LETTER	CURRENT STATUS
APEX CLEARING	5/3/2023	EMAILED ON 5/10/2023 AND 5/12/2023	RESPONDED
AXOS CLEARING	5/3/2023	NOT APPLICABLE	RESPONDED
BROADRIDGE (SUBMITS ON BEHALF OF VARIOUS CLIENTS/LARGE BROKERS)	5/3/2023	EMAILED ON 5/10/2023, 5/12/2023, 5/15/2023, AND 5/25/2023	WAITING FOR A FEW MORE CLIENTS TO SUBMIT FILES
CETERA INVESTMENT SERVICES	5/3/2023	NOT APPLICABLE	RESPONDED
CITI 274 & 418	5/3/2023	EMAILED ON 5/10/2023, 5/12/2023, AND 5/15/2023	RESPONDED
DAIWA CAPITAL MARKETS AMERICA	5/3/2023	NOT APPLICABLE	RESPONDED
DESJARDINS	5/3/2023	EMAILED ON 5/10/2023, 5/12/2023, AND 5/15/2023	WAITING FOR RESPONSE - TYPICALLY SENDS THEMSELVES
FOLIO INVESTMENTS	5/3/2023	EMAILED ON 5/10/2023, 5/12/2023, 5/15/2023, 5/16/2023, 5/24/2023, AND 5/25/2023; CALLED ON 5/25/2023; CALLED ON 6/1/2023 (WILL CALL BACK TO PROVIDE UPDATE)	WAITING FOR RESPONSE - WORKING ON REQUEST
GOLDMAN SACHS	5/3/2023	EMAILED ON 5/10/2023, 5/12/2023, AND 5/15/2023	RESPONDED
HILLTOP SECURITIES	5/3/2023	NOT APPLICABLE	RESPONDED
HSBC USA BANK	5/3/2023	NOT APPLICABLE	RESPONDED
JEFFERIES LLC	5/3/2023	NOT APPLICABLE	RESPONDED
KTMC LLP	5/3/2023	EMAILED ON 5/10/2023	RESPONDED
MACKIE RESEARCH CAPITAL	5/3/2023	NOT APPLICABLE	RESPONDED
MANULIFE SECURITIES	5/3/2023	EMAILED ON 5/10/2023	RESPONDED
MD MANAGEMENT	5/3/2023	NOT APPLICABLE	RESPONDED
MEDIANT	5/3/2023	CALLED ON 5/16/2023; CALLED ON 5/17/2023 AND EMAILED ON 5/17/2023; CALLED AND EMAILED ON 5/18/2023; CALLED ON 5/22/2023; EMAILED 3 DIFFERENT EMAILS ON 5/23/2023	RESPONDED ON 5/23/2023 - THEY HAVE NOTHING TO PROVIDE US AND HAVE FOLLOWED UP WITH THEIR BROKER CLIENTS REGARDING THE CASE.
OPPENHEIMER & CO INC	5/3/2023	EMAILED ON 5/10/2023, 5/12/2023, AND 5/15/2023	WAITING FOR RESPONSE - USUALLY SMALL
PERSHING LLC	5/3/2023	NOT APPLICABLE	RESPONDED
PIPER JAFFRAY	5/3/2023	NOT APPLICABLE	RESPONDED
ROBINHOOD	5/3/2023 AND 5/16/2023	EMAILED ON 5/16/2023; CALLED ON 5/17/2023; EMAILED ON 5/17/2023 AND 5/18/2023; RESPONDED VIA EMAIL ON 5/18/2023	RESPONDED
ROYAL BANK OF CANADA	5/3/2023	EMAILED ON 5/10/2023	RESPONDED
STEPHENS INC	5/3/2023	EMAILED ON 5/10/2023, 5/12/2023, 5/19/2023, AND 5/25/2023	WAITING FOR RESPONSE - USUALLY SMALL
STONEX FINANCIAL INC	5/3/2023	NOT APPLICABLE	RESPONDED
TD WATERHOUSE	5/3/2023	EMAILED ON 5/10/2023 AND 5/12/2023	RESPONDED
TRUIST WEALTH	5/3/2023	NOT APPLICABLE	RESPONDED
UBS	5/3/2023	EMAILED ON 5/10/2023	RESPONDED