

## Securities America Adds 30 Advisors from Eagle One

## Firm Completes Second Small BD Transition in a Month

LA VISTA, Neb.--(<u>BUSINESS WIRE</u>)--Securities America, a subsidiary of Ladenburg Thalmann Financial Services Inc. (NYSE MKT: LTS), has announced that 30 advisors from Eagle One Investments in Washington, Iowa, have affiliated with the independent broker-dealer. The group represents approximately \$4.5 million in annual revenue.

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"This is the second small BD transition we have announced in the past 30 days," said Jim Nagengast, Securities America chief executive officer and president. "All parties are seeing a win-win outcome from our experience in completing these transactions combined with the resources we bring to a successful transition and an industry-leading value proposition for future growth and success."

Eagle One Investments CEO Dave Paulson said the company intends to wind down operations and that most of its advisors have moved to Securities America.

"Our advisors have enjoyed the culture and camaraderie at Eagle One," Paulson said. "Finding that same Midwestern-values culture at Securities America, along with the cuttingedge technology and focus on business growth, gave our advisors a great deal of comfort and confidence. They really can take service to their clients to the next level with what Securities America and its parent company, Ladenburg Thalmann, offer."

Eagle One Investments was founded in 1998 as a representative-owned broker-dealer. It has advisors in Iowa, Nebraska, North Dakota, Minnesota, California, Michigan, Wisconsin and Illinois.

## About Securities America

Headquartered in La Vista, Neb., Securities America Inc. is the nation's eighth largest independent broker-dealer (as ranked by Financial Planning magazine, June 2012, based on 2011 total revenue). For more than 25 years, Securities America's mission has been to foster the success of financial professionals so they can provide quality, objective counsel and services to their clients. Advisors benefit from the firm's industry-leading programs in practice management, advisory services and retirement income distribution, supported by state-of-the-art technology. Founded in 1993, Securities America Advisors Inc. is an SEC-Registered Investment Advisory firm that offers investment management, financial advice and financial planning through a national network of independent financial advisors. Securities America Financial Corp. is a wholly owned subsidiary of Ladenburg Thalmann Financial Services Inc. (NYSE MKT: LTS). Securities America received the inaugural

Thought Leadership Award from the <u>Retirement Income Industry Association</u> in March 2011. Additional information is available at <u>www.securitiesamerica.com</u>.

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