

A Phase 1a/b Dose Escalation Study of the MYC Repressor APTO-253 in Patients with Relapsed or Refractory AML or Higher-risk MDS

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ASH 2020

ASH Virtual Abstract Presentation #1042

Dec 5th, 2020

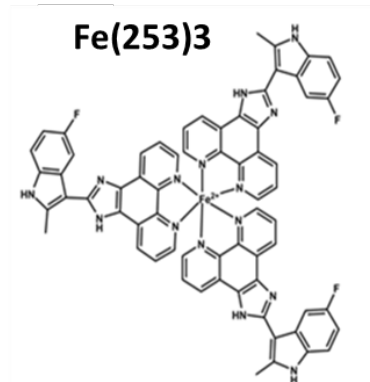
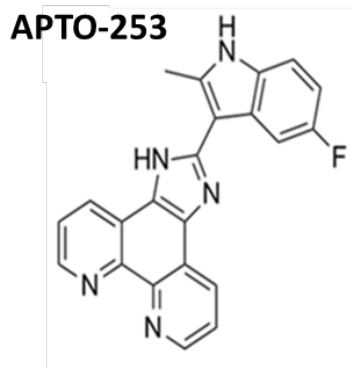
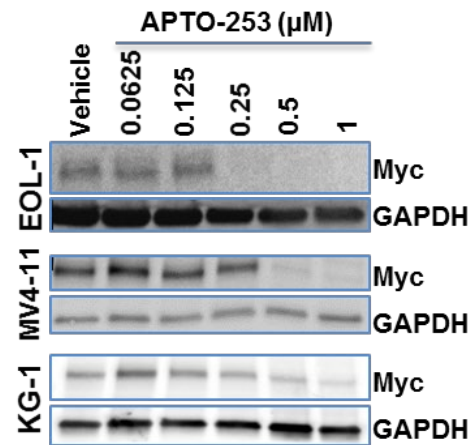
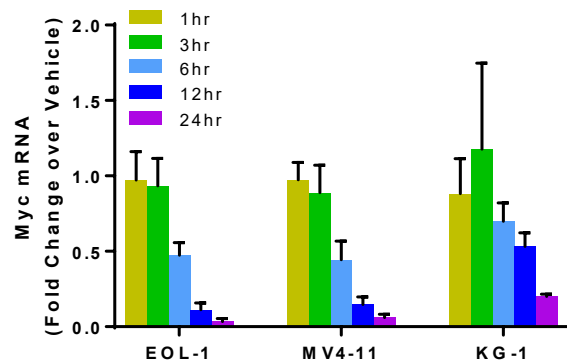
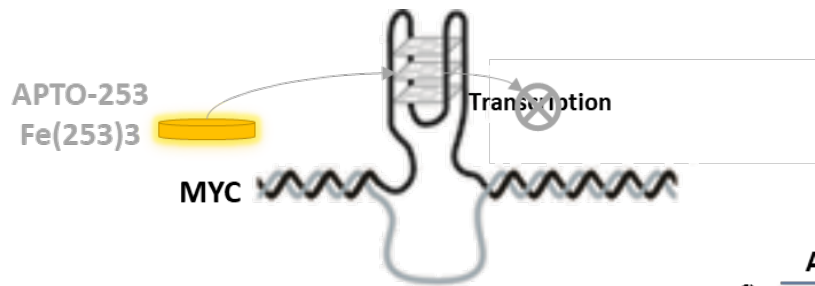


Presenter Disclosures:

- Aptose Biosciences – Chief Medical Officer and Senior Vice-President
- Bristol Myers Squibb – Consultant, DSMB Chair, Research Funding
- Gilead – Consultant, Data Monitoring Committee
- Takeda – Consultant, Research Funding
- Daichi-Sankyo – Consultant
- AbbVie – Consultant
- Astex – Consultant, Data Review Committee



