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## SEI Releases Operational Risk Survival Guide for Investment Managers

### Online Resource Provides Accessible and Actionable Steps to Help Managers Reduce Risk Exposure

OAKS, PA -- (Marketwire) -- 09/20/12 -- SEI (NASDAQ: SEIC) today announced that it is releasing an easy-to-access [online resource](#) to help investment managers identify and avoid common, yet often overlooked, operational risks that could plague their businesses. The guide is adapted from a book authored by current SEI Managing Director [Holly Miller](#) and her former colleague Phillip Lawton. The guide, entitled *The Top Ten Operational Risks: A Survival Guide for Investment Management Firms*, features abridged content and a redesigned format. The online version is consistent with the book's original objective: to make key concepts easily accessible and actionable without becoming mired in esoteric issues or technical terms. The beginning chapters are currently available, with the rest of the guide being released in bi-monthly instalments over the coming months.

Each chapter of the online version provides proactive risk management steps, including best practices for identifying whether a given risk exists within an organization. The series will address areas of risk that are frequently encountered by those who work in or around investment operations, including issues arising from operational reviews; personnel issues; organizational and support issues; and areas of weakness in reconciliation, legal review, and planning.

"In today's environment, managers who view operational risk as an afterthought can rarely survive, much less thrive," said [Holly Miller](#), Managing Director, [Middle Office Services](#) for SEI's Investment Management Services division. "In operations, a minor oversight or misstep in daily routines can have potentially major cost or reputational consequences that can take years from which to recover. That's why we wanted to provide an online resource to help managers understand and reduce their exposure to operational risk, in a way that's accessible and not overly technical, so the concepts are truly actionable."

To access the guide, visit <http://www.seic.com/OperationalRisks>.

#### *About SEI's Investment Manager Services Division*

SEI's Investment Manager Services division provides comprehensive operational outsourcing solutions to support investment managers globally across a range of registered and unregistered fund structures, diverse investment strategies and jurisdictions. With

expertise covering traditional and alternative investment vehicles, the division applies customized operating services, industry-leading technologies, and practical business and regulatory insights to each client's business objectives. SEI's resources enable clients to meet the demands of the marketplace and sharpen business strategies by focusing on their core competencies. The division has been recently recognized by Buy-Side Technology as "Best Fund Administrator," by Hedge Funds World Middle East as "Best Service Provider," by Global Investor as "Hedge Fund Administrator of the Year," and by HFMWeek as "Best Single Manager Hedge Fund Administrator (Over \$30B AUA)" in the U.S. and "Best Administrator - Technology Provider" in Europe. For more information, visit [www.seic.com/ims](http://www.seic.com/ims).

#### *About SEI*

SEI (NASDAQ: SEIC) is a leading global provider of investment processing, fund processing, and investment management business outsourcing solutions that help corporations, financial institutions, financial advisors, and ultra-high-net-worth families create and manage wealth. As of June 30, 2012, through its subsidiaries and partnerships in which the company has a significant interest, SEI manages or administers \$424 billion in mutual fund and pooled or separately managed assets, including \$182 billion in assets under management and \$242 billion in client assets under administration. For more information, visit [www.seic.com](http://www.seic.com).

Source: SEI