APTO-253, a Unique Small Molecule Repressor of MYC in Clinical Development



- The MYC oncogene is dysregulated in > 50% all human cancers and generally considered “undruggable”
- APTO-253 targets a conserved G4 structure in the promoter of the MYC oncogene*
 - Reduces MYC mRNA and protein levels, causes induction of p21
 - Triggers cell cycle arrest at G0-G1 phase and induces apoptosis
 - Broad killing of primary mononuclear cells isolated from bone marrow of patients with AML, MDS, or MPN**
- APTO-253 binds Fe²⁺ intracellularly and forms iron adduct Fe(253)₃ – an active drug species with similar *in vitro* anti-tumor potency as its monomeric form***
- APTO-253 was granted orphan drug designation for the treatment of AML by the US FDA

*Local et al., 2018; **Kurtz, et al., 2017; Tsai, et al., 2018



APTO-253 Phase 1a/b Dose Escalating Clinical Trial Ongoing and Now in Dose Level 5

Objectives

Ongoing Phase 1 a/b, open-label, single arm, multicenter, 3 + 3 dose-escalation clinical study of APTO-253 in patients with relapsed or refractory AML or high-risk MDS (NCT02267863).

Primary objectives:

- Assess safety and tolerability of APTO-253
- Determine MTD and DLT of APTO-253 given on days 1, 8, 15 and 22 of each 28-day cycle
- Determine recommended Phase 2 dose (RP2D)

Key secondary objectives:

- Assess PK profile and PD activity
- Obtain preliminary evidence of antitumor activity

Dose Escalation Phase

- Patients administered **IV infusion**
- **Weekly on days 1, 8, 15, and 22** on a **28-day cycle**
- Planned 9 dose levels
- Planned expansion cohorts

PATIENT POPULATION

Relapsed or refractory AML or high-risk MDS (with > 10% bone marrow blasts) who have **been failed by or be intolerant** to all standard therapies

Dose Level	Dose	Status	Patients
1	20 mg/m ²	✓ Completed	AML
2	40 mg/m ²	✓ Completed	MDS
3	66 mg/m ²	✓ Completed	AML
4	100 mg/m ²	✓ Completed	AML & MDS
5	150 mg/m ²	Ongoing	
6	210 mg/m ²	Planned	
7	280 mg/m ²	Planned	
8	350 mg/m ²	Planned	
9	403 mg/m ²	Planned	



Patient Demographics

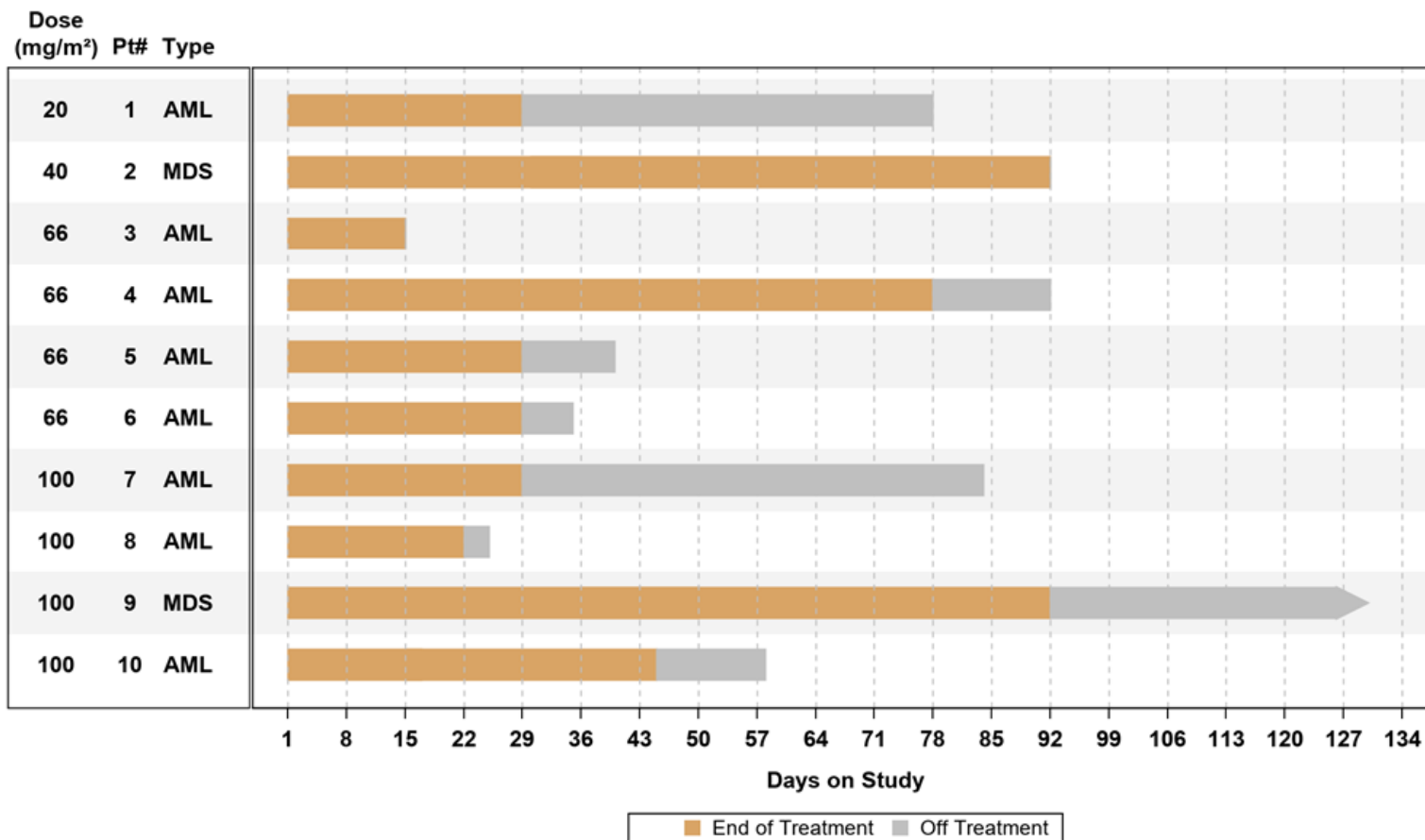
Cohorts 1 to 4 (N=10)*

Median Age (Range), Years	66.0 (41, 85)
Sex, N (%)	
Male	5 (50.0%)
Female	5 (50.0%)
Ethnicity, N (%)	
Not Hispanic or Latino	9 (90.0%)
Not Reported	1 (10.0%)
Race, N (%)	
White	8 (80.0%)
Black or African American	1 (10.0%)
Not Reported	1 (10.0%)
ECOG Score, N (%)	
0 -Normal activity	1 (10.0%)
1 -Symptoms, but ambulatory	4 (40.0%)
2 -In bed <50% of the time	5 (50.0%)
Disease Type / Subtype, N (%)	
MDS	2 (20.0%)
MDS Type, N (%)**	
Primary	2 (100%)
AML	8 (80.0%)
AML Type, N (%)**	
Relapsed	5 (62.5%)
Refractory	1 (12.5%)
Associated with treatment from prior malignancy	1 (12.5%)
Evolved from MDS	1 (12.5%)
RBC Transfusion Dependent, N(%)	
Yes	10 (100%)
Platelet Transfusion Dependent, N(%)	
Yes	9 (90.0%)
Median Number (Range) of Prior Therapy	2.5 (1, 9)
Chemotherapy, N(%)	6 (60.0%)
Stem Cells	1 (10.0%)
Targeted and Immunotherapy, N (%)	
Hypomethylating Agent	9 (90.0%)
BCL-2 Inhibitor	6 (60.0%)
Kinase Inhibitor***	2 (20.0%)
Immune Cell Therapy	1 (10.0%)
Anti-CD123 Antibody Drug Conjugate	1 (10.0%)
Anti-CD123 Targeted Toxin	1 (10.0%)
Anti-CD33 Antibody Drug Conjugate	1 (10.0%)
Anti-PD-1 Antibody	1 (10.0%)

* Data-cut date: Oct. 5, 2020; ** % of MDS or AML patients

*** One patient was on FLT3i Midostaurin; another patient on JAKi Ruxolitinib

APTO-253 has been Administrated to Patients at 20, 40, 66, and 100 mg/m² Over Multiple Cycles



APTO-253 Safety and Tolerability Profile

APTO-253 Well Tolerated

- Only 1 TEAE of grade 3 or greater (fatigue, considered possibly drug-related) has occurred as of Oct 5, 2020
- No DLT or APTO-253 related SAEs in patients treated at dose levels 1 to 4

Events	Cohorts 1 to 4 (N=10)
Any Treatment Emergent Adverse Events (TEAEs)	10 (100%)
Any TEAEs ≥ Grade 3	8 (80.0%)
Any APTO-253 Related TEAEs ≥ Grade 3	1 (10.0%)
TEAE Leading to Treatment Discontinuation	0 (0.0%)
TEAE Leading to Death	5 (50.0%)*
Any Serious Adverse Events	9 (90.0%)*

*Unrelated to APTO-253

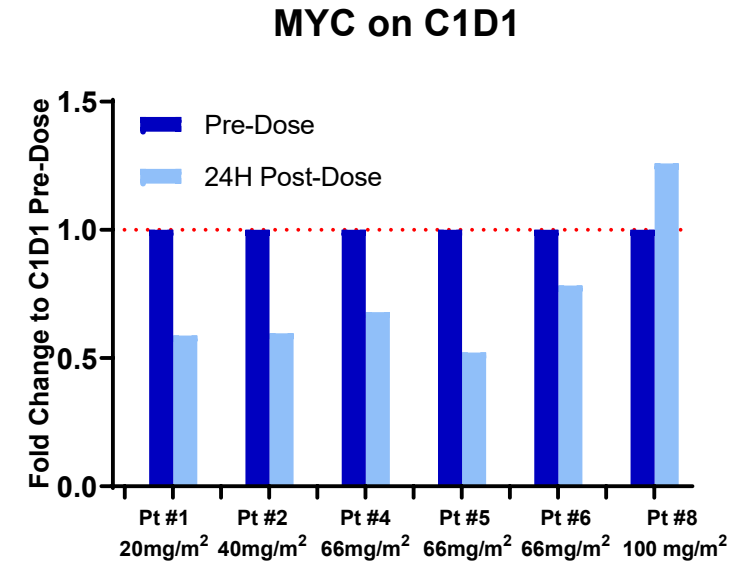
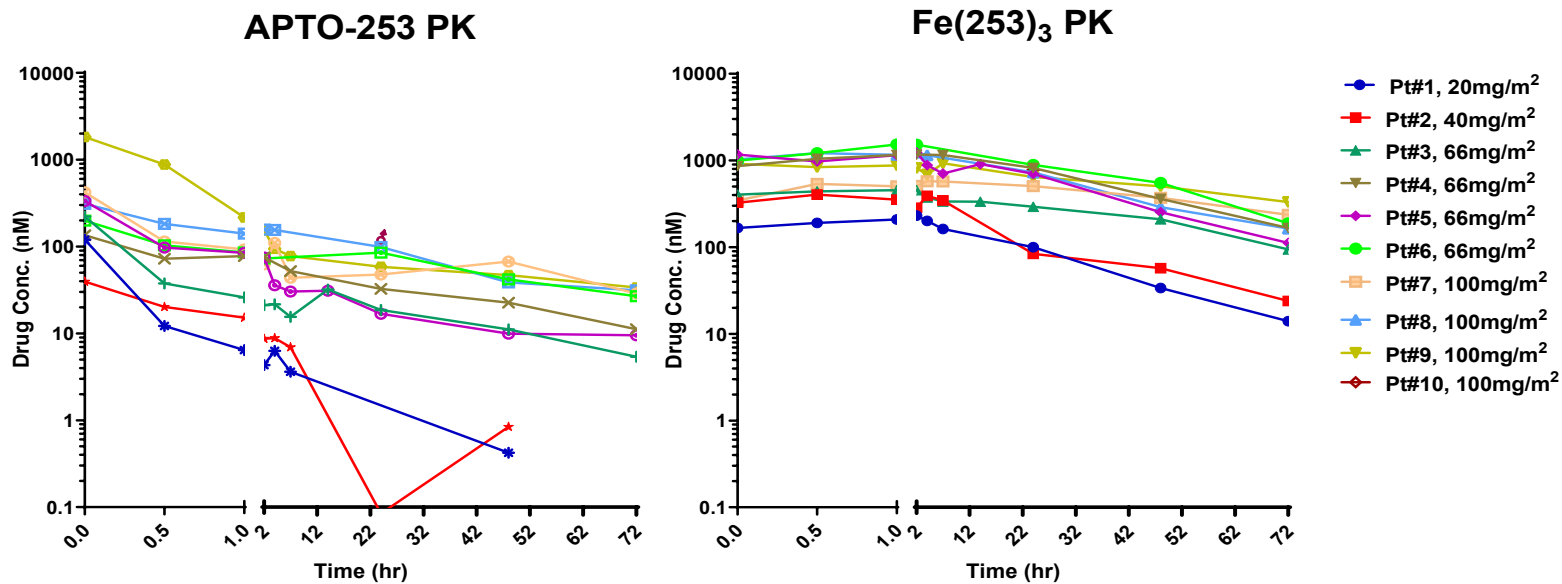
APTO-253 Related Treatment Emergent Adverse Events

Preferred Term	Cohorts 1 to 4 (N=10)	
	Any Grade, N (%)	Grade 3, N (%)*
Fatigue	2 (20.0%)	1 (10.0%)
Hyperuricaemia	2 (20.0%)	0
Alanine aminotransferase increased	1 (10.0%)	0
Aspartate aminotransferase increased	1 (10.0%)	0
Blood alkaline phosphatase increased	1 (10.0%)	0
Blood creatinine increased	1 (10.0%)	0
Decreased appetite	1 (10.0%)	0
Dizziness	1 (10.0%)	0
Haematoma	1 (10.0%)	0
Hypoalbuminaemia	1 (10.0%)	0
Hypocalcaemia	1 (10.0%)	0
Hypokalaemia	1 (10.0%)	0
Muscle spasms	1 (10.0%)	0
Pyrexia	1 (10.0%)	0
Thrombophlebitis	1 (10.0%)	0
Upper respiratory tract infection	1 (10.0%)	0

* No APTO-253 Related TEAEs ≥ Grade 4 as of Oct. 5, 2020



APTO-253 and Fe(253)₃ Pharmacokinetics and MYC Target Engagement



	APTO-253						Fe(253) ₃					
	AUC _{0-72h} (nM*h)		C _{max} (nM)		T _{max} (h)		AUC _{0-72h} (nM*h)		C _{max} (nM)		T _{max} (h)	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Cohort 1 (n=1): 20mg/m ²	108.7		60.0		0.0		5777.0		231.2		2.0	
Cohort 2 (n=1): 40mg/m ²	151.8		20.2		0.5		8745.0		403.9		0.5	
Cohort 3 (n=4): 66mg/m ²	2270.5	1410.2	114.8	37.9	0.4	0.5	38677.0	15901.9	1096.6	455.2	1.5	0.6
Cohort 4 (n=4): 100mg/m ²	4794.3	871.0	435.6	414.7	0.2	0.3	38196.0	6494.6	913.2	330.8	4.3	2.5

- qRT-PCR using mRNA isolated from the whole blood cells
- Data cut on Oct 5, 2020
- Samples of 6 out of 10 patients were tested since mRNA extract of the other 4 patients' samples failed to pass assay QC.

- Plasma levels of APTO-253 and the Fe(253)₃ iron adduct were dose proportional
- Fe(253)₃ detected in patient plasma at significantly higher concentrations than the APTO-253 monomer
- MYC reduction in 5 out of 6 patients 24h after dosing on C1D1 → Proof-of-concept: APTO-253 is a MYC repressor



APTO-253 Clinical Summary and Acknowledgements

- **Phase 1a/b Ongoing in R/R AML and higher-risk MDS**
 - Targeting MYC to treat patients failing standard therapies
 - Observed safety: well-tolerated, no DLTs or APTO-253 related SAEs occurred as of data-cut on October 5, 2020
 - APTO-253 monomer rapidly transformed to and co-existed with the $\text{Fe}(253)_3$ complex in peripheral blood

Acknowledgements:

- **We thank our study principal investigators, clinical site staff, and most importantly, our patients and their families for their participation in this clinical trial.**
- **To learn more, please go to: <http://apto.se.com/news-media/presentations>**

